

A meso-scale discrete element method framework to simulate thermo-mechanical failure of concrete subjected to elevated temperatures

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Abstract: This paper presents mesoscale thermo-mechanical analyses of plain (unreinforced) concrete based on the discrete element method (DEM). The proposed discontinuum modelling strategy represents the aggregates and matrix as a system of deformable polyhedral blocks, interacting along their boundaries. The nodal velocities of each block are calculated via the explicit integration scheme of DEM, and contact stresses are computed based on the relative contact displacements of the adjacent blocks. To better predict the thermo-mechanical behaviour of concrete, fracture energy-based contact constitutive models are implemented by considering temperature dependency at the zone and contact properties. First, the discrete meso models are tested under uniaxial compression loading at room temperature. Then, transient thermo-mechanical tests are performed considering different load levels. The results of the computational models are compared with the macroscopic response quantities of concrete obtained from the available experimental studies in the literature. The results indicate that the developed DEM framework predicts the complex mesoscale thermo-mechanical response history and the typical damage progression observed in concrete. Furthermore, the fracture patterns, crack propagation with temperature, and the differential thermal expansion phenomena are studied in detail.

Keywords: Discrete element method, Concrete, Fire, Contact Mechanics, Thermo-mechanical analysis

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1 **1 Introduction**

2 Concrete is one of the most commonly used construction materials. In addition to usual service
3 loads, concrete structures carry the risk of being exposed to fire. Concrete, when subjected to
4 elevated temperatures, is characterized by thermal expansion, thermal shrinkage, and
5 degradation in strength and stiffness. Such phenomena eventually culminate in a thermo-
6 mechanical strain, which is dependent on thermal and mechanical loading histories,
7 respectively. The understanding and characterization of thermo-mechanical strain have gained
8 significant attention of researchers in the past decades. The early studies in this context
9 included the works of Hasen and Eriksson [1] and Anderberg and Thelandersson [2]. In such
10 early studies, reduction in thermal strain was observed in the transient thermo-mechanical
11 experimental tests of concrete under constant compressive loading and is attributed to the load-
12 induced thermal strain (LITS). It should be noted that the components of thermo-mechanical
13 strain include elastic strain, free thermal strain (FTS), and LITS. LITS is irreversible, only
14 observed in the first heating cycle, and is treated as quasi-instantaneous [2–4].

15 In the past decades, several terms have been used to describe the LITS and its components,
16 which include transient strain, transient creep, and transient thermal creep [5]. The physical
17 meaning of these terms is interpreted differently by various researchers and has been a matter
18 of discussion among researchers over the past 40 years [5,6]. State of the art review on the
19 LITS in concrete by Torelli et al. [5] indicated the existence of several interdependent physical
20 and chemical phenomena that contribute to the LITS. For instance, the drying creep
21 phenomenon can lead to micro tensile strains, and these strains in conjunction with applied
22 compressive stress will contribute to LITS during the initial phase of heating [7]. The other
23 notable phenomena include calcium silicate hydrate (C-S-H) disintegration coupled with
24 moisture transport [1] and micro-cracking due to the differential thermal expansion between
25 the aggregates and cement matrix [3]. The C-S-H gel disintegration is predominant during the

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1 initial stages of heating (up to 300-400 °C), whereas the differential thermal expansion is
2 predominant in the later stages of heating [3,5]. Furthermore, a few experimental investigations
3 [8] had demonstrated a higher level of LITS in the case of high-performance concrete (HPC)
4 compared to ordinary concrete. This is due to higher effective stress in the concrete pores due
5 to lower permeability in HPC, which curtails the rate of mass transport within concrete.

6 Several constitutive models have been developed by different researchers to simulate various
7 components of the thermo-mechanical strain in the past decades [9]. These constitutive models
8 have been implemented in various numerical models which work at various scales and
9 predominantly rely on the finite element method (FEM). The early constitutive models for
10 modelling the thermo-mechanical strain in concrete include the works of Anderberg and
11 Thelandersson [2] and Schneider [10]. They are uniaxial based and have been successfully
12 implemented in various 1-D macro numerical models (e.g. [11,12]). Later, Thelandersson [13]
13 developed a 3-D constitutive framework for modelling the thermo-mechanical strain that was
14 implemented in various 3-D FE frameworks (e.g. [14]). Majorana et al. [15] developed a 3-D
15 FE framework with due consideration to LITS and fire-induced spalling phenomenon.
16 Recently, Yao et al. [16] developed a thermodynamic based multi-axial constitutive model for
17 modelling the various components of thermo-mechanical strain including LITS.

18 It is worth noting that the understanding of meso-scale thermo-mechanics in concrete subjected
19 to fire exposure is still imprecise. Although the previously mentioned constitutive frameworks
20 facilitate reasonably accurate thermo-mechanical predictions, those frameworks follow a
21 phenomenological approach. Critical physical phenomena like the disintegration of C-S-H gel,
22 differential thermal expansion of ingredients of concrete, etc. are not well explained in those
23 phenomenological models, and has been a matter of discussion among researchers [9]. This
24 limitation can be partly alleviated with the aid of detailed meso/micro model, which models
25 aggregates, cement matrix, and interfacial transition zone (ITZ) separately. In general,

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1 traditional FEM may not be a viable solution for modelling such discontinues due to
2 discontinuity induced ill-posedness in the governing equations [17]. One of the feasible
3 alternative numerical methods to address such limitation can be the discrete element method.
4 The utilization of DEM for solving discontinuum mechanics problems has been receiving
5 significant attention from researchers after it was first introduced by Cundall [18]. In the
6 context of modelling discontinuities in concrete, DEM has been a strong alternative to
7 discontinuous finite element methods in the recent past due to the increase in computational
8 processing powers. In the purview of meso-scale modelling of concrete, different discontinuous
9 modelling strategies associated to lattice, discrete and/or finite element methods are presented
10 in the literature [19,20,21,22,23,24].

11 Regarding DEM modelling of thermo-mechanical strain in concrete, very limited studies are
12 available in the literature. It is worth knowing that DEM facilitates a detailed understanding of
13 the transient evolution of fracture patterns in concrete under thermo-mechanical loading, which
14 is otherwise difficult in laboratory fire tests due to visibility constraints due to the furnace
15 chamber enclosing the sample. Recently, Sinaie et al. [25] developed a particle-based DEM
16 model and generated macroscopic temperature-dependent stress-strain curves in concrete.
17 However, their studies are up to 400 °C only. Grassl and Pearce [26] developed a damage-
18 based lattice element framework for understanding the meso-scale thermo-mechanics.
19 However, their framework does not account for temperature-dependent material degradation.
20 Moreover, the discussion on meso-scale thermo-mechanics in concrete and its contribution
21 towards LITS is missing in the literature. This study presents a fracture mechanics based two-
22 dimensional (2D) DEM model to be utilized in the meso-scale thermo-mechanical
23 computations on concrete. Aggregates, cement mortar and ITZ are modelled explicitly in
24 conjunction with the temperature-dependent interface nonlinearity. Through the present
25 research, a commercial DEM Code 3DEC, developed by ITASCA [27], is used to perform

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1 thermo-mechanical analysis. The adopted contact models are a new development, written in
2 C++ and compiled as DLL (dynamic link library) into 3DEC via the user-defined constitutive
3 model option.

4 The present paper is organized as indicated next. Section 2 presents the theoretical
5 developmental aspects of the 2D DEM modelling approach, which include assumptions,
6 thermo-mechanical components of the DEM model, and temperature-dependent contact
7 models. Next, Section 3 and Section 4 presents the experimental validation study on concrete
8 samples both at room temperature and at elevated temperatures. Finally, Section 5 concludes
9 the present study with important inferences made regarding the proposed modelling strategy.

10 **2 Theoretical framework**

11 This section presents the formulation of the discrete meso-modelling approach that is applied
12 to analyse thermo-mechanical behaviour of concrete subjected to uniaxial compression. Note
13 that the discontinuum type of analysis using DEM can be performed in a precise manner using
14 a 3D DEM framework [28]. However, such 3D DEM modelling is computationally intensive.
15 Given the relatively randomized spatial distribution of aggregates in concrete, the
16 aforementioned limitation can be alleviated with the aid of 2D plane stress DEM model, and
17 such strategies have been successfully implemented in the past (e.g. [26]). Here, the
18 morphology of ordinary concrete is represented using polyhedral blocks in a discontinuum
19 based setting to replicate aggregate and cement matrix. In this research, polyhedral blocks are
20 generated based on a Laguerre tessellation, using the open-source Neper software package
21 (further applications and detailed information about the software can be found in [29–32]).

22 The illustration of a representative volume unit (RVU) of a concrete 2D DEM model is shown
23 in Figure 1. Aggregates are assumed to be in an elastic continuum (using finite difference
24 zones), whereas interface discontinuities are accounted for cement mortar and ITZ (using

1 nonlinear soft contact models or springs, with elastic stiffness given by K_n , normal, and K_s ,
2 tangential, with tension f_t , cohesion c , and friction angle ϕ), respectively. Different research
3 investigations reported (e.g. [33,34]) that the aggregate-cement mortar interface region, also
4 known as interfacial transition zone (ITZ) is similar to that of cement paste, however, with
5 lesser strength due to relatively higher porosity compared to that of cement mortar. Henceforth,
6 in the present study, to facilitate computationally efficient modelling, the properties of ITZ are
7 lumped at the aggregate-mortar contact points, instead of modelling ITZ as separate polyhedral
8 blocks. To generate discrete meso-models, a two-level discretization scheme is performed
9 where the concrete morphology is discretized into polyhedral blocks, and these blocks are
10 further discretized into finite difference tetrahedral zones, as depicted in Figure 1. The
11 interacting grid points corresponding to neighbouring polyhedral blocks are designated as
12 contact points (Figure 1). The thermal analysis works on zones, whereas the mechanical
13 analysis works on zones and contact points of polyhedral blocks. It should be mentioned that
14 the developed framework, in its present form, does not account for thermo-mechanical loading
15 induced discontinuities in the heat transfer phenomena within the concrete.

16 The thermal-mechanical DEM analysis is performed using a staggered one-way coupling
17 scheme. In such a scheme, the thermal analysis is performed on a fixed geometry first, followed
18 by the mechanical DEM analysis at constant temperatures at various given time steps [35]. The
19 theoretical aspects of the individual thermal and mechanical DEM analysis are discussed in
20 Sections 2.1 and 2.2, respectively.

21 **2.1 Thermal analysis**

22 For a 2D body, as shown in Figure 1, the governing PDE to model the heat transfer is
23 formulated from the law of energy balance and is given by

$$24 \quad (\rho c)_T \frac{\partial T}{\partial t} = \nabla \cdot (\gamma_T \nabla T), \quad (1)$$

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where T represents temperature, $(\rho c)_T$ represents temperature-dependent specific heat capacity, γ_T is the temperature-dependent thermal conductivity tensor. The boundary conditions are applied in terms of temperature or the heat flux component vector normal to the boundary, and they include a) given temperature, b) heat flux component vector normal to the boundary, c) convective boundaries, and d) adiabatic boundaries. For instance, the governing PDE for the convective heat flux is written as

$$(\gamma \nabla T) \cdot \mathbf{n} = -h_c (T - T_f), \quad (2)$$

where T_f represents the fire temperature, h_c (25 W/m²K) represents the convective heat transfer coefficient. Finite difference discretization is applied to Equations (1) and (2) in an explicit setting within the grid points of polyhedral zones. This results in a highly nonlinear system of equations and is solved iteratively until the L₂ norm of temperature reaches the prescribed value of tolerance.

2.2 Mechanical DEM analysis

As mentioned previously, transient mechanical DEM analysis is executed in a one-way coupling scheme, with the temperature profiles of the previously executed thermal analysis as an input. In a meso-scale representation, discontinuities in concrete can be modelled in a precise manner with the aid of deformable polyhedral blocks with material nonlinearity effects imposed at the contact points. Note that in thermo-mechanical analysis, modelling additional physical phenomena, including thermal dilation, temperature-dependent material degradation, damage, and LITS effects are challenging. To circumvent these challenges, material nonlinearity with temperature-dependency is lumped at the contact points whereas the polyhedral blocks are assumed to be elastic. The deformability in the polyhedral blocks is attributed to the mechanical loading and thermal dilation effects. The proposed modelling

1 approach in its present form does not account the effects of C-S-H disintegration and isothermal
 2 creep. With this settle assumptions and idealizations, the equations of motion, solved for each
 3 grid point i , is written as

$$4 \quad m\mathbf{u}_i + \xi m\dot{\mathbf{u}}_i = \sum \mathbf{F}_i \quad (3)$$

5 where m represents the nodal mass, \mathbf{u}_i represents the nodal displacement vector and ξ is the
 6 mass proportional viscous damping. $\sum \mathbf{F}_i$ indicates the nodal resultant force vector and is
 7 computed as

$$8 \quad \sum \mathbf{F}_i = \mathbf{F}_g + \mathbf{F}_z + \mathbf{F}_c + \mathbf{F}_e \quad (4)$$

9 where \mathbf{F}_g , \mathbf{F}_z , \mathbf{F}_c , and \mathbf{F}_e denote gravity, internal, contact, and external forces, respectively, at
 10 the grid point. The internal forces \mathbf{F}_z in the grid points are due to the stresses generated in the
 11 zones adjacent to grid points and is written as

$$12 \quad \begin{aligned} \mathbf{F}_z &= \int \boldsymbol{\sigma} \cdot \mathbf{n} ds = \int \mathbf{D}_T(\boldsymbol{\varepsilon}_m) \mathbf{n} ds, \\ \boldsymbol{\varepsilon}_m &= \boldsymbol{\varepsilon}_t - \boldsymbol{\varepsilon}_{Th}, \\ \boldsymbol{\varepsilon}_{Th} &= \alpha \Delta T, \end{aligned} \quad (5)$$

13 where $\boldsymbol{\sigma}$ represents the zone stress tensor, \mathbf{n} represents the outward normal, \mathbf{D}_T represents the
 14 temperature-dependent elastic constitutive matrix, T represents temperature, and α is the
 15 thermal expansion coefficient. $\boldsymbol{\varepsilon}_t$, $\boldsymbol{\varepsilon}_m$ and $\boldsymbol{\varepsilon}_{Th}$ represent total strain, thermo-mechanical strain,
 16 and thermal strain, respectively. As detailed in [5], the LITS is characterized by damage due to
 17 differential thermal expansion between aggregates and cement mortar, C-S-H disintegration
 18 coupled with moisture transport and isothermal creep. The zone constitutive models in their
 19 present form do not account for damage due to C-S-H gel disintegration and isothermal creep.
 20 This is due to the lack of relevant detailed experimental characterization at the individual
 21 ingredient level. As will be shown in Section 4, and such idealizations result in deviations

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1 from the experimental observations at elevated temperatures. However, it is important to note
 2 the proposed modelling strategy provides a detailed representation of constituents in concrete;
 3 therefore, differential thermal expansion between aggregates and cement mortar, which
 4 contributes to LITS, is accounted for during the analysis. Moreover, the C-S-H gel
 5 disintegration is significant during the initial stages of heating (up to 300-400 °C), whereas the
 6 differential thermal expansion is significant in the later stages of heating [3,5]. Therefore, given
 7 the mentioned limitations, such idealizations within the meso-scale framework are acceptable
 8 and have been successfully implemented by earlier studies as well (e.g. [26]).

9 Equation (3) is solved using a central difference method (see Equation (7)), with a time step
 10 Δt discretized into two equal intervals. The nodal velocities here are calculated in an explicit
 11 integration setting with local damping as given by Cundall [36] (see Equation (6)).

$$\ddot{\mathbf{u}}_i^t = \frac{\dot{\mathbf{u}}_i^{t+\Delta t/2} - \dot{\mathbf{u}}_i^{t-\Delta t/2}}{\Delta t} \quad (6)$$

$$\dot{\mathbf{u}}_i^{t+\Delta t/2} = \dot{\mathbf{u}}_i^{t-\Delta t/2} + \left[\sum \mathbf{F}_i^t - (\mathbf{F}_d)_i \right] \frac{\Delta t}{m}, \quad (7)$$

14 The local damping forces $(F_d)_i$ which oppose motion are proportional to the unbalanced forces
 15 and are calculated as

$$(\mathbf{F}_d)_i = \lambda \left| \sum \mathbf{F}_i^t \right| \text{sgn}(\dot{\mathbf{u}}_i^{t-\Delta t/2}), \quad (8)$$

17 where λ is the dimensionless damping constant (default value is 0.8). In the case of local
 18 damping formulation, spatially varying damping is required in the numerical model to facilitate
 19 progressive collapse [37]. In an explicit finite difference solution algorithm, to facilitate the
 20 numerical stability, the limiting time step is obtained as

$$\Delta t = 2\sqrt{m/k_{gp}} \quad (9)$$

1 where k_{gp} is the nodal stiffness obtained by adding zone and contact (only the grid point on the
2 faces) stiffness [38].

3 It should be mentioned that at every finite difference iteration, using Equations (6) and (7), the
4 locations and relative displacements of blocks are updated. Utilizing these relative contact
5 displacements among the adjacent blocks (Δu_n in the normal direction and Δu_s in the tangential
6 direction), at a given temperature T , the new incremental normal stress ($\Delta\sigma$) and incremental
7 shear stress ($\Delta\tau$) at the contact points are computed. In the elastic regime, these incremental
8 stresses are calculated as

$$\begin{aligned}\Delta\sigma &= K_{n,T}\Delta u_n, \\ \Delta\tau &= K_{s,T}\Delta u_s,\end{aligned}\tag{10}$$

9
10 where, $K_{n,T}$ and $K_{s,T}$ denote the temperature-dependent contact stiffness in normal and
11 tangential directions, respectively. Subsequently, obtained incremental stresses are added to
12 the contact stresses recorded from the previous iteration, and they are corrected (if applicable)
13 in accordance with the contact constitutive models; such procedure will be discussed
14 subsequently. Finally, the contact forces are determined by multiplying the stresses with the
15 related contact area, and such forces are substituted back in Equation (7). Thus, the dynamic
16 solution scheme of the mechanical analysis continues until quasi-static equilibrium is reached.
17 The proposed solution algorithm, developed within the DEM framework, to analyse the
18 thermo-mechanical behaviour of concrete is shown in Figure 2. Note that the one-way thermo-
19 mechanical coupling is performed by programming FISH functions (an executable
20 programming language in 3DEC, [27]).

21 The mechanical response of the developed DEM framework is governed by temperature-
22 dependent nonlinear contact models along with the thermo-elastic constitutive behaviour of the
23 polyhedral blocks. Therefore, the potential failure modes of concrete are simulated at the

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1 contact points, which are associated with tension, compression, and shear contact constitutive
2 relations. Note that in this study, the tension and shear constitutive behaviour of the contact is
3 governed by a temperature-dependent Mohr-Coulomb failure envelope.

4 Figure 3 schematically depicts the temperature-dependency in such envelope, with contraction
5 of the envelope with the increase in temperature.

6 Such an interface failure envelope is characterized by the temperature-dependent tensile
7 strength ($f_{t,T}$), temperature-dependent cohesion (c_T) and temperature-dependent friction angle
8 (ϕ). The contact stresses remain in the elastic regime if the following criteria are met.

$$\begin{aligned} \sigma_n|_{\text{Tension}} &\leq f_{t,T}, \\ \tau &\leq c_T + \sigma_n \tan \phi. \end{aligned} \quad (11)$$

9
10 It is noted that the failure criteria in tension and shear modes are assumed to be decoupled in
11 the present study, similar to [39]. Further, at a given temperature T , the failure envelopes in
12 tension and shear undergo contraction during the further course of loading (envelope-1 to
13 envelope-2, see (Figure 3), governed by the respective softening constitutive models discussed
14 below. Furthermore, the damage parameters (δ_s and δ_t) shown in Figure 3, are quantified at
15 each mechanical iteration and are carried forward to subsequent time steps. Such carry forward
16 operations pertaining to the damage variables facilitate precise thermo-mechanical
17 formulation. In the case of characterization of macro-scale uniaxial compressive response of
18 concrete, in the purview of present study, significant strain hardening is observed [2,10]. Such
19 a phenomenon is accounted for in the contact model in addition to the tension and shear failure
20 modes by a bi-linear contact model in the compression regime. As will be shown in Section
21 3.1, such contact model in compression regime facilitates accurate model predictions and has
22 been successfully implemented in the past (e.g. [25]) as well.

1 The contact tensile and shear stresses can be updated from the softening constitutive relations,
 2 deduced from fracture mechanics principles. Due to their physically precise formulation, such
 3 an approach has been gaining significant attention of researchers in the recent past. Recently,
 4 Pulatsu et al. [40] developed contact constitutive models in tension and shear regimes,
 5 respectively using mode-1 (G_{f1}) and mode-2 (G_{f2}) fracture energies for the DEM analysis of
 6 masonry structures. Detailed theoretical developmental and implementation aspects for such
 7 models can be found in [40], not repeated here for the sake of brevity. In this framework, these
 8 contact models are extended to include the effects of temperature-dependent material
 9 nonlinearity. At a given temperature, in the case of tensile failure, using a G_{f1} based linear
 10 softening constitutive relation (Figure 4), the updated normal tensile stress ($f_{t,T}^{\text{up}}$) is computed
 11 as

$$12 \quad f_{t,T}^{\text{up}} = \delta_t f_{t,T},$$

$$13 \quad \delta_t = \left(1 - \frac{u_n - f_{t,T} / K_{n,T}}{2G_{f1,T} / f_{t,T} - f_{t,T} / K_{n,T}} \right), \quad (12)$$

13 where u_n represents the current normal contact displacement and $G_{f1,T}$ represents the
 14 temperature-dependent mode-1 fracture energy. For the considered linear shear softening as
 15 depicted in Figure 4, the ultimate shear displacement at the contact point is computed as

$$16 \quad u_s^l = \frac{2G_{f2,T}}{\tau_T^{\text{max}} - \tau_T^{\text{res}}} + \frac{\tau_T^{\text{res}}}{K_{s,T}}, \quad (13)$$

$$17 \quad \tau_T^{\text{max}} = c_T^o + \sigma_n \tan \phi_T^o; \tau_T^{\text{res}} = c_T^{\text{res}} + \sigma_n \tan \phi_T^{\text{res}},$$

17 where $G_{f2,T}$ represent the mode-2 fracture energy, c_T^o and c_T^{res} represent temperature-
 18 dependent initial and residual cohesion, ϕ_T^o and ϕ_T^{res} represent temperature-dependent initial
 19 and residual friction angle. At a given temperature T , in the case of shear failure, the updated
 20 shear stress ($f_{s,T}^{\text{up}}$) is calculated for the considered softening constitutive relation as follows

$$\begin{aligned}
 c_T^{\text{up}} &= c_T^{\text{o}} + (c_T^{\text{o}} - c_T^{\text{res}}) \left(\frac{u_s - \tau_T^{\text{max}} / K_{s,T}}{\tau_T^{\text{max}} / K_{s,T} - u_s^l} \right), \\
 \tan(\phi_T^{\text{up}}) &= \tan(\phi_T^{\text{o}}) + (\tan(\phi_T^{\text{o}}) - \tan(\phi_T^{\text{res}})) \left(\frac{u_s - \tau_T^{\text{max}} / K_{s,T}}{\tau_T^{\text{max}} / K_{s,T} - u_s^l} \right), \\
 f_{s,T}^{\text{up}} &= \underbrace{\left(\frac{c_T^{\text{up}} + \sigma \tan(\phi_T^{\text{up}})}{\tau_T^{\text{max}}} \right)}_{\delta_s} \tau_T^{\text{max}}.
 \end{aligned} \tag{14}$$

In the case of compression regime, a bi-linear constitutive behaviour is considered, where the temperature-dependent contact stiffness is computed as

$$K_{n,T} = \begin{cases} K_{n,T}; & \text{if } |u_{n,T}| \leq u_{nl,T}, \\ \beta K_{n,T}; & \text{if } |u_{n,T}| > u_{nl,T}, \end{cases} \tag{15}$$

where β is the hardening stiffness factor, $u_{nl,T}$ is the limiting compressive elastic displacement which is given by $u_{nl,T} = f_{cm,T} / K_{n,T}$. Here $f_{cm,T}$ is the temperature-dependent limiting compressive elastic stress.

2.3 Meso-scale concrete morphology

The preliminary step prior to meso-scale DEM modelling of concrete is random generation of coarse aggregates followed by filling up the spaces between them with cement matrix. Such a random generation of the aggregates-matrix structure must comply with the statistical aspects of the material and should be potentially isotropic at the macro-scale [41]. This can be achieved with the aid of a Monte-Carlo simulation based approach called ‘*take-and-place method*’ and such an approach has been successfully implemented in the past (e.g. [42,43]). It essentially involves three steps. Firstly, the appropriate particle size distribution chart needs to be chosen. In this study, Fuller’s gradation curve is chosen, which is one of the most widely accepted particle sized distribution curves for concrete.

The mathematical form of Fuller’s gradation curve is given by

1
$$P(d) = 100 \left(\frac{d}{d_{\max}} \right)^{nf} \quad (16)$$

2 where $P(d)$ represents cumulative percentage passing for a given sieve size d , d_{\max} is the
3 maximum aggregate size, and nf is an exponent in the range 0.45-0.7 (nf is adopted as 0.45 in
4 this study). For a maximum coarse aggregate size of 32 mm, the Fuller's curve is shown in
5 Figure 5, and it is observed to reasonably within the bounds of limiting gradation curves of
6 aggregates corresponding to concrete as given in EN 12620 [44].

7 In the second step with the volume fraction of coarse aggregate as input, the volume of
8 aggregates in the discrete size intervals ranging from d_{\min} to d_{\max} is generated. Coarse
9 aggregates, in general, correspond to particle size greater than 4.75mm, with volume fraction
10 ranging in general between 0.3-0.5 of the total volume of the concrete. Subsequently, within
11 each size interval, aggregates of various sizes are generated randomly from the Fuller's
12 gradation curve. For the present 2D meso-scale simulation, aggregates are initially idealized as
13 circular inclusions for the above random generation. Such a 2D meso-scale morphology does
14 not precisely account for stereo-metric relations, as in the case of the 3D model. However, it is
15 reasonably accurate in predicting the macroscopic behaviour of concrete and its corresponding
16 fracture mechanisms at the meso-scale level and has been successfully implemented in the past
17 (e.g. [43,45]). In the last step, the generated aggregate entities are placed in the overall volume
18 of concrete, such that it satisfies no overlapping between aggregates. Detailed algorithmic
19 implementation of the take-and-place method can be seen in [42]. In the present study, utilizing
20 the random distribution of circular-shaped aggregates, the meso-scale 2D DEM model is
21 generated with the polyhedral blocks in the following sequence. Firstly, the concrete specimen
22 is discretized with the polyhedral blocks. Next, the previously obtained geometrical data
23 pertaining to the random distribution of aggregates is superimposed on the DEM model and

1 the material properties of the intersected zones, and corresponding contacts are changed
2 accordingly. The procedure for generating meso-scale concrete morphology using the present
3 polyhedral block-based DEM modelling is schematically shown in Figure 6. DEM analysis on
4 such morphology is demonstrated in Section 3 and Section 4, corresponding to room
5 temperature and elevated temperatures, respectively.

6 **3 Uniaxial compression test simulation on concrete at room temperature**

7 This section presents the validation of the developed DEM framework against the experimental
8 observations corresponding to room temperature. Anderberg and Thelandersson [2] performed
9 a detailed experimental campaign on concrete at steady-state and transient heating conditions,
10 respectively. Utilizing the developed framework, discontinuum simulation of uniaxial
11 compression failure in concrete is performed at the room temperature, and is compared against
12 the experimental results, of Anderberg and Thelandersson [2], corresponding to room
13 temperature. A cylindrical concrete sample of size 150 mm diameter and 300 mm height is
14 idealized as 2D plane stress model (150 × 300 mm²). For the given concrete geometry, DEM
15 discretization is performed with 5000 polyhedral blocks, and the previously discussed take-
16 and-place algorithm is applied. Further, each polyhedral block is divided into approximately
17 five tetrahedral zones. The statistical aspects of the morphology of concrete are depicted in
18 Figure 7. Aggregate geometry generation by the Monte Carlo based take-and-place algorithm
19 followed by its superimposition on the DEM discretization has resulted in a DEM model as
20 shown in the same figure. It is to be noted that such a superimposition has resulted in a coarse
21 aggregate volume fraction of 0.31 in the DEM model against the input volume fraction of 0.34.
22 Further, the shape of the aggregates is non-circular, which might result in different stress states
23 as well compared to that of circular aggregates morphology. These limitations are due to the
24 chosen geometry generation algorithm within the present 3DEC software framework.

1 The sample is subjected to compressive loads applied through the top with a fixed velocity
2 boundary condition, whereas the bottom steel plate is fixed during the analysis.
3 Initially, the discrete meso-models are subjected to self-weight and the DEM analysis is
4 performed till equilibrium is attained under gravity. Next, a displacement rate (velocity) of
5 0.8 mm/sec, in the downward direction, is applied on the upper steel plate. The reaction forces
6 are extracted at each time step via the implemented subroutine in the software, using FISH
7 functions.

8 **3.1 Model parameters**

9 The macro-scale uniaxial response of concrete is governed by the meso-scale properties
10 pertaining to the zones and contact parameters of the meso-model. In the current uniaxial
11 simulation, these properties are chosen in an iterative process, to obtain the macroscopic
12 parameters as given in [2,13]. These include the elastic modulus ($E=21.5$ GPa), compressive
13 strength ($f_c=35$ MPa) and the inelastic strain at peak ($\varepsilon_{ic}=0.0015$). The elastic properties of the
14 aggregates and cement matrix defined for the zones are shown in Table 1. The steel plate is
15 replicated as a single continuum block with $E=200$ GPa and $\nu=0.3$.

16 In case of the contact points, the normal (K_n) and the tangential stiffness (K_s) are calculated
17 from the elastic properties of aggregates and cement mortar, respectively, using the relation
18 given below, similar to [46].

$$19 \quad K_n = \frac{E}{t_b}, \quad (17)$$
$$K_s = \frac{G}{t_b},$$

20 where t_b is the average thickness of the fracture zone which is 1.6 mm for the sample size of
21 5000 blocks. As discussed in Section 2, the mechanical parameters of contact points of the
22 aggregate mortar interface (A-M) are lumped with that of ITZ. Their inherently lower strength

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1 and stiffness compared to that of cement mortar is considered in the proposed numerical models
2 by considering 80% of strength and stiffness to that of the mortar-mortar interface (M-M).
3 Furthermore, in the present study, previously mentioned 2D plane stress conditions in a 3D
4 polyhedral DEM modelling strategy is simulated by setting the Poisson's ratio in z-direction
5 equivalent to zero, in addition to restrained displacement in z-direction over the entire model.
6 Table 2 details the mechanical parameters of the contacts belonging to various joints. In the
7 purview of the present study, precise macro-scale model predictions can be obtained by
8 choosing the relevant meso-scale contact parameters, which are detailed in Section 2.2
9 However, experimental data at such a scale is rather scarce. This limitation can be partly
10 addressed from the DEM based multi-scale mechanical correlation studies available in the
11 literature (e.g., [40,47]) which correlates the meso-level contact parameters to the macro
12 mechanical response. The tensile strength of the M-M interface is taken as 2.5 MPa, and the
13 tensile fracture energy (G_{f1}) is taken as 60 N/m from the studies of Rots [48]. In case of
14 compression, f_{cm} is taken as 33 MPa, whereas β is taken as 0.05 for the M-M interface. In the
15 case of shear, due to the lack of data, the cohesion (c_o) in M-M interface is taken as 1.5 times
16 the tensile strength as given in [40,47]. The shear fracture energy (G_{f2}) is taken as four times
17 the tensile fracture energy (G_{f1}) as in [47]. The normal stiffness of the contact points between
18 the concrete sample and steel plate is taken as for the M-M interface 18400 GPa/m and a
19 frictionless behaviour is considered in the tangential direction.

20 3.2 Results and discussion

21 For the considered, target macro-mechanical response parameters given in [2,13] ($E=21.5$ GPa,
22 $f_c=35$ MPa and $\varepsilon_{ic}=0.0015$), the obtained values from the DEM analysis are summarized in
23 Table 3. Further, the macro-scale stress-strain curve obtained from the DEM analysis is
24 compared against the experimental predictions of Anderberg and Thelandersson [2]

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1 corresponding to the room temperature, as shown in Figure 8. The results indicate that the
2 developed discrete meso-model is reasonably accurate in predicting the macro-scale uniaxial
3 compression response of concrete. Moreover, the failure patterns at the designated stress states
4 pointed out in Figure 8 as red circles are separately shown in Figure 9. The explicit
5 representation of the concrete morphology provides an opportunity to examine the complex
6 stress distribution among each constituent of concrete through contact points, which are
7 governed by the respective failure modes. For instance, as shown in Figure 8, in the case of the
8 hardening part, the overall macroscopic behaviour is predominantly governed by the bi-linear
9 contact constitutive model in compression. Later, the overall behaviour is governed by the
10 tensile failure and shear sliding at the contact points. This is evident in Figure 9, at peak stress
11 point B, where the predominant micro-cracks due to shear rupture and a few normal separation
12 cracks near the loading plate are present.

13 In the softening regime (Figure 9c, point C), these micro-cracks develop further into macro-
14 cracks by the dissipation of tensile and shear fracture energies, respectively.

15 **4 Transient heating of concrete under various compressive stresses**

16 As mentioned in Section 3, Anderberg and Thelandersson [2] and Thelandersson [13,49]
17 performed detailed thermo-mechanical characterization tests to understand the thermo-
18 mechanical strain and its components in concrete. These tests include a) constant temperature
19 and constant load tests for characterizing isothermal creep, b) heating the sample till steady-
20 state temperature followed by loading, and c) loading the sample initially followed by heating
21 till failure under the sustained mechanical loading. In the loading followed by heating tests, the
22 total thermo-mechanical strain in concrete is quantified at various initial compression stress
23 levels. In such tests, the concrete sample was initially compressed at various stress levels
24 ($\sigma/f_{c,25}=0, 0.225, 0.445, 0.675$), and later the sample was heated till failure. For the discrete

1 meso-model pertaining to our sample, such thermo-mechanical loading conditions are
2 simulated.

3 It is worth to note that in the experimental investigation by Anderberg and Thelandersson [2],
4 a heating rate up to 5 °C/min is considered, and their experimental campaign has reported that
5 such low heating rate resulted in a relatively uniform temperature distribution for their chosen
6 sample size (75 ×150 mm). This condition is artificially simulated in the present DEM based
7 thermo-mechanical simulation by modifying the obtained transient profiles of the thermal
8 analysis within the DEM framework to be uniform over the sample. It should be mentioned
9 that such simplification taken from the experimental campaign of Anderberg and
10 Thelandersson [2] is still physically imprecise due to the possibility of the presence of
11 microthermal gradients at the boundaries which might slightly influence the model predictions.
12 However, such an approach is chosen due to the lack of thermophysical properties at the meso-
13 scale level (aggregates, cement mortar and ITZ) corresponding to their experimental data.
14 Subsequently, transient thermo-mechanical analysis as discussed in Section 2.2 is performed
15 with those thermal profiles in conjunction with the temperature-dependent model parameters.

16 **4.1 Model parameters**

17 For the transient thermo-mechanical DEM simulation, temperature-dependency is applied to
18 the calibrated contact and zone properties given in Table 1 and Table 2 of Section 3.1,
19 corresponding to the room temperature. It is to be noted that at the meso-scale, very limited
20 data is available in the literature to establish the temperature-dependency in the zone and
21 contact parameters. Accordingly, relevant assumptions and idealizations have been made to
22 facilitate the model predictions. Figure 10 shows the considered temperature-dependency in
23 elastic modulus (aggregates and cement mortar) and compressive strength (cement mortar and
24 aggregates).

1 In the case of cement mortar, the temperature-dependency in elastic modulus and compressive
2 strength are chosen from the work of Harmathy [50]. Moreover, in the case of aggregates, the
3 temperature-dependency as in concrete is assumed from the work of Anderberg and
4 Thelandersson [2], due to the lack of comprehensive experimental data. It is necessary to note
5 that cement mortar exhibit initial thermal dilation followed by thermal contraction while
6 heating, whereas aggregates exhibit thermal dilation during heating [51,52]. Figure 11 depicts
7 such phenomena, which is taken from the experimental thermal strain characterization study
8 of Cruz and Gillen [52] on cement mortar and dolomite aggregate. Considering these
9 phenomena in conjunction with the overall thermal expansion coefficients of concrete available
10 in the literature (e.g. [53]), the following mathematical forms are considered for thermal
11 expansion coefficients and are given by

$$\begin{aligned} \alpha_A &= \eta_1 + \eta_2 T, \\ \alpha_M &= \zeta_1 - \zeta_2 T^2, \end{aligned} \quad (18)$$

13 where α_A and α_M represent the thermal expansion coefficients of aggregates and cement
14 mortar, respectively. The coefficients ($\eta_1=9 \times 10^{-6}$; $\eta_2=7 \times 10^{-8}$, $\zeta_1=9 \times 10^{-7}$ and $\zeta_2=6 \times 10^{-11}$)
15 are chosen in an iterative process to fit the transient thermo-mechanical DEM simulation
16 pertaining to zero loading level ($\sigma/f_{c,25}=0$).

17 The normal and shear contact stiffnesses are computed using Equation (17), with temperature-
18 dependent elastic modulus. Due to the lack of experimental data, the temperature dependency
19 in tensile strength of mortar is assumed to be same as that of compressive strength.
20 Additionally, the model parameter correlation between ITZ and cement mortar, as discussed in
21 Section 3.1, is assumed to exist in the same way at elevated temperatures as well. The fracture
22 energies (G_{f1} and G_{f2}), given in Table 2, are taken and the temperature-dependency as in $f_{i,T}$ is
23 applied with constant ultimate failure strain (see [54]). As in the case of room temperature, c_T^{res}

1 is assumed to be 1.5 times that of $f_{i,T}$. Further, due to the lack of experimental data, no
2 degradation is considered in the friction angles.

3 **4.2 Results and discussion**

4 The results obtained from discrete meso-models are compared against the experimental values
5 of Thelandersson [49], as shown in Figure 12. The results indicate that the developed meso-
6 scale DEM model is reasonably accurate in predicting the transient thermo-mechanical
7 behaviour of concrete. In Figure 12, the deviation of the experimental results with an increase
8 of initial stress level ($\sigma/f_{c,25}$) can be clearly observed. This is attributed to the thermo-elastic zone
9 constitutive model, which does not account for other critical phenomena (e.g C-S-H gel
10 disintegration coupled with moisture transport) that contribute to the LITS. The fracture patterns
11 of the computational models at various initial stress levels ($\sigma/f_{c,25}=0, 0.225, 0.445, 0.675$) are
12 shown in Figure 13, Figure 14, Figure 15 and Figure 16, respectively. Different fracture
13 mechanisms are observed due to the thermo-mechanical loading that is governed by the
14 combined effect of: a) differential thermal expansion between aggregates and cement mortar,
15 and b) applied load. As in the case of zero initial stress condition ($\sigma/f_{c,25}=0$), with the increase in
16 temperature beyond 300 °C, the effects of differential thermal expansion are predominant. Due
17 to such phenomena, non-uniform lateral expansion is observed. Further, it resulted in shear
18 rupture, and normal separation cracks sparsely distributed over the entire sample (Figure 13,
19 $T=600$ °C).

20 With the further increase in temperature, bridging between those cracks is observed, as they
21 are further distributed over the sample (Figure 13, $T=800$ °C).

22 Due to the applied initial compression loading, at room temperature, the contact points are
23 subjected to compression, shear and tensile stress states. With further loading, as discussed in
24 Section 3.2, micro-cracks of tension and shear mode originate and evolve into a macro cracking

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1 mechanism. In case of transient heating as observed here, the initial micro-cracks due to the
2 differential thermal expansion govern the fracture pattern evolution with temperature, in
3 conjunction with the applied loading and temperature-dependent material degradation and
4 damage. Such combined phenomena result in macro-cracks with further release of fracture
5 energy and eventually bridging between macro-cracks.

6 For instance, as in the case of $\sigma/f_{c,25} = 0.225$ (Figure 14) and $\sigma/f_{c,25} = 0.445$ (Figure 15), with
7 temperature increase, micro-cracks are formed over the concrete morphology ($T < 400^\circ \text{C}$). It
8 is to be noted that the micro-cracks are relatively well distributed over the sample in the case
9 of $\sigma/f_{c,25} = 0.225$ (Figure 14) and $\sigma/f_{c,25} = 0.445$ (Figure 15), compared to that of $\sigma/f_{c,25} = 0.675$
10 (Figure 16). This is attributed to the higher initial compressive loading which reduces the
11 contact sliding and separation due to differential thermal expansion to a certain extent. With an
12 increase in temperature, such micro-cracks further developed with additional release of fracture
13 energy (Figure 14, $T=500^\circ \text{C}$ and Figure 15, $T=400^\circ \text{C}$). Eventually, these cracks developed
14 into macro-cracks and eventually lead to sample failure. In the case of $\sigma/f_{c,25} = 0.225$ (Figure
15 14) and $\sigma/f_{c,25} = 0.445$ (Figure 15), the macro-cracks at failure are located in the vicinity of A-
16 M interface and such cracks are well distributed over the sample.

17 Moreover, these cracks have resulted in an overall axial-split mode of fracture at the failure
18 temperature. In the case of $\sigma/f_{c,25} = 0.675$ (Figure 16, $T=400^\circ \text{C}$), the macro-cracks at failure
19 are relatively less compared to the other loading cases. Furthermore, these cracks are
20 predominantly located near the aggregate mortar interface, and near the loading plates. Still,
21 an overall axial-split mode of failure is observed in this loading case as well.

22

5 Conclusions

In this research, the mechanical and thermo-mechanical behaviour of concrete, together with the associated fracture mechanisms, are analyzed based on the discrete element method. The proposed modelling strategy is referred to as discrete meso modelling, where a system of deformable blocks, discretized into zones, is employed so that the blocks can interact with each other through their contact points. To achieve a random and explicit representation of concrete morphology at the meso-scale, a Monte Carlo based algorithm is utilized to generate aggregates and cement mortar. The interface transition zone between the aggregate and mortar is considered separately, and its properties are lumped at the aggregate-mortar joints. The developed modelling strategy addresses thermal dilation and temperature-dependent material degradation, as well as the damage progression with the aid of zone and implemented contact constitutive models. In particular, thermo-elasticity constitutive model for zones and fracture energy-based temperature-dependent failure envelopes for contacts are utilized through this study. The developed computational framework is validated using experimental observations, both at room temperature and transient heating conditions, where the numerical models are line with the experimental results.

Critical physical phenomena, including the evolution of the micro and macro cracking patterns under various temperatures due to differential thermal expansion in the concrete constituents and effect of initial load level, are intricately examined. The results indicate that the cracking pattern evolution with temperature is mainly governed by the differential thermal expansion phenomenon in conjunction with the initial load level. Given the detailed mesoscopic discontinuum modelling capabilities, the present framework can be utilized for potential applications which include: a) detailed thermo-mechanical characterization of various kinds of concrete, b) understanding the evolution of fracture patterns in concrete under thermo-mechanical loading c) sensitivity analyses, and d) post-heating residual capacity quantification

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1 studies. Moreover, the future work will focus on a) extending this framework to 3D, with
2 precise morphology b) improvised material models for relatively accurate thermo-mechanical
3 predictions, and c) incorporation of spalling phenomena in the proposed framework.

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Tables

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Table 1. Elastic properties of the zones.

Physical property	Aggregates	Mortar
Modulus of elasticity (E)	70.0 GPa	29.4 GPa
Poisson's ratio (ν)	0.2	0.2
Shear modulus (G)	29.2 GPa	12.3 GPa

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Table 2. Nonlinear contact parameters.

Physical property	M-M
K_n (GPa/m)	18400
K_s (GPa/m)	7660
f_t (MPa)	2.5
G_{fl} (N/m)	60
f_{cm} (N/m)	31
β	0.05
c_o (MPa)	3.8
c_{res} (MPa)	$0.01c_o$
ϕ	34 °
ϕ_{res}	34 °
G_{f2} (N/m)	240

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Table 3. Summary of Macro-level DEM model response.

Physical property	Anderberg and Thelandersson [2]	DEM analysis
E (GPa)	21.5	21.59
f_c (MPa)	35	34.30
ε_{ic}	0.0015	0.00139

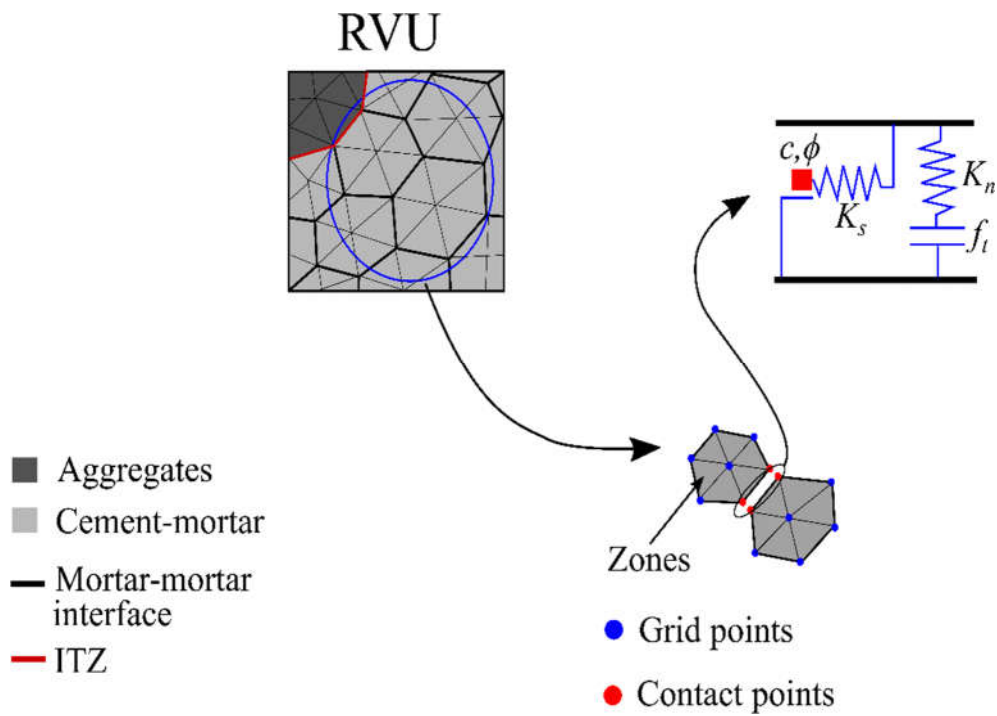
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Figures

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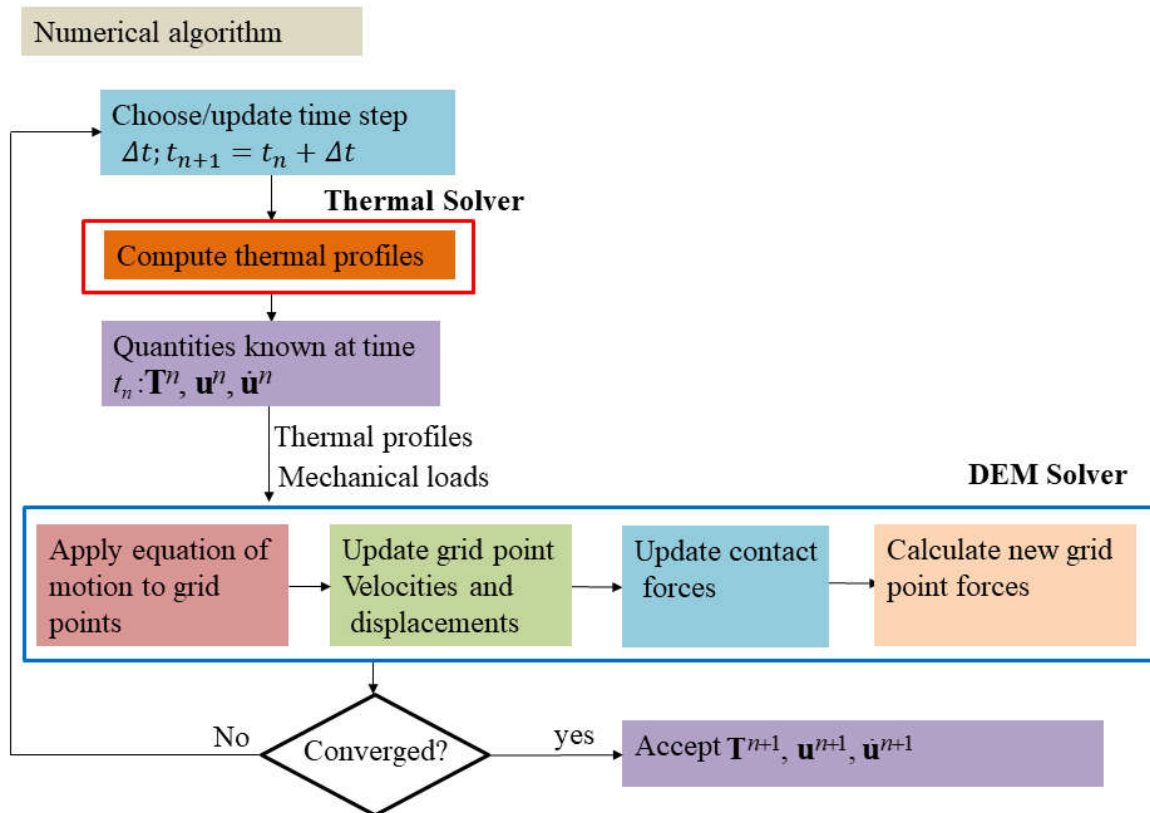
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Figure 1. 2D Thermo-mechanical DEM modelling strategy.

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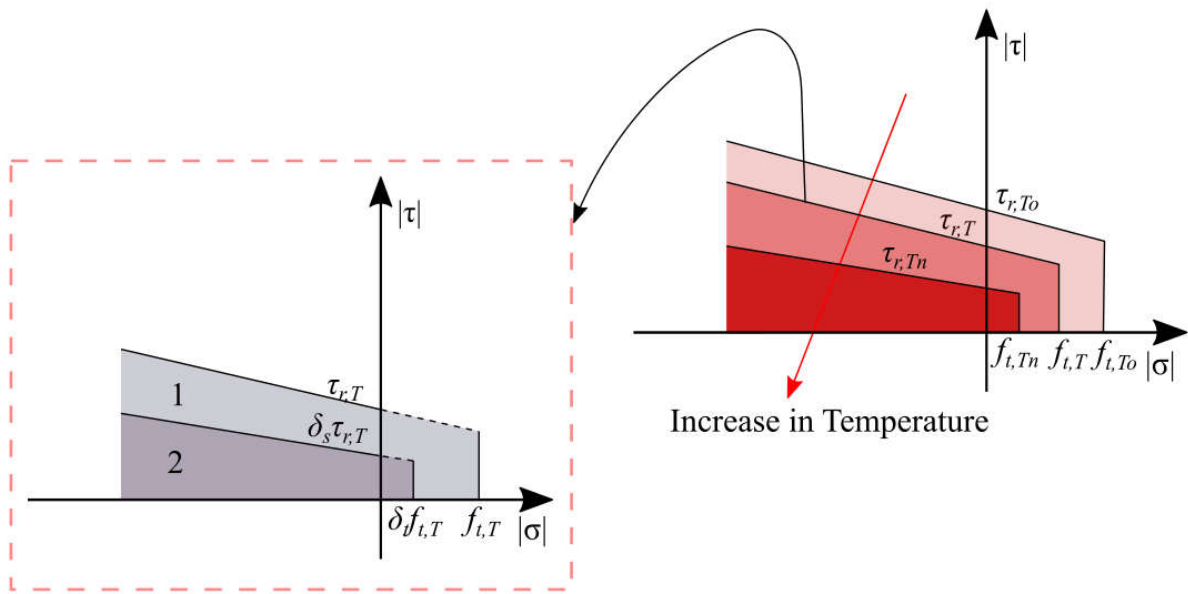
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Figure 2. DEM based thermo-mechanical analysis framework.

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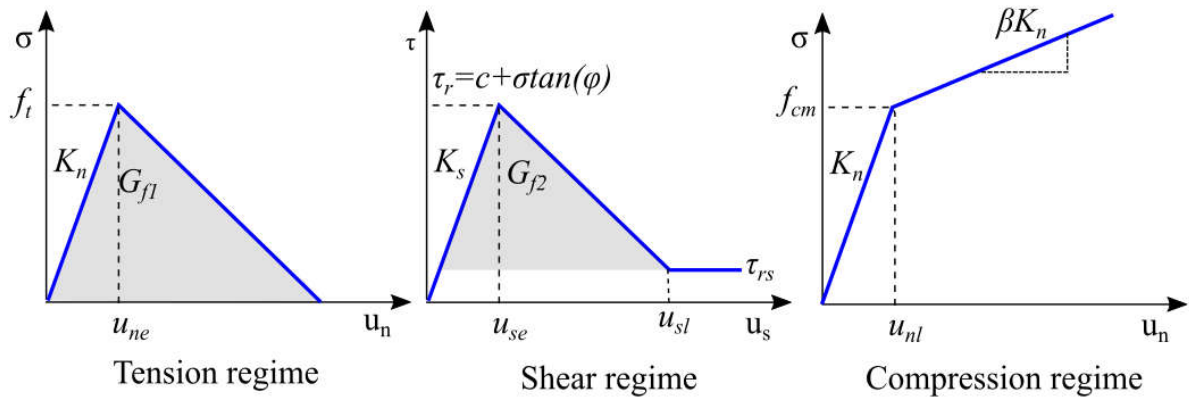
Failure envelope at temperature T

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Figure 3: Temperature dependency on interface failure envelopes.

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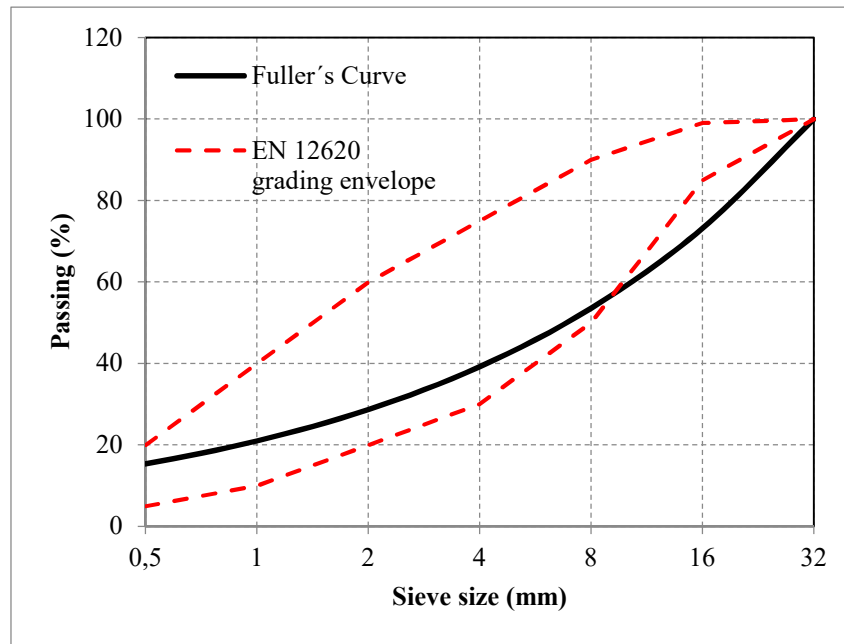
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Figure 4. Contact constitutive models in tension, shear, and compression.

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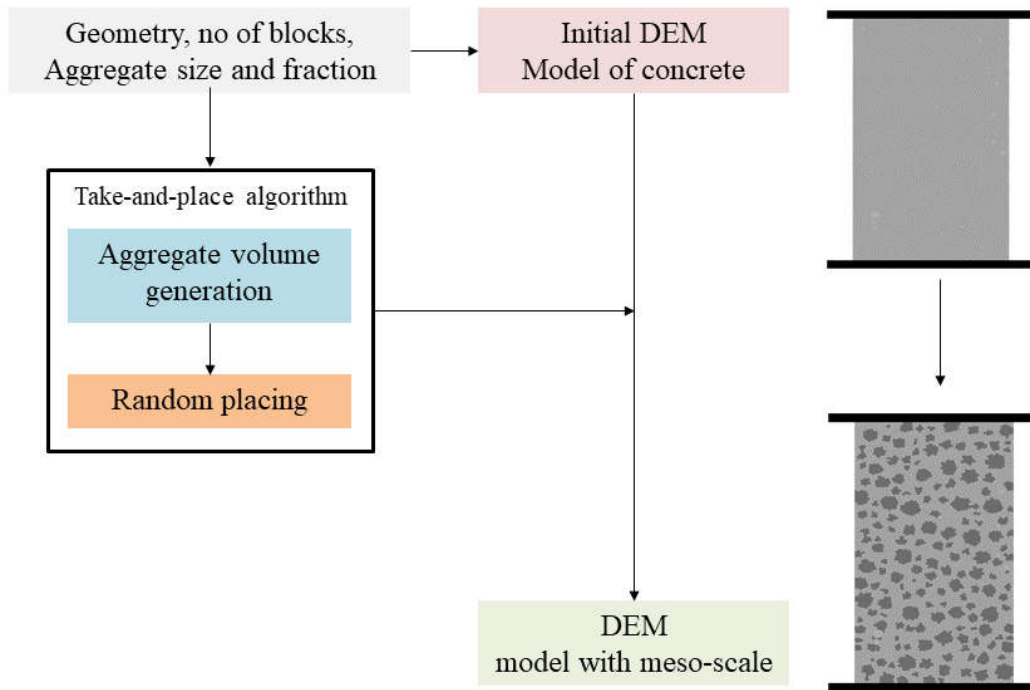


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3 **Figure 5: Fuller's and Limiting gradation curves of aggregates of concrete (EN 12620).**

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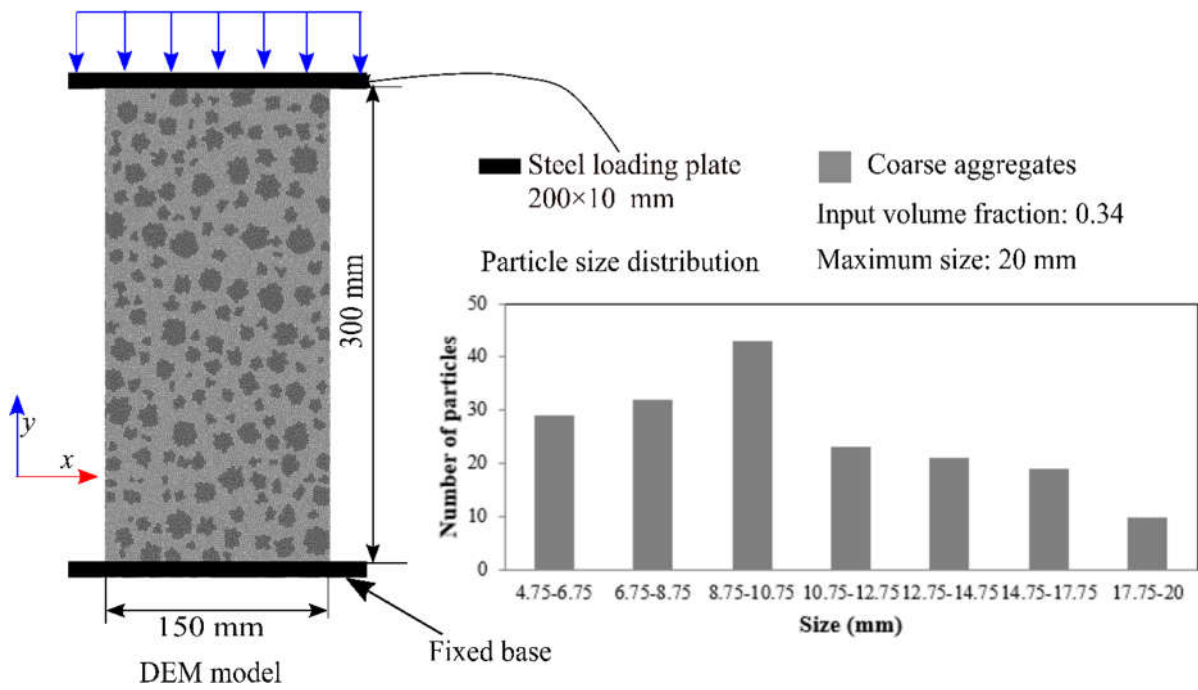
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Figure 6. Meso-scale DEM model generation algorithm.

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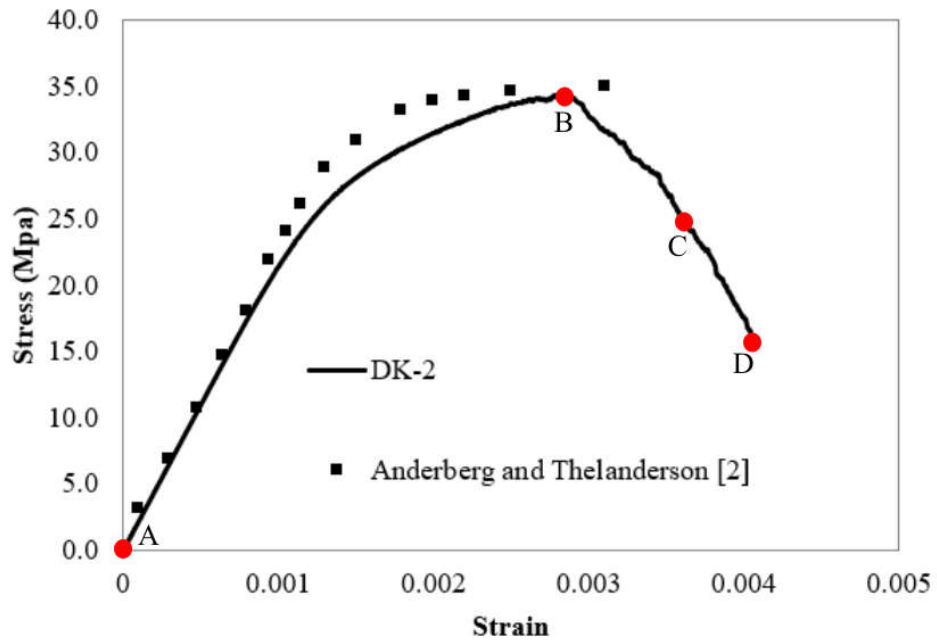
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Figure 7. 2D meso-scale DEM model of concrete.

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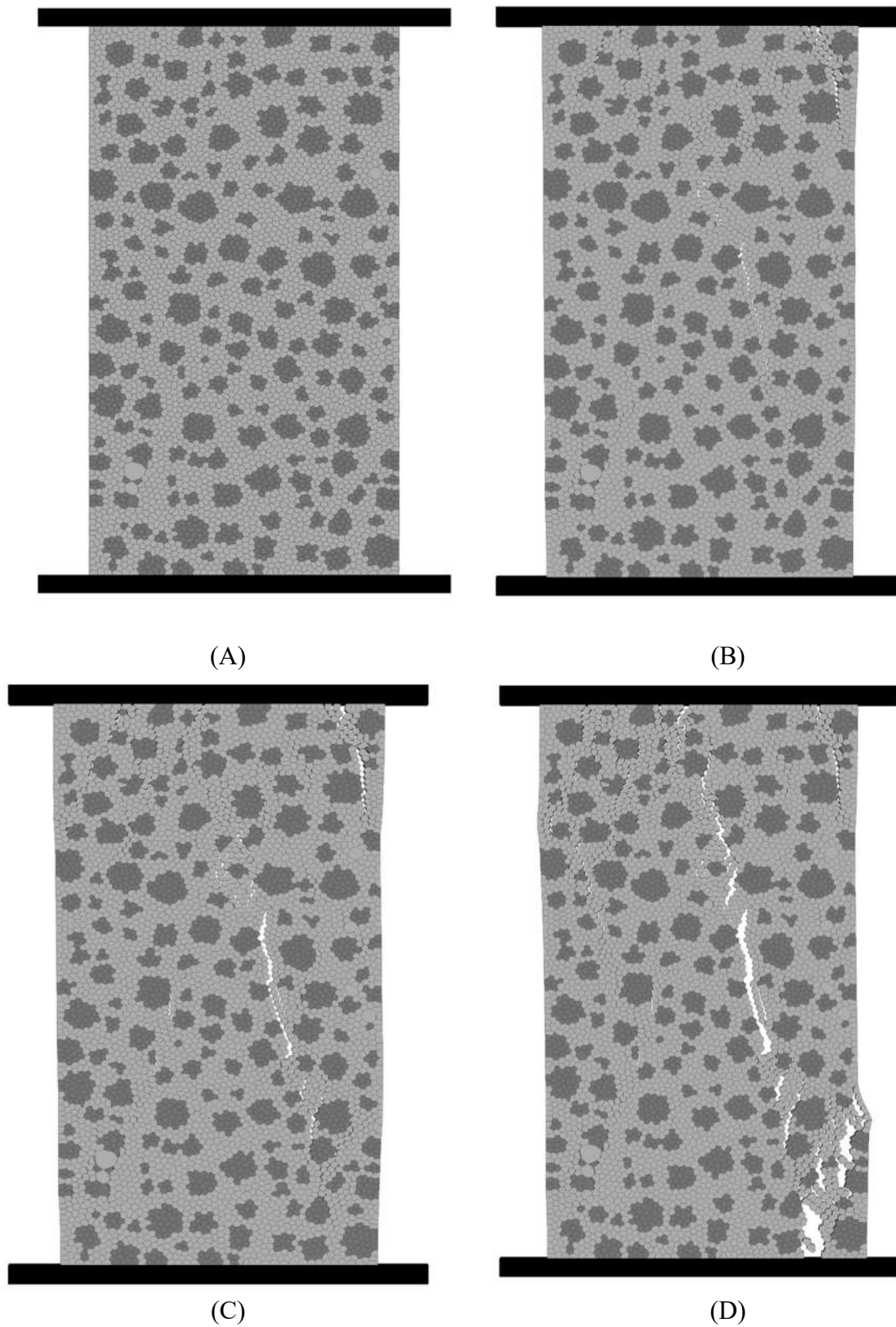
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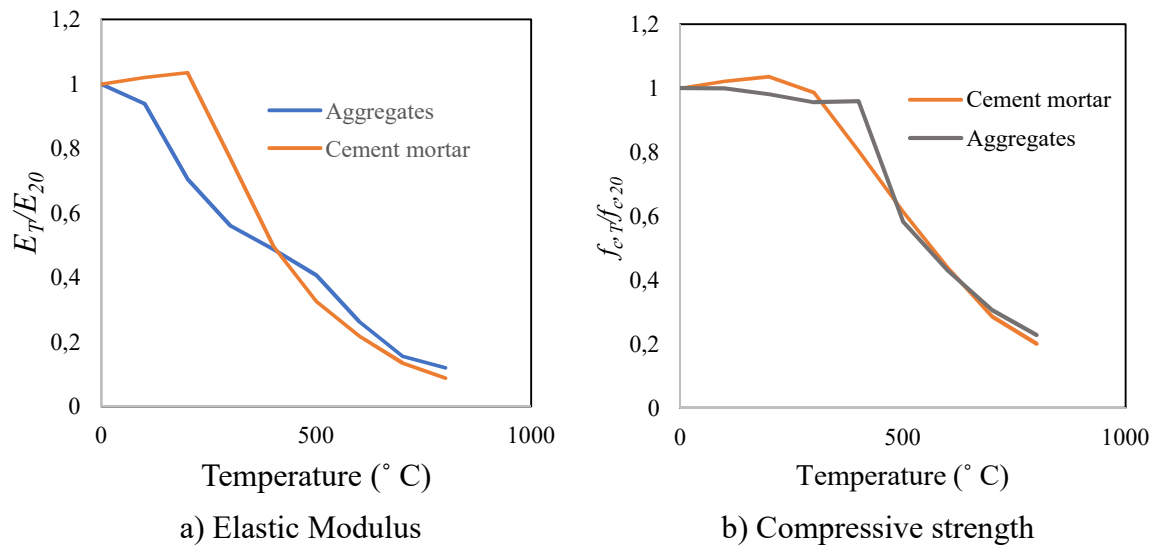
Figure 8. Macro-scale stress-strain response of the DEM model.

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2 **Figure 9. Fracture patterns in uniaxial compression simulation at points A to D**
3 **identified in Figure 8.**
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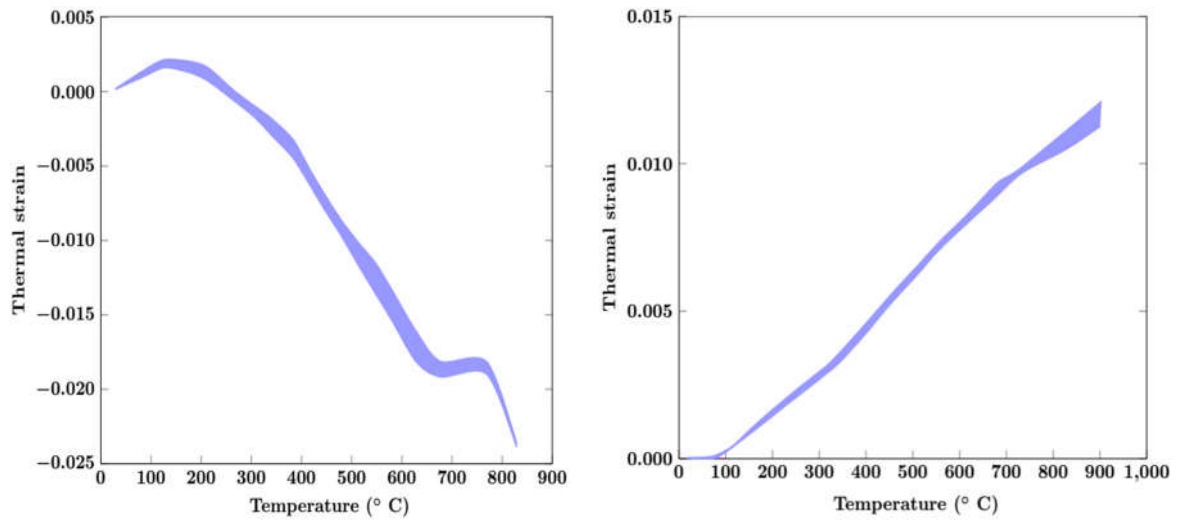
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Figure 10. Adopted temperature-dependent mechanical properties of aggregates [2] and cement mortar [50].

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a) Cement Mortar

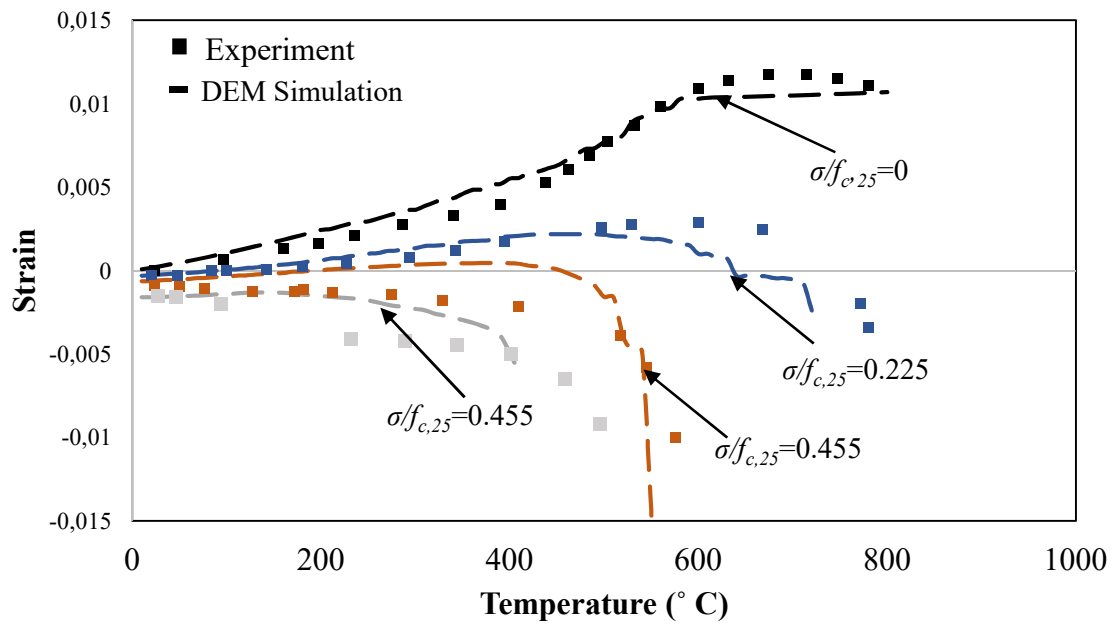
b) Aggregates

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Figure 11: Thermal expansion of cement mortar and aggregates [52].

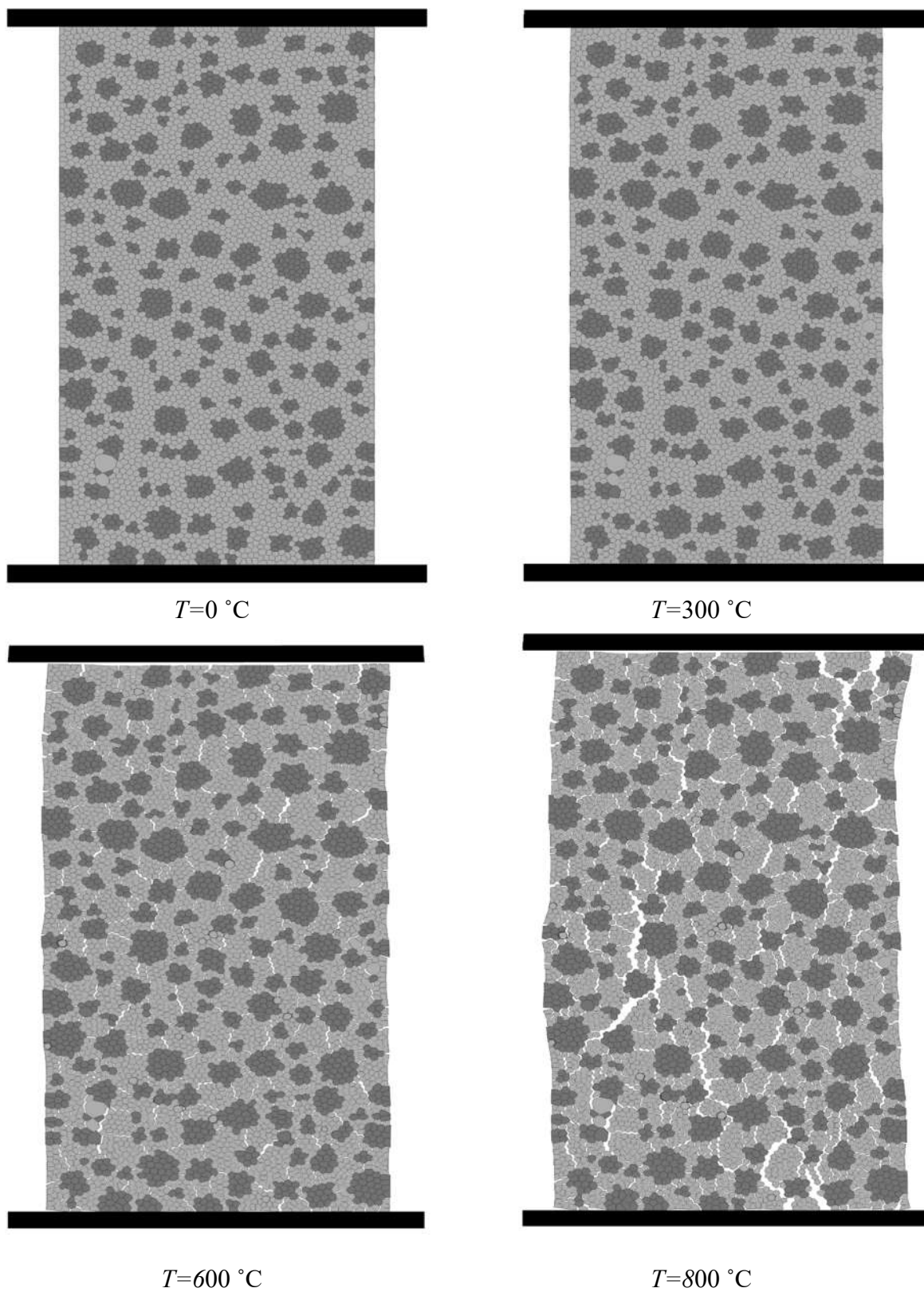
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2 **Figure 12. Comparison between experimental and numerical results for temperature vs.**
3 **total strain under different compressive stresses.**

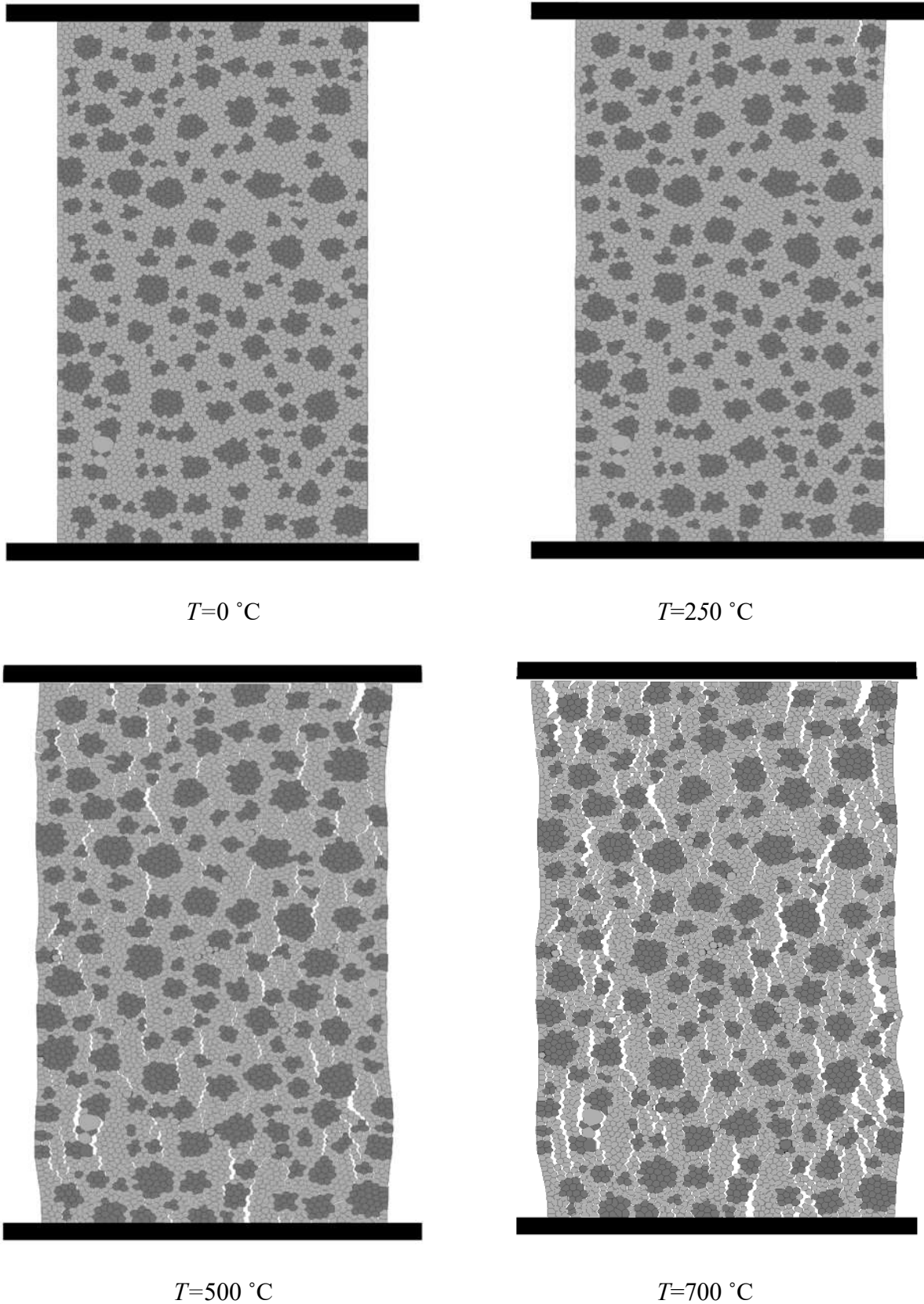
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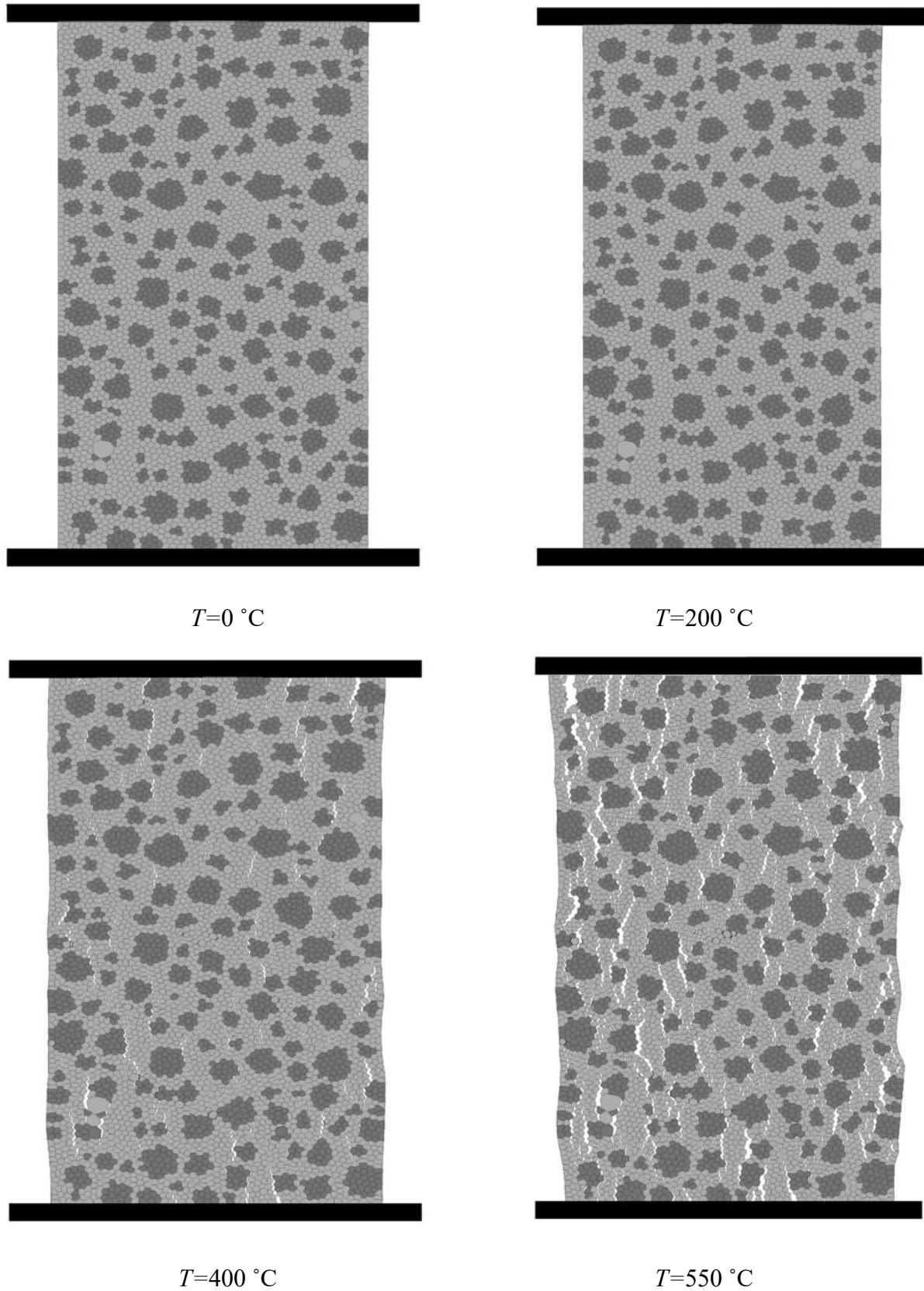
Figure 13. Fracture patterns in thermo-mechanical simulation ($\sigma/f_{c,25}=0$).

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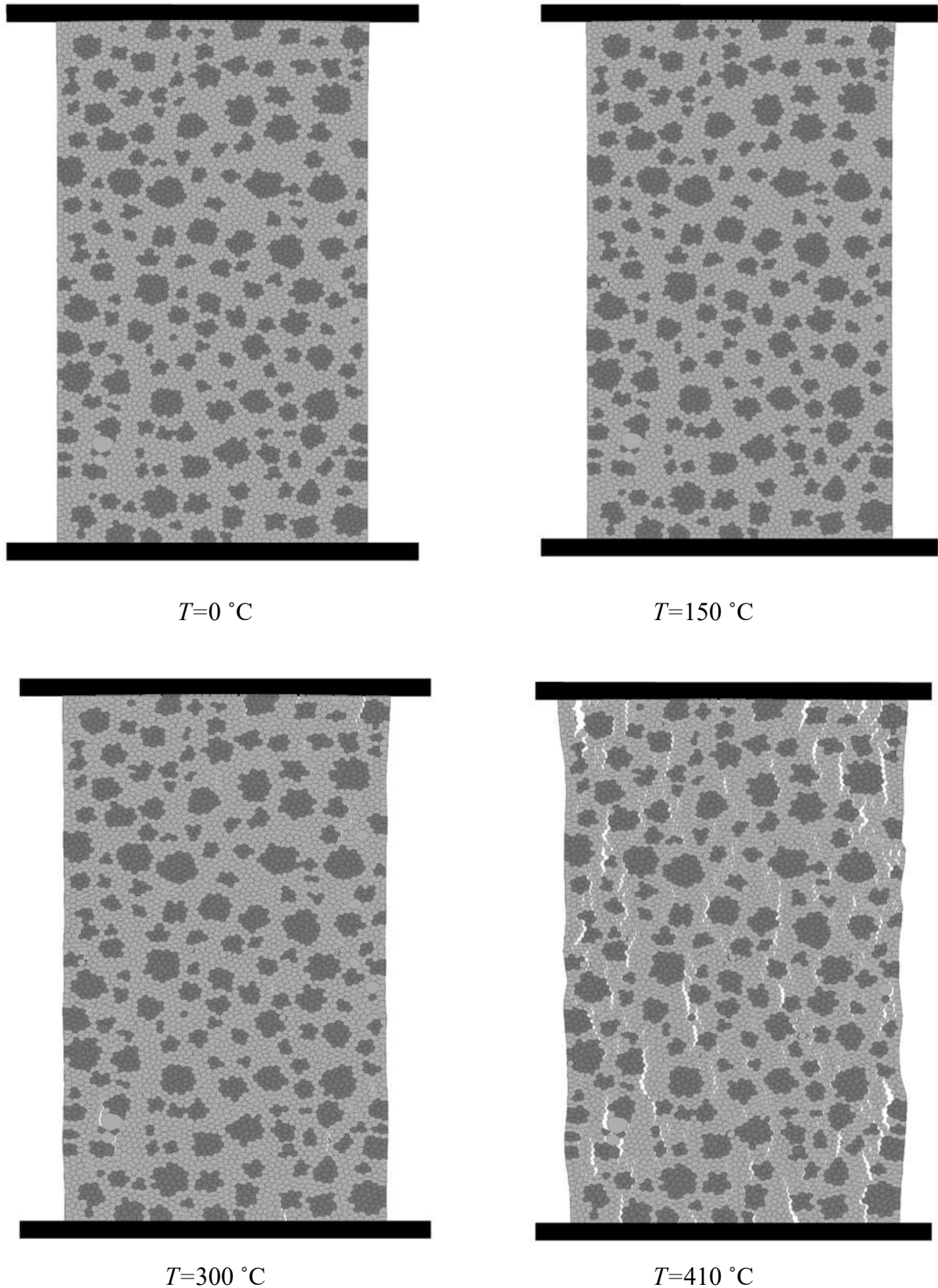
1 **Figure 14. Fracture patterns in thermo-mechanical simulation ($\sigma/f_{c,25}=0.225$).**

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1 **Figure 15. Fracture patterns in thermo-mechanical simulation ($\sigma/f_{c,25}=0.445$).**

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1 **Figure 16. Fracture patterns in thermo-mechanical simulation ($\sigma/f_{c,25}=0.675$).**

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