

# 1 Comparison of the performance of hydraulic lime- and clay-based grouts in 2 the repair of rammed earth

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## 6 **Abstract:**

7 Earth constructions constitute an important part of the built heritage and are spread worldwide. Rammed earth is  
8 among the most used earth construction techniques, though it exhibits a high seismic vulnerability. Nevertheless,  
9 the structural behaviour of rammed earth structures is still insufficiently comprehended. Thus, the preservation  
10 of this built heritage requires exhaustive characterisation of its mechanical and structural behaviours, as well as  
11 the development and validation of adequate intervention solutions. In this context, this paper presents an  
12 experimental program aimed at evaluating the effectiveness of grout injection to repair cracks and at further  
13 characterising the in-plane shear behaviour of rammed earth walls. The experimental program included the  
14 testing of rammed earth wallets under diagonal compression, which were subsequently repaired with injection of  
15 a clay-based or a hydraulic lime-based grout, and retested. Furthermore, sonic tests were conducted on the  
16 wallets before the destructive tests. The obtained results allowed to highlight that both grouts led to similar  
17 repairing performances, though the interlocking contribution promoted by the coarse particles of the rammed  
18 earth to the shear behaviour was found to be irrecoverable.

19

20 **Keywords:** Rammed earth; grout injection; clay-based grout; lime-based grout; repair; shear behaviour; diagonal  
21 compression; digital image correlation; sonic testing.

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23 **Highlights:**

- 24 - The behaviour of rammed earth was investigated under compression and shear loading;
- 25 - The repair effectiveness of clay- and lime-based grouts was compared;
- 26 - The clay- and lime-based grouts present similar repair performance;
- 27 - The injection repair was unable to recover the interlocking of the coarse particles;
- 28 - Sonic tests were not sufficiently sensitive to evaluate the repair effectiveness of injection.

29

30

## 31 1. INTRODUCTION

32 Raw earth has been widely used around the World as a building material [1]. In developing countries, it is still  
33 used intensively to build shelter, though in developed countries its current use is marginal [2]. An important  
34 earth built stock is spread worldwide and is estimated to house one-fifth to one-third of the World's population  
35 [1][3][4]. The concept of building with raw earth is strongly related to the concept of vernacular architecture  
36 [2][5], meaning that several building techniques were developed through time according to local factors [3].  
37 Nevertheless, adobe masonry and rammed earth are frequently depicted as the most popular earth construction  
38 techniques [1], which constitute a large majority of the earthen built stock. Adobes are sundried units made of  
39 wet earth moulded inside a timber mould, which are layered with earth mortar to build walls, arches, vaults and  
40 domes [6]. Building with rammed earth consists in compacting moist earth by layers inside a removable  
41 formwork to build monolithic walls. The traditional building process consists in the compaction of large  
42 dimension blocks, where the formwork is supported directly on the wall. After the conclusion of a block, the  
43 formwork is dismantled and moved horizontally. With the conclusion of a lift, the formwork is moved upwards  
44 and mounted with mismatched vertical joints, and then the process is repeated until the desired height of the wall  
45 is achieved [7].

46 Similarly to adobe, rammed earth constructions are also acknowledged to present high seismic vulnerability,  
47 which is mainly attributed to poor connections between structural elements, high self-weight and low mechanical  
48 properties [8]. The fact is that the recent earthquakes of Bam 2003, Pisco 2007 and Maule 2010 demonstrated the  
49 high seismic vulnerability of this type of constructions, where life and economic losses were catastrophic. This  
50 limitation of rammed earth construction is of particular concern in the case of southern Portugal [9], namely in  
51 Alentejo and Algarve regions. The built heritage in these regions is comprised of a high percentage of rammed  
52 earth buildings [10][11], though their integrity and the life of their inhabitants are continuously menaced by a  
53 moderate seismic hazard [12].

54 During an earthquake, rammed earth walls can be subjected to in-plane shear and out-of-plane bending loadings,  
55 meaning that the seismic performance of the building is mainly governed by the response of the walls to these  
56 actions. Precisely, the few research on the seismic behaviour of rammed earth constructions has been mainly  
57 conducted with respect to the experimental characterisation of the in-plane and out-of-plane behaviours. The in-  
58 plane shear behaviour has been mainly characterised by means of triaxial shear tests, triplet tests [13], diagonal  
59 compression tests on wallets [5][14] and cyclic shear-compression tests on walls [15][16][17]. These tests have  
60 shown that the shear behaviour of unstabilised rammed earth walls is governed by binding forces due to capillary

61 suction originated at the porous structure of the material (to which greatly contributes the presence of clay) [18],  
62 as well as by the friction and interlocking capacity of the coarse particles. In the case of stabilised rammed earth,  
63 the role of the suction forces with respect to the binding mechanisms of the cementitious gels decreases with  
64 increasing percentage of the stabiliser. The layered structure of rammed earth was also evidenced to have  
65 participation in the shear behaviour, as local sliding failure along the interfaces was observed in some cases  
66 [15][17]. The out-of-plane bending of rammed earth has been also investigated at different scales, namely by  
67 means of four-point bending tests on beams [19], airbag bending tests on panels [20] and overturning tests on  
68 walls [8]. Here, the interfaces were also shown to constitute weak points, as failure tended to occur at these  
69 surfaces due to lower tensile strength values in comparison with those of the material within the layer.

70 Rammed earth constructions from southern Portugal are often found in poor conservation condition [11][21],  
71 which contributes to increasing their seismic vulnerability [22]. The integrity of rammed earth constructions is  
72 disturbed by several weathering agents, namely the action of water (e.g. in the form of rainfall, rising damp and  
73 freeze-and-thaw cycles), wind, solar radiation and environmental chemicals (e.g. salts and acid rain) [23][24].  
74 The integrity of rammed earth materials is also affected by excessive loads transmitted by the roof and  
75 pavements, as well as by those originated by settlement of foundations and seismic activity [25]. For instance,  
76 local crushing of rammed earth walls may occur due to elements of the roof supported directly by the rammed  
77 earth, while cracking may occur due to horizontal thrusts applied by the roof or vaulted ceilings/pavements.  
78 Cracks constitute preferential paths for rainfall infiltration, which facilitate the increase of the moisture content  
79 in the material [23], leading to a substantial reduction of the mechanical properties of rammed earth [26]. The  
80 structural capacity and stiffness of rammed earth constructions is also reduced by the presence of important  
81 cracks, since they disrupt the monolithic behaviour of the walls and of the overall structure. As a consequence,  
82 weathering and excessive loading may compromise seriously the durability of rammed earth constructions and  
83 lead to a decrease of their structural performance.

84 The aforementioned context justifies the need for adopting adequate intervention techniques [23][27] able to  
85 reinstate the bond disrupted by cracks and to mitigate the exposure to moisture ingress. In general, cracks can be  
86 repaired using different techniques, such as filling the gap with mortar and stitching, although their effectiveness  
87 and intrusiveness are questionable [25][28]. In turn, the repairing of cracks in earthen materials with grout  
88 injection was shown to be an efficient solution [29][30], where the fulfilling of compatible requirements was  
89 deemed as a key feature [31]. This requirement led to the development of clay-based grouts, instead of the use of  
90 lime- and cement-based binary/ternary grouts used in the consolidation of stone and brick masonry



91 [32][33][34][35][36][37]. Implementation of crack repair with injection of clay-based grouts is mainly reported  
92 in the literature regarding adobe masonry structures, being the grouts stabilised with cement or lime [38][39].  
93 Unstabilised clay-based grouts were also comprehensively investigated with respect to their rheology, strength  
94 and adhesion [40], and were adopted for repairing laboratory adobe models tested on shaking table [41] or under  
95 lateral loading [42]. The results showed that injection repair with clay-based grouts achieves only partial  
96 recovery of the initial structural stiffness and load-bearing capacity of the models. Nevertheless, a significant  
97 strength recovery (higher than 90%) was observed in Illampas et al. [42], where an additional improvement of  
98 the connection between the walls and the roof was also reported. The repair of cracks in rammed earth with  
99 injection of unstabilised clay-based grouts was also addressed in Silva et al. [14], where repaired wallets tested  
100 under diagonal compression reached a satisfactory recovery of the shear strength, but the recovery to the initial  
101 shear stiffness was not possible. A drawback of clay-based grouts was reported with respect to its laborious  
102 preparation process, which required sieving fine particles of the soil used originally in the construction. In this  
103 regard, lime-based grouts, initially deemed as less compatible materials, seem to offer a more practical solution,  
104 as they are readily accessible in the market at affordable costs. Nevertheless, the lime-based grout proposed in  
105 Müller et al. [43] has shown low performance when used to repair cracks in cob walls. Thus, the injection  
106 technique seems to present low performance when used to repair monolithic earthen materials, such as rammed  
107 earth, though the doubt remains whether this is an intrinsic aspect of the technique or if it depends on the type of  
108 grout used.

109 An experimental program was carried out with the main objective of comparing the effectiveness of the use of  
110 clay- and lime-based grouts to repair cracks in rammed earth. The comparison was performed by means of  
111 destructive diagonal compression tests and non-destructive sonic tests on rammed earth wallets. Furthermore, the  
112 diagonal compression tests were also aimed at better describing the initial and repaired shear behaviour of the  
113 specimens, using the digital image correlation (DIC) technique to document the cracking evolution at the surface  
114 during the loading sequences.

115

## 116 **2. EXPERIMENTAL PROGRAM**

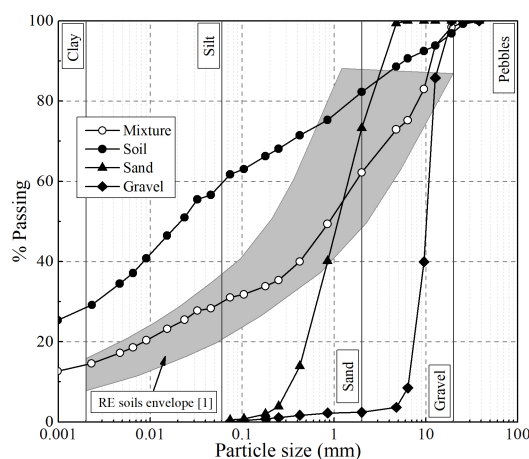
117 The experimental program involved the execution of diagonal compression tests on wallets representative of  
118 unstabilised rammed earth walls from Alentejo region. Each wallet was subjected to a first diagonal compression  
119 testing sequence, being subsequently repaired and tested again under diagonal compression. Sonic tests were

120 conducted additionally to evaluate the effectiveness of the injection repair. The manufacturing of the specimens,  
121 testing procedures and grout injection repair are detailed in the subsequent sections.

122

## 123 2.1 Manufacturing of the specimens

124 Six rammed earth wallets were manufactured using soil collected from Alentejo (Southern Portugal). This soil  
125 was previously studied in Silva et al. [14] and deemed as presenting excessive clay content, meaning that its  
126 particle size distribution required correction by addition of coarse aggregates. The final mixture consisted of  
127 50% of soil, 28% of river sand and 22% of gravel. The particle size distribution curves [44] of the soil mixture  
128 and respective components are presented in Fig. 1. Furthermore, the soil mixture presented a liquid limit (LL) of  
129 23%, plastic limit (PL) of 16%, plasticity index (PI) of 7% [45], and standard Proctor maximum dry density  
130 ( $\rho_{dmax}$ ) of 2100 kg/m<sup>3</sup> at optimum water content (OWC) of 10.1% [46]. The wallets were built with dimensions  
131 of 550x550x200 mm<sup>3</sup> and using a procedure similar to that described in Silva et al. [14], where the water content  
132 was defined according to the drop ball test [47] instead of the OWC, since the former was assumed for practical  
133 reasons. The compaction of the wallets was performed in 9 layers by controlling the mixture weight and  
134 thickness (about 61 mm) in order to theoretically achieve a dry density of the wallets identical to that of the  
135 wallets tested in Silva et al. [14], namely 2025 kg/m<sup>3</sup>. The actual dry density of the wallets was computed  
136 considering their dimensions after compaction, total mass of compacted soil mixture and the water content [48].  
137 The average dry density was found to be slightly higher (2043 kg/m<sup>3</sup>) than that reported in Silva et al. [14], while  
138 the average compaction water content was slightly lower (9.1%) than that in Silva et al. [14] (10.4%).



139

140 Fig. 1 – Particle size distribution curves of the raw materials used to build the rammed earth specimens.

141

142 Additionally, six cylindrical specimens (100 mm diameter and 200 mm height) were manufactured to assess the  
143 compressive behaviour of the rammed earth. These specimens were compacted in three layers 67 mm thick, with  
144 a dry density comparable to that of the wallets (average value of 2065 kg/m<sup>3</sup>).

145

## 146 **2.2 Testing procedures**

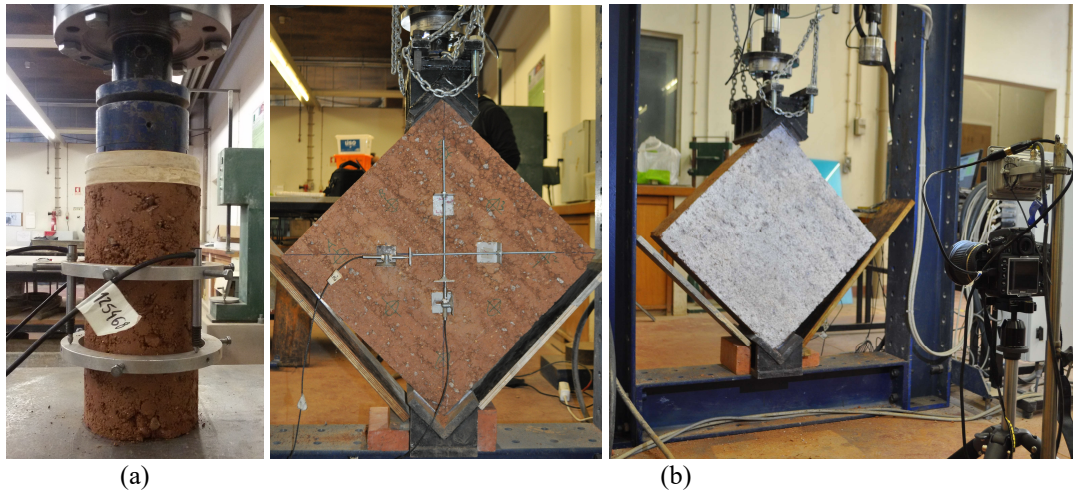
147 The cylindrical specimens were tested under axial compression, while the wallets were subjected to diagonal  
148 compression and sonic tests. The procedures used to perform the destructive and non-destructive tests are  
149 described below.

### 150 *2.2.1 Destructive tests*

151 The axial compression tests were carried out on the cylindrical specimens after a drying period of about  
152 9 months in controlled ambient conditions, with temperature of 20±1°C and relative humidity of 57.5±2.5%. The  
153 compression load was applied by means of a servo-controlled actuator under displacement control at a constant  
154 displacement rate of 0.003 mm/s and the axial deformations at the middle third were measured by means of three  
155 LVDTs disposed radially (see Fig. 2a). This testing procedure aimed at obtaining the compressive stress-strain  
156 responses, as well as the compressive strength and the Young's modulus of the rammed earth.

157 The wallets were tested under diagonal compression according to the ASTM E 519 procedure [49], using a  
158 servo-controlled actuator to apply a displacement controlled load at a constant displacement rate of 0.002 mm/s  
159 (see Fig. 2b). The deformations at one of the faces of the wallets were monitored by means of LVDTs attached  
160 to the middle third of each diagonal. The LVDTs were attached using the metallic apparatus illustrated in Fig.  
161 2b, fixed by the extremities to the rammed earth with hot glue. The deformations at the opposite face were  
162 monitored using digital images taken sequentially from the surface, which were subsequently processed adopting  
163 a DIC procedure. This procedure involved the previous creation of a stochastic black paint speckle pattern at the  
164 specimen's surface, applied in a very thin layer of low water content white paint in order to minimize the  
165 disturbance of the moisture conditions at the specimen's surface. The camera sensor consisted of a full frame  
166 CMOS with 36 Mpix and an objective lens with a focal length of 35 mm and an aperture of f11, which  
167 photographed the surface of the wallets every 30 s. It should be noted that this technique constitutes an accurate  
168 procedure to acquire the full-field surface displacements by comparing digital images of the object during the  
169 loading process. Furthermore, the post-processing of these images assuming the material as a continuum allows  
170 to obtain surface deformation maps [50]. The diagonal compression tests were aimed at characterising the

171 stiffness, strength, stress-strain response and the failure mode of the rammed earth under shear loading,  
172 considering both the undamaged and repaired conditions. Thus, the wallets were tested twice. In the first time,  
173 wallets were tested after the above mentioned period of about 9 months, while in the second time they were  
174 tested about 28 days after being repaired with grout injection. During both periods the wallets were stored in the  
175 laboratory, where the temperature and the relative humidity were not actively controlled.



176 Fig. 2 – Setups of the destructive tests: (a) axial compression tests; (b) diagonal compression tests seen from the  
177 LVDTs measurement surface (left) and DIC measurement surface (right).  
178

### 179 2.2.2 Sonic tests

180 The test equipment used for performing the sonic tests is illustrated in Fig. 3a and consists of an instrumented  
181 hammer for inducing an initial sonic pulse (20 Hz to 20 kHz), a piezoelectric accelerometer to measure the  
182 arrival of the pulse, an acquisition unit from National Instruments with acquisition rate of 100 kHz and a  
183 computer with a software developed at University of Minho for acquisition and analysis of the results. Direct and  
184 indirect sonic tests were performed for all wallets, as illustrated in Fig. 3b. Direct tests were performed for three  
185 horizontal alignments (A, B and C) with a length of about 550 mm, where the pulse was generated in one of the  
186 edges and the arrival was measured at the opposite edge. Indirect tests were performed on one selected face of  
187 the wallets using a grid composed of three horizontal alignments (a, b and c) and three vertical alignments (1, 2  
188 and 3). The length of each alignment was of about 350 mm. The velocity of the P-waves ( $V_p$ ) depends on the  
189 distance between the point of impact of the hammer and the point of measurement of the arrival of the pulse ( $s$ ),  
190 and is computed according to eq. (1). It should be noted that six valid tests were considered to compute the  
191 average arrival time of the P-waves ( $\Delta t$ ) in each alignment.

$$V_p = \frac{s}{\Delta t} \quad (1)$$

192

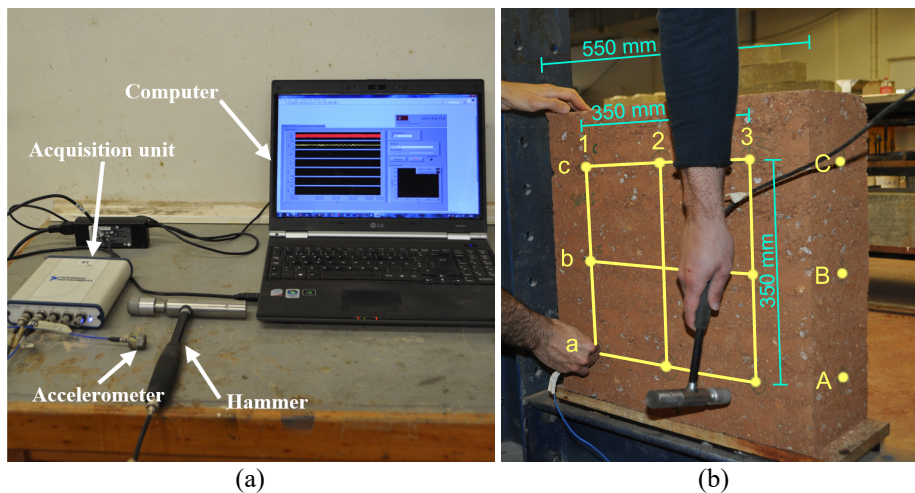
193 The main objective of the sonic tests was to evaluate the quality of the injection repair (capacity of re-  
 194 establishing material continuity and bond) by measuring  $V_p$  before the first diagonal compression test and after  
 195 the repair. Furthermore, the sonic tests allowed to evaluate the dynamic Young's modulus in two main directions  
 196 of the rammed earth (i.e. parallel and perpendicular to the compaction layers). The dynamic Young's modulus  
 197 ( $E_d$ ) was computed using Equation (2) [51]:

198

$$E_d = \rho V_p^2 \frac{(1 + \nu)(1 - 2\nu)}{(1 - \nu)} \quad (2)$$

199

200 where  $\rho$  is the bulk density and  $\nu$  is the dynamic Poisson's ratio of the rammed earth.



201 Fig. 3 – Sonic tests: (a) overview of the testing equipment; (b) tested alignments.

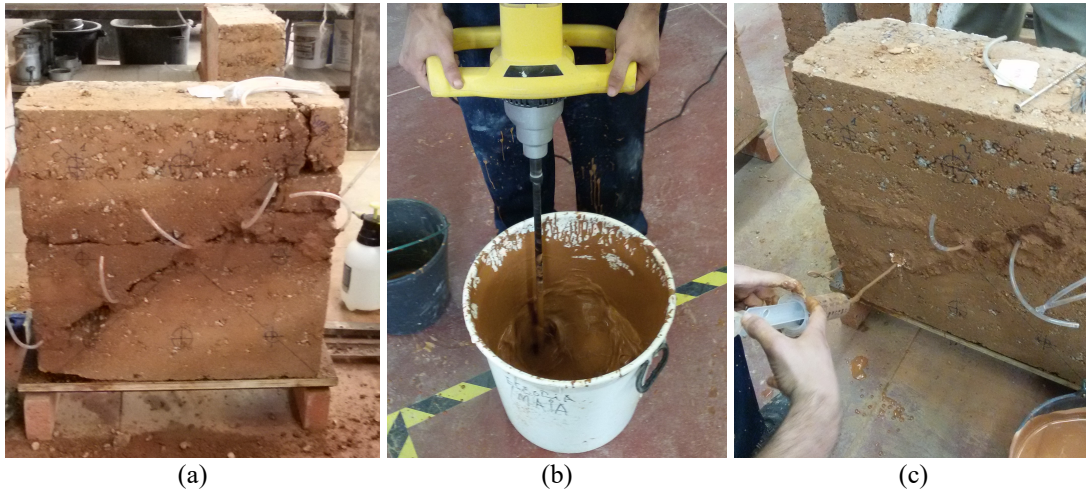
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### 203 2.3 Repair procedure

204 After the first series of diagonal compression tests, the wallets presented main cracks that divided them into 3-6  
 205 parts, which were removed from the testing apparatus and remounted together. Then, the cracks were sealed with  
 206 an earth-based mortar prepared with the soil used to build the wallets, previously sieved to remove the particles  
 207 larger than 4.75 mm. Injection tubes made of flexible transparent plastic with 6 mm diameter were installed in  
 208 one of the sides of the specimens. The tubes were embedded as deep as 4 cm from the surface and their spacing  
 209 was inferior to 10 cm. Before conducting the injection, the sealing mortar was left to dry for more than one day.  
 210 A syringe with 100 ml capacity was used to perform the grout injection, but before that, it was used to inject



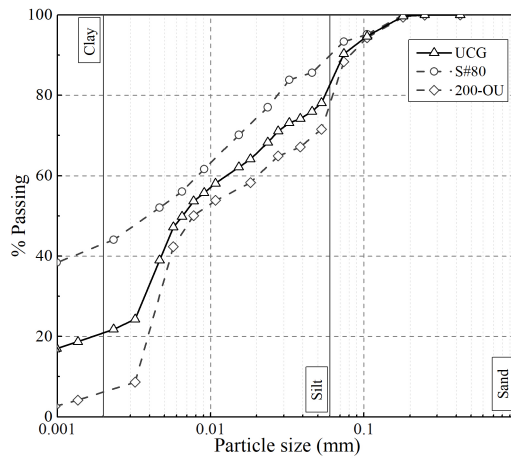
211 100 ml of water in each injection tube in order to mitigate water sorption from the grouts to the rammed earth  
212 material. The injection of the grouts was performed one hour after the injection of the water, starting from the  
213 bottom tube up to the top one. Grout leakage from an adjacent injection tube dictated the sealing of the tube  
214 being injected and the continuation of the process through the leaking tube. It should be noted that the width of  
215 the injected cracks was observed visually to vary between 1 mm and 10 mm. Furthermore, the repaired wallets  
216 presented similar dimensions to those in undamaged condition. The repair procedure is illustrated in Fig. 4.



217 Fig. 4 – Repair procedure: (a) installation of the injection tubes and sealing of the cracks; (b) mixing of the clay-  
218 based grout; (c) grout injection.  
219

220 Three wallets were repaired using grout UCG, and other three wallets were repaired using grout FB790. UCG  
221 consists of an unstabilised clay-based grout previously studied in Silva et al. [14] (mud grout B) and is composed  
222 of the same soil used to build the rammed earth wallets, which was previously wet sieved to remove the particles  
223 larger than 0.180 mm (S#80). This grout incorporated limestone powder (200-OU) as a filler material to reduce  
224 the clay content of the sieved soil to a value of about 21% (see Fig. 5 for particle size distribution), while sodium  
225 hexametaphosphate (HMP) was used to obtain adequate fluidity and low water/solids ratio (W/S). FB790 is a  
226 commercial grout provided by Fassa Bortolo and is composed of hydraulic lime (NHL 3.5) and graded fillers,  
227 including pozzolanic materials. The manufacturer specifies the use of this grout in the consolidation of historical  
228 masonry due to its enhanced durability and compatibility. Tap water was used in the mixing of both grouts,  
229 which was performed by adding the solid fraction to the water and then by mixing with a hand mixer for about  
230 5 min. The compositions of both grouts and their main properties are summarised in Table 1, in terms of flow  
231 time of 1 dm<sup>3</sup> (ASTM C 939 [52]), average flexural strength ( $f_b$ ) and average compressive strength ( $f_c$ )  
232 (EN 1015-11 [53]). The specimens used to evaluate the mechanical properties of the grouts were casted during  
233 the repair process and were stored next to the wallets. The tests were conducted during the second series of

234 diagonal compression tests, meaning that the grout specimens were tested at about 28 days after casting.  
 235 Regarding the composition, it is worth to highlight that both grouts present similar low values of W/S, which  
 236 resulted in similar flow time values. In terms of flexural strength, grout UCG was found to be about 2.6 times  
 237 weaker than grout FB790 and 2.9 times weaker regarding the compressive strength. This difference can possibly  
 238 increase with time, as a relevant hardening of grout FB790 is expected to occur after the testing age, since it is  
 239 composed of hydraulic lime. Few works report the mechanical properties of clay-based grouts used to repair  
 240 earthen materials [14][42], nevertheless the flexural strength is found to vary in the range 0.9-1.3 N/mm<sup>2</sup> and the  
 241 compressive strength in the range 2.2-2.5 N/mm<sup>2</sup>, which are values relatively similar to those of UCG. On the  
 242 other hand, grout FB790 is found to be relatively stronger than that studied in Müller et al. [43] (flexural strength  
 243 of 0.5 N/mm<sup>2</sup> and compressive strength of 4.7 N/mm<sup>2</sup>).



244  
 245 Fig. 5 – Particle size distribution curves of the materials used in grout UCG.  
 246

247 Table 1 – Composition of the grouts and main properties (CoV is given inside parenthesis).

Grout	Composition					Properties		
	S#80 (wt.%)	200-OU (wt.%)	HMP (wt.%)	FB790 (wt.%)	W/S	Flow time (s)	$f_b$ (N/mm <sup>2</sup> )	$f_c$ (N/mm <sup>2</sup> )
UCG	40	60	0.46	-	0.3	42	1.4 (12%)	3.0 (16%)
FB790	-	-	-	100	0.33	44	3.5 (6%)	8.5 (8%)

248  
 249 **3. RESULTS AND DISCUSSION**

250 The results of the experimental program are presented and discussed in the following sections with respect to the  
 251 typology of performed tests, namely destructive and non-destructive tests.

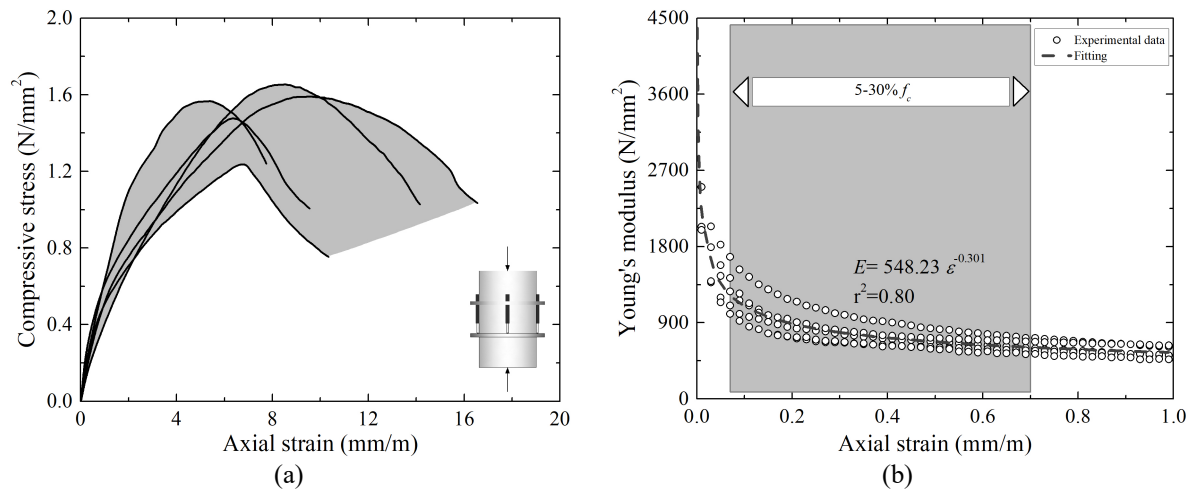
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### 253 3.1 Destructive tests

254 The results of the axial compression tests are presented in Fig. 6a in terms of stress-strain curves, evidencing an  
255 expressive non-linear behaviour and scattering of the deformation behaviour of the rammed earth, as reported in  
256 Silva et al. [7]. An average compressive strength value of  $1.5 \text{ N/mm}^2$  (CoV= 9%) was obtained and the Young's  
257 modulus, computed by linear fitting of the stress-strain curves in the range 5%–30% of the compressive strength,  
258 was of  $572 \text{ N/mm}^2$  (CoV= 18%). It should be noted that the average bulk density of the specimens was of about  
259  $2090 \text{ kg/m}^3$  (CoV< 1%) and the equilibrium water content, evaluated after the compression tests [48], was of  
260 about 0.8% (CoV= 6%). In comparison with Silva et al. [14], the strength values are just slightly higher. The  
261 minimum value obtained was of about  $1.24 \text{ N/mm}^2$ , meaning that the results deem with the minimum required  
262 compressive strength prescribed in NZS 4298 [47] for standard grade rammed earth constructions, namely  
263  $1.14 \text{ N/mm}^2$  (after applying the height/ thickness correction). On the other hand, the Young's modulus was found  
264 to be half of that obtained previously, probably as a result of the variability associated to this parameter. It should  
265 be highlighted that this parameter was computed in both works using the same procedure, and that the average  
266 bulk density and equilibrium moisture content of the respective specimens were very similar (respectively,  
267  $2070 \text{ kg/m}^3$  and 1% in the case of Silva et al. [14]). The Young's modulus of earthen materials is a parameter of  
268 uncertain definition due to their intrinsic non-linear behaviour. For instance, Fig. 6b presents the secant Young's  
269 modulus of the specimens as function of the axial strain, whose relationship apparently follows a power law.  
270 This behaviour is later discussed with respect to results of the sonic tests.

271 The results of the diagonal compression tests are presented in Table 2 for each wallet in terms of dry density  
272 ( $\rho_d$ ), equilibrium water content after the first ( $W_{eq1}$ ) and second test ( $W_{eq2}$ ) [48], volume of injected grout ( $V_g$ ),  
273 shear strength ( $f_{s1}$ ) and shear modulus ( $G_{01}$ ) in the first test, shear strength ( $f_{s2}$ ) and shear modulus ( $G_{02}$ ) in the  
274 second test, as well as in terms of shear strength recovery ratio ( $f_{s2}/f_{s1}$ ) and shear modulus recovery ratio  
275 ( $G_{02}/G_{01}$ ). The shear modulus values were computed by linear fitting of the shear stress-strain curve of each  
276 specimen (see Fig. 7) at 5% to 30% of their shear strength. It should be noted that due to technical issues, it was  
277 not possible to obtain the shear stress-strain curve of specimen WURE\_6 in the first series of tests. The wallets  
278 were labelled as WURE\_#, where WURE means “wallet - unstabilised rammed earth” and # is the number of the  
279 specimen.





280 Fig. 6 – Results of the axial compression tests: (a) stress-strain curves; (b) variation of the secant Young's  
 281 modulus with the deformation level.

282

283 Table 2 – Results of the first and second series of diagonal compression tests.

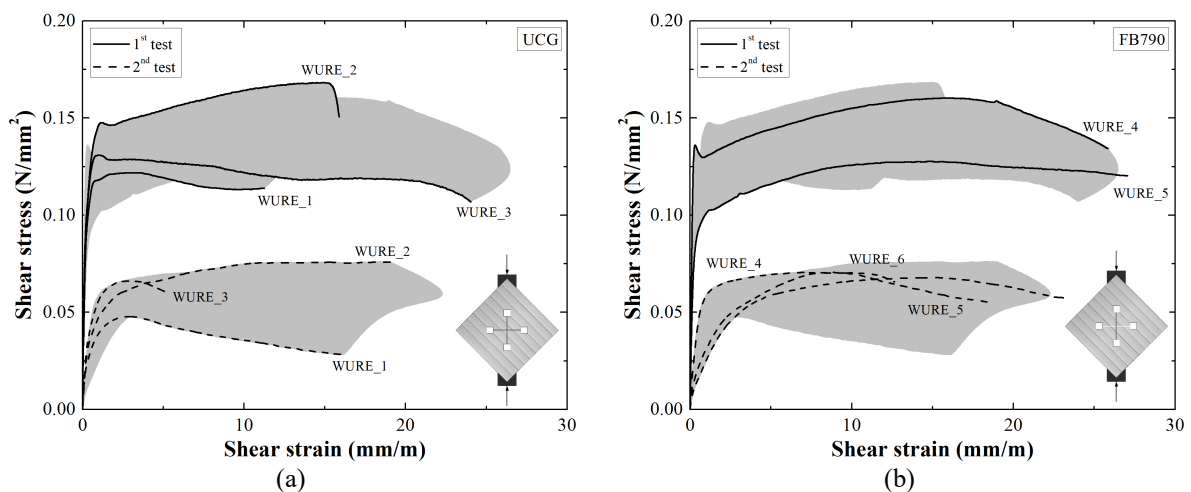
Specimen	Grout	$\rho_d$ (kg/m <sup>3</sup> )	$W_{eq1}$ (%)	$W_{eq2}$ (%)	$V_g$ (dm <sup>3</sup> )	$f_{s1}$ (N/mm <sup>2</sup> )	$f_{s2}$ (N/mm <sup>2</sup> )	$f_{s2} / f_{s1}$ (%)	$G_{01}$ (N/mm <sup>2</sup> )	$G_{02}$ (N/mm <sup>2</sup> )	$G_{02} / G_{01}$ (%)
WURE_1	UCG	2053	0.93	0.98	2.2	0.12	0.05	42	1056	148	14
WURE_2	UCG	2036	0.93	0.88	3.1	0.17	0.08	47	632	130	21
WURE_3	UCG	2036	0.94	0.86	1.2	0.13	0.07	54	1068	94	9
Average	-	2042	0.93	0.91	2.2	0.14	0.06	48	919	124	15
CoV (%)	-	1	0.5	7	44	14	18	13	22	22	41
WURE_4	FB790	2037	1.07	1.01	1.6	0.16	0.07	44	1356	99	7.3
WURE_5	FB790	2047	1.01	0.95	1.3	0.13	0.07	54	460	22	4.8
WURE_6	FB790	2048	0.91	0.90	1.4	0.12	0.07	58	-	34	-
Average	-	2044	1.00	0.95	1.4	0.14	0.07	52	908	52	6
CoV (%)	-	0	8	5	11	14	2	14	-	81	-

284

285 Before discussing the shear behaviour of specimens, it is important to highlight that their equilibrium water  
 286 contents correspond to very low values (about 1%), being very similar in both test series. Furthermore, and  
 287 considering the volume of injected grout (1.2-3.1 dm<sup>3</sup>) and length of the injected cracks (1.1-1.6 m), the average  
 288 width of the injected cracks can be estimated as 6 mm.

289 The shear behaviour of the rammed earth wallets within the first series of tests are characterised by an early peak  
 290 shear stress followed by a pronounced stiffness loss, see stress-strain curves in Fig. 7. In the pre-peak phase, the  
 291 wallets exhibit an apparent linear behaviour that is disrupted by the initiation of the first cracks, which were  
 292 observed to have correspondence in both faces of the wallets, indicating that they developed in full thickness. It  
 293 should be noted that the binding promoted by the porous structure is lost with cracking, meaning that the  
 294 observed early peak corresponds to the loss of the suction contribution for the shear behaviour of the wallets.  
 295 From this point onwards, the shear behaviour relies only on the friction and interlocking promoted by the coarse  
 296 particles at the cracks, which are responsible for the large shear deformation capacity presented by the wallets. In

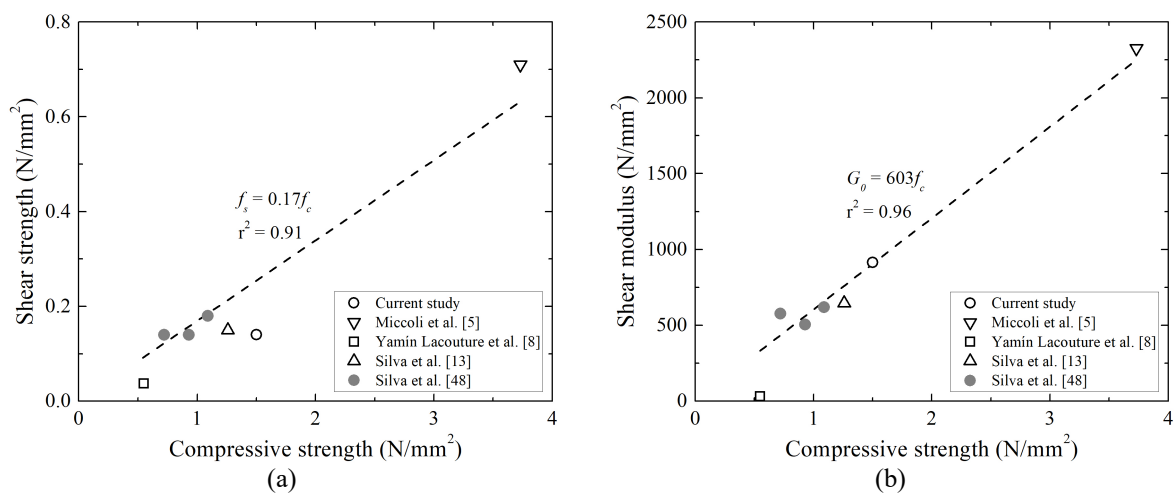
297 the majority of the cases, the post-peak phase behaviour is characterised by a slight hardening, while two of the  
 298 wallets exhibited a slight softening. The large shear deformation capacity observed in the wallets is expected to  
 299 promote a large energy dissipation capacity during a seismic event. In terms of average shear strength, the  
 300 wallets present a value of  $0.14 \text{ N/mm}^2$ , which is basically the same reported in Silva et al. [14] ( $0.15 \text{ N/mm}^2$ ).  
 301 Furthermore, this value was found to be higher than the value reported for unstabilised rammed earth in Yamín  
 302 Lacouture et al. [8], but substantially lower than the value reported in Miccoli et al. [5]. The fact is that the shear  
 303 strength values obtained from diagonal compression tests available in the literature present a large dispersion,  
 304 which is a direct consequence of the diversity of soils and mixtures used for rammed earth construction.  
 305 However, a linear relationship can be found with respect to the reported compressive strength values, as  
 306 illustrated in Fig. 8a. The average shear stiffness computed from the first series of tests is of  $914 \text{ N/mm}^2$ , a value  
 307 higher than that reported in Silva et al. [14] ( $646 \text{ N/mm}^2$ ), although it should be noted that this parameter is  
 308 typically affected by high variability. The range of shear modulus values reported in the literature is also found  
 309 to be wide, though Fig. 8b seems to show a consistent relationship of this parameter with the compressive  
 310 strength. It is noteworthy to mention that the relationships presented could benefit from further testing and  
 311 additional results, since the available data is limited.



312 Fig. 7 – Shear stress-strain curves of the first and second series of diagonal compression tests: (a) wallets  
 313 repaired with UCG; (b) wallets repaired with FB790.

314  
 315 The development of the cracking process and failure mechanism was documented using DIC and can be  
 316 observed in Fig. 9 for wallets WURE\_3 and WURE\_4, before and after repairing. The development of the crack  
 317 pattern was represented by the evolution of the maximum (tensile) principal strains computed from the analysis  
 318 of the surface displacements obtained from the DIC procedure. Stage [A] identifies, in the shear stress-strain  
 319 responses, approximately the point at which the main diagonal crack initiates at the centre of the specimen, while

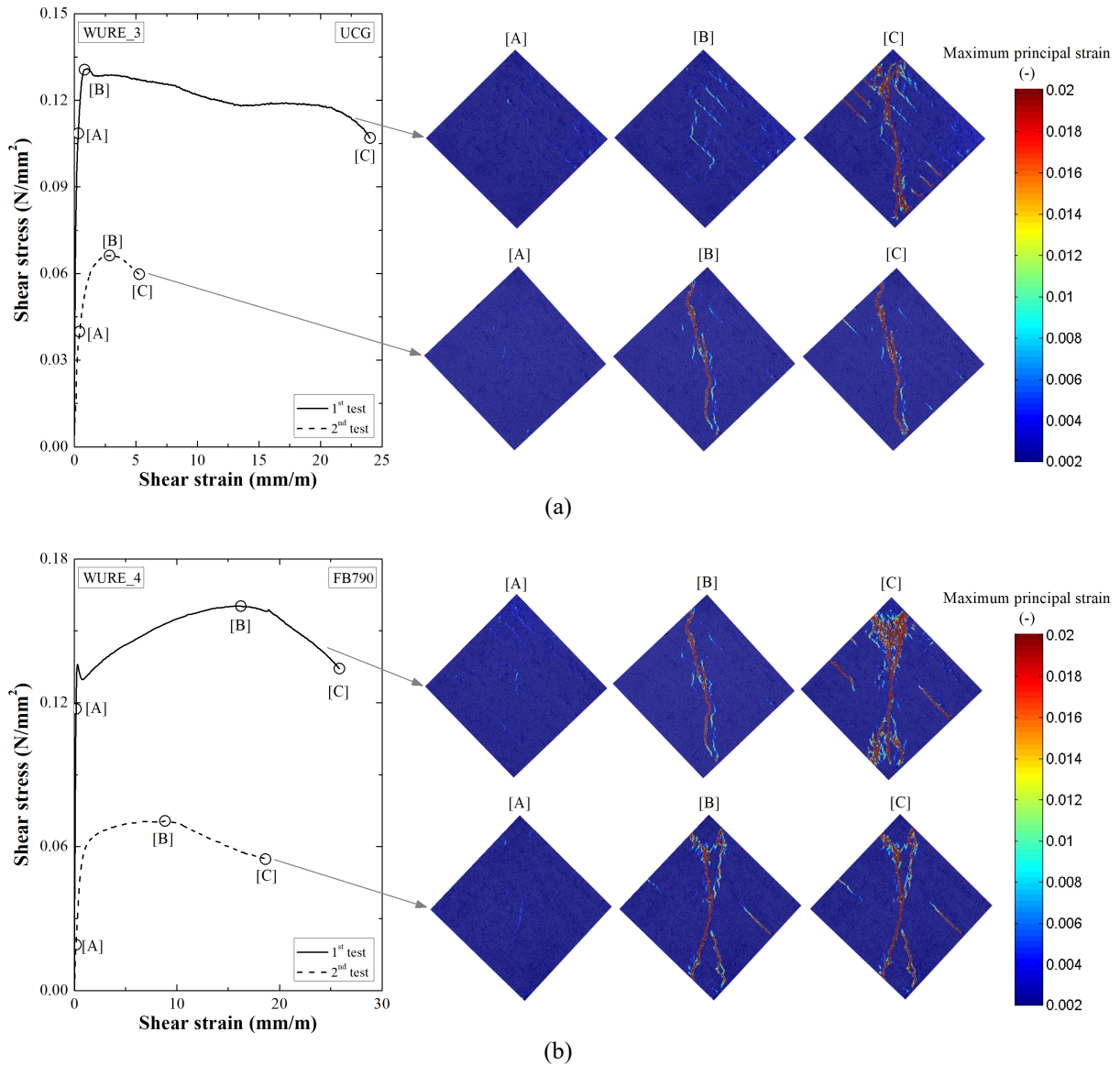
320 stage [B] is associated to the point at which the maximum shear stress is reached, and stage [C] identifies the  
 321 point at which failure is reached. The initiation of the main diagonal crack in the first series of tests occurred just  
 322 before the early peak shear stress at the middle of the wallets was attained. After this stage, the main diagonal  
 323 crack developed very rapidly towards the supports, though the shear stress levels remained high or even  
 324 increased due to the contribution of friction and interlocking mechanisms. The layered structure of the rammed  
 325 earth is also shown to affect the shear behaviour of wallet WURE\_3, as several cracks originated at the interfaces  
 326 between layers. This situation seems to indicate that the bond between layers is particularly weak in this wallet.  
 327 In the case of wallet WURE\_4, a few cracks were formed also at the interfaces between layers, but these  
 328 occurred at a later stage of the loading sequence.



329 Fig. 8 – Relationship between compressive strength and parameters obtained from diagonal compression tests:  
 330 (a) shear strength; (b) shear modulus. (unstabilised rammed earth: empty points / stabilised rammed earth: filled  
 331 points)  
 332

333 In regard to the second series of diagonal compression tests, the wallets injected with the grout UCG presented  
 334 an average shear strength of about 0.06 N/mm<sup>2</sup>, which corresponds to a recovery ratio of about 48%. The wallets  
 335 repaired with grout FB790 achieved an average shear strength of 0.07 N/mm<sup>2</sup>, and thus a strength recovery ratio  
 336 of about 52%. These results show that a similar repair effectiveness was observed in both cases, indicating that  
 337 the commercial hydraulic lime-based and the unstabilised clay-based grouts are essentially similar in terms of  
 338 strength recovery. On the other hand, the repair effectiveness of grout UCG was found to be inferior to that  
 339 reported in Silva e al. [14] when using the same grout composition (grout B), where a recovery ratio of about  
 340 66% was obtained. This difference can be probably associated to a larger variability of results obtained in this  
 341 past study. Nonetheless, in both studies, the grout injection was unable to lead to the full recovery of the initial  
 342 shear modulus. The shear modulus of the repaired wallets decreased about one order of magnitude in comparison

343 to the undamaged condition. Nevertheless, the use of grout UCG was found to lead to a shear modulus recovery  
 344 ratio of approximately 2.5 times higher than the one obtained with the use of grout FB790.



345 Fig. 9 – Evolution of the maximum principal strains of wallets: (a) WURE\_3; (b) WURE\_4.

346

347 The ability of the injection repair with any of the used grouts guarantee full recovery of the initial shear  
 348 performance, namely in terms of recovering the initial stiffness, was limited. This limitation results mainly from  
 349 the lack of reinstatement of the interlocking effect of the coarse particles at the injected crack, which originates  
 350 the absence of a clear early shear stress peak in the shear stress-strain curves of the second series of tests (see  
 351 Fig. 7), as well as a lower shear stress peak value. The failure of the wallets tested in the second series tended to  
 352 occur by the formation of a main diagonal crack following the path of the previously injected diagonal crack, as  
 353 shown in Fig. 9 by comparing the crack patterns obtained in the first and second test. Thus, the failure in the  
 354 second series of tests occurred by the same failure surfaces of the first series, whose roughness promoted by the

355 coarse particles was extensively smoothed by the shearing imposed in the first test. Furthermore, the onset of the  
356 main diagonal crack in the repaired wallets occurred at the middle of the wallets and for shear strain levels  
357 similar to that of the first test, but for lower shear stresses. The observation of the repaired wallets after testing  
358 allowed to detect that both grouts presented good adhesion to the rammed earth, as the grouts injected in the  
359 cracks, in general, presented an adhered thin layer of rammed earth.

360 It is worthwhile to highlight that the interlocking promoted by the coarse aggregates has an important  
361 contribution to the shear performance of rammed earth constructions, which are considered as monolithic  
362 structures. Cracking development and crack surface smoothing decreases this contribution, which was found to  
363 be unrecoverable just by injecting the cracks with grouts. On the other hand, the better repair performance of  
364 grout injection used in cracked earthen masonry [42] indicates that the adhesion promoted assumes a more  
365 prominent role in the shear performance. In adobe masonry, cracks are mainly formed at the joints, meaning that  
366 the bond lost between the mortar and the units is the main mechanism contributing for the shear performance,  
367 and is reinstated by the grout adhesion capacity.

368 In general, the injection repair alone was demonstrated to be unable to reinstate the undamaged shear  
369 performance of rammed earth walls, independently of the grouts used. Thus, the incomplete repair performance  
370 of the injection technique seems to reflect an intrinsic behaviour when used for rammed earth. Nevertheless, its  
371 partial repair capacity may constitute a complement to other seismic strengthening intervention measures, such  
372 as the strengthening with textile reinforced mortar (TRM) [55], and contribute to the overall seismic  
373 strengthening of damaged structures.

374

### 375 **3.2 Sonic tests**

376 The average velocities of the P-waves obtained from the sonic tests are summarised in Table 3 according to the  
377 type of grout used and test series. In general, the direct and indirect tests show good agreement with respect to  
378 the estimation of the velocity in the horizontal direction (parallel to the rammed earth layers), whereby just the  
379 latter are considered for further discussion.

380 In the case of the first series of tests, the velocities in the horizontal direction are significantly higher than those  
381 in the vertical direction (perpendicular to the rammed earth layers), on average 1.6 times higher for all wallets.

382 The average dynamic Young's modulus was computed using Eq. (2), while assuming a bulk density equal to the  
383 dry density and a dynamic Poisson's ratio ( $\nu$ ) of 0.27. It should be noted that the bulk density was not  
384 determined for the wallets because the appropriate equipment to measure their weight was unavailable.

385 Regarding the Poisson's ratio, the value adopted was obtained from the experimental work presented in Miccoli  
 386 et al. [56] and was also used in the numerical modelling [57] of the diagonal compression tests presented in Silva  
 387 et al. [14]. Furthermore, the assumed value is within the range of Poisson's ratio values expected for dry rammed  
 388 earth, namely in the range 0.1-0.3 [26][58]. The average dynamic Young's modulus value computed in the  
 389 horizontal direction was of 11228 N/mm<sup>2</sup> (CoV= 25%), while that in the vertical direction was of about  
 390 4491 N/mm<sup>2</sup> (CoV= 31%), which is 2.5 times lower than the former. A similar difference is also reported in  
 391 Silva et al. [7] after a dynamic identification test on a rammed earth wall, where the dynamic Young's modulus  
 392 in the horizontal direction (998 N/mm<sup>2</sup>) was found to be 1.9 times higher than the one in the vertical direction  
 393 (515 N/mm<sup>2</sup>).

394 The dynamic Young's modulus in the vertical direction was also found to be about one order of magnitude  
 395 higher than the Young's modulus obtained from the testing of cylindrical specimens (67 N/mm<sup>2</sup>). This difference  
 396 can be explained by the dependence of the Young's modulus on the deformation level, which can assume very  
 397 high values for very low deformation values (see Fig. 6b). According to Lee et al. [59], the strain level of wave  
 398 velocity methods for estimation of the elastic modulus of soils is in general inferior to 10<sup>-3</sup>~10<sup>-2</sup> mm/m. These  
 399 small levels are hardly captured with accuracy in destructive axial compression tests of soft earthen materials. It  
 400 is worthwhile to mention that for a deformation value of 10<sup>-3</sup> mm/m, the power law of Fig. 6b results in a  
 401 Young's modulus of 4384 N/mm<sup>2</sup>, which is a value relatively similar to that obtained from the sonic tests in the  
 402 vertical direction. Nevertheless, it should be mentioned that the definition of this power law did not take into  
 403 consideration experimental data in this range of values.

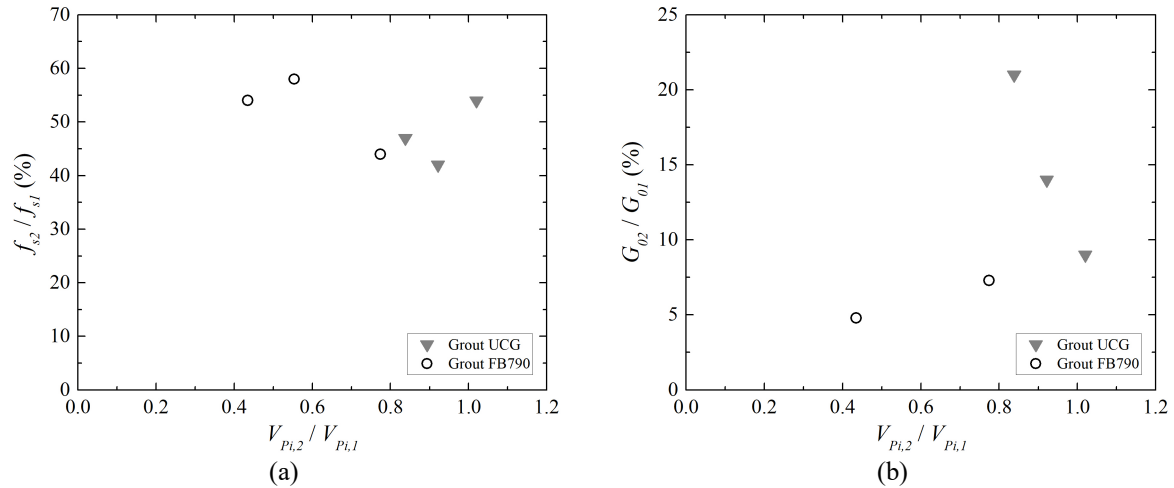
404 Table 3 – Average velocities of the P-waves obtained from the sonic tests (CoV is given inside parenthesis).

Grout set	$V_{Pdh,1}$ (m/s)	$V_{Pih,1}$ (m/s)	$V_{Pv,1}$ (m/s)	$V_{Pdh,2}$ (m/s)	$V_{Pih,2}$ (m/s)	$V_{Pv,2}$ (m/s)
UCG	2381 (9%)	2208 (13%)	1496 (18%)	2027 (3%)	2159 (6%)	1243 (10%)
FB790	2826 (9%)	2726 (8%)	1646 (15%)	1635 (37%)	1551 (39%)	1056 (34%)

*d*: direct test / *i*: indirect test / *h*: horizontal direction / *v*: vertical direction / *1*: first series / *2*: second series

405  
 406 Regarding the second series of tests, it can be observed that in general a reduction of the velocity of the P-waves  
 407 occurs, which is particularly high in the case of the set of wallets repaired with grout FB790. In fact, the  
 408 reduction of the P-waves velocities in the wallets repaired with grout UCG is found to be significantly smaller,  
 409 indicating that the repair procedure granted a good infill of the cracks in the wallets, which was positively  
 410 observed after the second series of diagonal compression tests. On the other hand, the results of the wallets  
 411 repaired with grout FB790 seem to indicate the contrary. Nevertheless, the visual inspection of the wallets after  
 412 the second series of diagonal compression tests showed a good infill of the cracks. Thus, this reduction is

413 thought to be a consequence of differences in properties between the rammed earth and the grout. Finally, the  
 414 ratio between the velocity of the P-waves obtained from the indirect tests (average of the horizontal and vertical  
 415 directions) for the second and first test phase ( $V_{Pi,2} / V_{Pi,1}$ ), is related to the shear strength recovery ratio in Fig.  
 416 10a, as well as to the shear modulus recovery ratio in Fig. 10b. Apparently no relationship seems to exist, though  
 417 it should be noted that the available data is somewhat limited. Thus, sonic tests do not seem to be sufficiently  
 418 sensitive to evaluate the effectiveness of the use of grout injection in the repair of cracks in rammed earth.



419 Fig. 10 – Relationship between the average velocity of the P-waves ratio and the: (a) shear strength recovery  
 420 ratio; (b) shear modulus recovery ratio.

421

#### 422 4. CONCLUSIONS

423 This paper presents an experimental program carried out to characterise the shear behaviour of rammed earth and  
 424 assess the effectiveness of clay-based and commercial hydraulic lime-based grouts for repairing cracks in  
 425 rammed earth. In this context, the results allowed to draw the conclusions summarised below:

- 426 - The clay-based grout exhibited fluidity and injectability properties similar to those of the commercial  
 427 hydraulic lime-based grout, however its mechanical properties were significantly lower.
- 428 - The behaviour of rammed earth under compression is confirmed to be highly nonlinear, resulting in  
 429 undefined values of the Young's modulus, as they depend deeply on the deformation level, for which a  
 430 power law relationship was portrayed.
- 431 - The shear behaviour of rammed earth walls was confirmed to result from the contribution of the  
 432 capillary suction promoted by the porous structure and from the friction and interlocking promoted by  
 433 the coarse particles.

- 434 - The DIC technique allowed to confirm that the early shear peak stress observed in the rammed earth  
435 wallets is preceded by the onset of the main diagonal crack, after which a fast loss of the contribution of  
436 suction for the shear behaviour is observed.
- 437 - The formation of cracks at the interfaces between layers was also evidenced by the DIC technique,  
438 highlighting that these may constitute weak surfaces influencing the shear behaviour of rammed earth  
439 walls.
- 440 - The repair effectiveness of the clay-based grout and that of the commercial hydraulic lime-based grout  
441 was shown to be similar, meaning that for compatibility reasons the former could be preferred for repair  
442 interventions. Nevertheless, it should be noted that commercial grouts seem to constitute a readily  
443 available solution with equivalent performance.
- 444 - The repair of cracks in rammed earth with grout injection was shown to be able to recover partially the  
445 shear loading capacity of the wallets, but was ineffective to re-establish the initial shear stiffness.
- 446 - The comparison of the DIC images from both series of tests showed that the cracking originated during  
447 the first series of tests and prior to repairing constituted the preferential path for the formation of the  
448 cracks during the second series of tests.
- 449 - The sonic tests revealed a relevant orthotropic behaviour of the rammed earth wallets, as the P-waves  
450 propagate faster in the direction parallel to the layers than in the perpendicular direction.
- 451 - The dynamic Young's modulus was found to be about one order of magnitude higher than the static  
452 Young's modulus of rammed earth, due to the different reference strain levels, meaning that the  
453 estimation of this parameter should be based on strain/stress levels expected in the material and should  
454 be a topic addressed in future investigations.
- 455 - The sonic tests have revealed to be insensitive to the effectiveness of the repairing technique when the  
456 load capacity and stiffness recovery are considered.
- 457 Finally, it should be highlighted that the incomplete repair performance of the injection technique seems to be an  
458 intrinsic behaviour when it is used to repair cracks in rammed earth, meaning that the seismic strengthening of  
459 these structures should mainly rely on solutions specifically developed for this purpose.

460

## 461 **ACKNOWLEDGEMENTS**

462 This work was partly financed by FEDER funds through the Competitvity Factors Operational Programme -  
463 COMPETE and by national funds through FCT – Foundation for Science and Technology within the scope of



464 projects POCI-01-0145-FEDER-007633 and POCI-01-0145-FEDER-016737 (PTDC/ECM-EST/2777/2014).  
465 The support from grant SFRH/BPD/97082/2013 is also acknowledged.

466

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