

The Group Inverse of the Nivellateur

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Abstract

We shall derive necessary and sufficient conditions for the Nivellateur to have a group inverse over an algebraically closed field. We then extend these results to arbitrary fields.

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1 The nivellateur

The matrix equation $AX - XB = C$ can be written in column form as $G\text{vec}(X) = \text{vec}(C)$,

where $\text{vec}(Y) = \begin{bmatrix} \mathbf{y}_1 \\ \vdots \\ \mathbf{y}_n \end{bmatrix}$ when $Y = \begin{bmatrix} \mathbf{y}_1 & \dots & \mathbf{y}_n \end{bmatrix}$, and

$$G = I \otimes A - B^T \otimes I$$

is the *nivellateur* of A and B .

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Our aim is to find necessary and sufficient conditions for the existence of the group inverse of this matrix in terms of A and B , and to provide expressions for this group inverse.

We shall use $r(X)$, $\nu(X)$, $R(X)$, $RS(X)$, $N(X)$ to denote rank, nullity, range, row-space, nullspace of X , respectively.

Throughout let A be $m \times m$ and B be $n \times n$.

A matrix A has a group inverse if there exists a solution to the equations

$$AXA = A, \quad XAX = X, \quad AX = XA, \quad (1)$$

in which case the solution is unique and is denoted by $A^\#$. We shall refer to this existence as “ A is GP”.

We begin with the easiest case, which is that of a closed field.

2 The closed field Case

Consider the matrices A and B over a closed field \mathbb{F} , with characteristic polynomials

$$\Delta_A(x) = |xI - A| = \prod_{k=1}^{s(A)} (x - \lambda_k)^{n_k(A)} = \prod_{i=1}^{n(A)} (x - \alpha_i)$$

and

$$\Delta_B(x) = |xI - B| = \prod_{k=1}^{s(B)} (x - \mu_k)^{n_k(B)} = \prod_{i=1}^{n(B)} (x - \beta_i).$$

Here the λ_k , μ_r are distinct and the α_i, β_i may be repeated. Further let $\sigma(A) = \{\lambda_1, \dots, \lambda_s\}$ be the spectrum of distinct eigenvalues of A and let $\tau(A) = (\alpha_1, \dots, \alpha_m)$ be the list of *all* of its m eigenvalues – repeated or not. Set $T = \sigma(A) \cap \sigma(B)$.

We denote the algebraic and geometric multiplicities of $\lambda_k(A)$ by $n_k(A)$ and $\nu_k(A) = \dim[N(A - \alpha_k I)]$ respectively.

It is clear that $\sum_{k=1}^{s(A)} n_k(A) = n(A) = m$ and $\sum_{j=1}^{s(B)} n_j(B) = n(B) = n$.

Furthermore, suppose that the minimal polynomial of A is given by

$$\psi_A(x) = \prod_{k=1}^s (x - \lambda_k)^{m_k(A)}$$

with $m_i(A) \leq n_i(A)$. We shall refer to the exponent $m_k(A)$ as the index $ind(\lambda_k)$ of λ_k .

It is well known that the group inverse exists if and only if the geometric and algebraic multiplicities of the **zero** eigenvalue are equal.

We shall compute the algebraic multiplicity $n_0(G)$ and the geometric multiplicity $\nu_0(G)$ of the zero eigenvalue of G .

From Stephanos' theorem (see [6, Theorem 1, page 411]) we know that the eigenvalues of G have the form $\lambda_{ij}(G) = \lambda_i(A) - \lambda_j(B)$ with $i = 1, \dots, m$ and $j = 1, \dots, n$, counted according to multiplicity. This immediately tell us that

$$n_0(G) = \sum_{\gamma \in \sigma(A) \cap \sigma(B)} n_\gamma(A) n_\gamma(B). \quad (2)$$

To get more information about G , we first reduce B to its Jordan form, via

$$Q^{-1}BQ = J_B = \text{diag}(J_{q_1}(\beta_1), \dots, J_{q_u}(\beta_u)),$$

where $J_k(a) = \begin{bmatrix} a & 1 & & 0 \\ 0 & a & & \\ & & \ddots & \ddots \\ & & & 1 \\ & & & & a \end{bmatrix}$ and Q is a suitable invertible matrix, made up of

Jordan Chains of generalized e-vectors. The β_j may be repeated and u is the number of Jordan blocks. The associated elementary divisors of B are given by

$$\mathcal{E}_B = \{(x - \beta_j)^{q_j}; j = 1, \dots, u\}.$$

Likewise the elementary divisors of A are given by $\mathcal{E}_A = \{(x - \alpha_i)^{p_i}; i = 1, \dots, t\}$.

Transforming G we have

$$(Q^T \otimes I)G[(Q^T)^{-1} \otimes I] = I \otimes A - J_B^T \otimes I = \text{diag}(G_1, \dots, G_s),$$

where

$$G_i = I \otimes A - J_{q_i}^T(\beta_i) = \begin{bmatrix} A - \beta_i I & & & 0 \\ -I & A - \beta_i I & & \\ & & \ddots & \ddots \\ 0 & & & -I & A - \beta_i I \end{bmatrix} \text{ of block size } q_i \times q_i \quad (3)$$

which will also give (2).

We now observe that if $A\mathbf{u} = \mathbf{0}$ and $B^T\mathbf{v} = \mathbf{0}$ then $G(\mathbf{v} \otimes \mathbf{u}) = \mathbf{0}$. This means that

$$N(B^T) \otimes N(A) \subseteq N(G), \quad (4)$$

and hence on taking dimensions

$$\nu(A) \cdot \nu(B) \leq \nu(G).$$

Consequently we have (product rule)

$$\nu(G) = \nu(A) \cdot \nu(B) \Leftrightarrow N(G) = N(B^T) \otimes N(A). \quad (5)$$

Let us now refine the block form of (3) to obtain:

- (i) an expression for $\nu(G)$ in terms of A and B ,
- (ii) conditions for G to have a group inverse, and
- (iii) give a formula for $G^\#$.

We shall then use the expression for $\nu(G)$ to show when precisely the product rule holds and when $\nu(G) = n_0(G)$, i.e. when $G^\#$ exists.

We begin with

Lemma 2.1. Let R be a ring with unity 1, and suppose that

$$J_n(-a) = \begin{bmatrix} a & & & 0 \\ -1 & a & & \\ & \ddots & \ddots & \\ 0 & & -1 & a \end{bmatrix} \text{ and } K_n(a) = \begin{bmatrix} 1 & & & 0 \\ a & 1 & & \\ a^2 & \ddots & \ddots & \\ \vdots & & & \\ a^{n-1} & & & a & 1 \end{bmatrix}$$

are over R with $n \geq 2$. Then

$$(i) \quad K_n(a)^T J_n(-a) = \begin{bmatrix} 0 & a^n \\ I & \mathbf{b} \end{bmatrix}, \text{ where } \mathbf{b}^T = [a^{n-1}, \dots, a^2, a].$$

$$(ii) \quad J_n(-a)^\# \text{ exists iff } a^{-1} \text{ exists. In which case } J_n(-a)^\# = J_n(-a)^{-1} = \begin{bmatrix} a^{-1} & & & 0 \\ a^{-2} & a^{-1} & & \\ \vdots & & \ddots & \\ a^{-n} & \dots & & a^{-1} \end{bmatrix}.$$

Proof. (i) Clear.

(ii) Equating (2,1) entries in $J_n(-a)^2 X = J_n(-a)$ and (n,n-1) entries in $Y J_n(-a)^2 = J_n(-a)$ we see that a has both left and right inverses. \square

From (3) we know that $G^\#$ exists iff **each** of the blocks G_i has a group inverse. Now when β_i is **not** an eigenvalue of A then G_i is invertible and there is *no* contribution to $\nu(G)$. So we only need to consider a common eigenvalue $\gamma = \alpha_i = \beta_j$.

So let $\gamma \in T = \sigma(A) \cap \sigma(B)$ and assume that the associated elementary divisors are

$$\mathcal{E}_A = \{(x - \gamma)^{p_1(\gamma)}, \dots, (x - \gamma)^{p_k(\gamma)}\}$$

and

$$\mathcal{E}_B = \{(x - \gamma)^{q_1(\gamma)}, \dots, (x - \gamma)^{q_t(\gamma)}\},$$

respectively, where $p_1(\gamma) \geq p_2(\gamma) \geq \dots \geq p_k(\gamma) \geq 1$ and $q_1(\gamma) \geq q_2(\gamma) \geq \dots \geq q_t(\gamma) \geq 1$.

There are two cases that can happen.

- (i) If $q_i > 1$ then by Lemma 2.1 we know that $G_i^\#$ exists iff $(A - \gamma I)^{-1}$ exists, that is, iff $\gamma \notin \sigma(A)$. So this case cannot occur.
- (ii) If $q_i = 1$, i.e when we have a linear elementary divisor $x - \gamma$ in \mathcal{E}_B , then $G_i^\#$ exists iff $(A - \gamma I)^\#$ exists. This happens exactly when γ is a simple root of $\psi_A(x)$.

Thus,

Theorem 2.1. $G^\#$ exists if and only if for every $\gamma \in \sigma(A) \cap \sigma(B)$ with $q_i = 1$ (a 1×1 Jordan block) we have $ind_A(\gamma) = 1$.

In other words, for a common eigenvalue all associated elementary divisors for A and B must be linear.

As a by-product we can compute the nullity of G [5]. Indeed, suppose that A is in Jordan form, say $A = A_\gamma \oplus X$, where $A_\gamma = \text{diag}(J_{p_1}(\gamma), \dots, J_{p_r}(\gamma))$, and X contains Jordan blocks with non common eigenvalues. Note that $\nu(A_\gamma) = r$. Then $I \otimes A_\gamma - J_{q_j}(\gamma) \otimes I$ takes the form

$$G_{i,j} = \begin{bmatrix} J_{p_1}(0) & & & 0 \\ -I & J_{p_2}(0) & & \\ & \ddots & \ddots & \\ 0 & & -I & J_{p_r}(0) \end{bmatrix}_{q_j \text{ blocks}} \quad (6)$$

Now because $\nu[J_n(0)]^k = \min(n, k)$ we see that

$$\nu(G_{ij}) = \sum_{i=1}^r \min\{p_i, q_j\} \quad (7)$$

Repeating this for all common eigenvalues we arrive at, c.f. [5],

$$\nu(G) = \sum_{\gamma \in T} \sum_{j=1}^r \sum_{i=1}^r \min\{p_i, q_j\}. \quad (8)$$

Let us now use this result to derive a couple of special cases.

If $T = \emptyset$, there are no common eigenvalues and $\nu(G) = 0$. In particular $0 \notin T$ and either A or B is invertible. Hence $\nu(A) \cdot \nu(B) = 0$ and the product rule holds.

If there are common eigenvalues, but 0 is not one of them, then $\nu(A) \cdot \nu(B) = 0 < \nu(G)$.

Lastly, if 0 is a common eigenvalue, then separating off the common zero eigenvalue we get

$$\nu(G) = \sum_{i=1}^{\nu(A)} \sum_{j=1}^{\nu(B)} \min\{p_i(0), q_j(0)\} + \sum_{0 \neq \alpha \in T} \sum_{i=1}^{\nu(A)} \sum_{j=1}^{\nu(B)} \min\{p_i(\alpha), q_j(\alpha)\} \geq \nu(A) \cdot \nu(B).$$

This we rewrite as

$$\nu(G) - \nu(A)\nu(B) = \sum_{i=1}^{\nu(A)} \sum_{j=1}^{\nu(B)} [\min\{p_i(0), q_j(0)\} - 1] + \sum_{0 \neq \alpha \in T} \sum_{i=1}^{\nu(A)} \sum_{j=1}^{\nu(B)} \min\{p_i(\alpha), q_j(\alpha)\} \geq 0. \quad (9)$$

Since all terms are non-negative, we see that $\nu(G) = \nu(A)\nu(B)$ if and only if there are **no** common eigenvalues besides zero and for the zero eigenvalue

$$\sum_{i=1}^{\nu(A)} \sum_{j=1}^{\nu(B)} [\min\{p_i(0), q_j(0)\} - 1] = 0.$$

That is, $\min(p_i, q_j) = 1$ for all $i = 1, \dots, \nu(A)$, $j = 1, \dots, \nu(B)$. Hence if some $p_i(0) > 1$ then **all** $q_j(0) > 1$ or if some $q_j(0) = 1$ then **all** $p_i(0) = 1$. That is, either all elementary divisors of A associated with zero are linear or all those of B are. Thus the product rule holds if and only if either $\psi_B(x) = xf(x)$ or $\psi_B(x) = xg(x)$, where $(x, f) = 1 = (x, g)$. In other words, the product rule holds if and only if A and B have at most the zero eigenvalue in common and either $A^\#$ or $B^\#$ or both, exist.

Next we consider

$$n_0(G) - \nu(G) = \sum_{\alpha \in T} \sum_{i=1}^{k(\alpha)} \sum_{j=1}^{t(\alpha)} [p_i q_j - \min(p_i, q_j)] \geq 0.$$

It thus follows that $n_0(G) = \nu(G)$, i.e. $G^\#$ exists, if and only if for each common eigenvalue γ , $p_i q_j = \min(p_i, q_j) \geq 1$, for *all* $i = 1, \dots, k$, $j = 1, \dots, t$. Next we note that if $r, s \geq 1$, then

$$rs = \min\{r, s\} \text{ if and only if } r = s = 1 \quad (10)$$

and conclude that $G^\#$ exists if and only if for each common eigenvalue α , the elementary divisors are **linear**. In other words, if and only if $\gamma \in T \Rightarrow \psi_A(x) = (x - \gamma)f(x)$ and $\psi_B(x) = (x - \gamma)g(x)$, where γ is not a root of $f(x)$ or $g(x)$.

Remarks

- (i) If $G^\#$ exists then $\gamma \in T$ implies $(A - \gamma I)^\#$ and $(B - \gamma I)^\#$ both exist, yet $A^\#$ and/or $B^\#$ may not exist. For example, if A is invertible and $\psi_B = x^2 f(x)$ where $\gcd(\Delta_A, f) = 1$, then the condition for $G^\#$ to exist are satisfied, yet $B^\#$ does not exist.

On the other hand, if $A^\#$ and $B^\#$ both exist, then $G^\#$ need not exist since they could have common e-values other than zero.

- (ii) We know that if $G^\#$ exists then it is a polynomial in G , the coefficients of which can be derived from $\Delta(G)$, which in turn can be found from the eigenvalues of A and B . Since this becomes intractable, we shall proceed differently. First an alternative proof of the above which is based on the property of Jordan blocks.
- (iii) Since G^T is similar to $(A^T \otimes I - I \otimes B)$ and $\psi_A = \psi_{A^T}$ we may interchange the roles of A and B to deduce the desired symmetry of Theorem 2.1.

To compute $G^\#$ suppose that $\beta_i \notin \sigma(A)$, for $i = 1, \dots, t$, and $\beta_i \in \sigma(A)$, for $i = t + 1, \dots, v$. Next let $Q = [Q_1, \dots, Q_v]$ and $Y = (Q^T)^{-1} = [Y_1, \dots, Y_v]$ so that $BQ_i = Q_i J_{q_i}(\beta_i)$ and $B_i^T = Y_i J_{q_i}^T(\beta_i)$. Then

$$G^\# = (Y \otimes I) \left[\begin{array}{ccc|ccc} G_1^{-1} & & & & & 0 \\ & \ddots & & & & \\ & & G_t^{-1} & & 0 & \\ \hline 0 & & & & G_{t+1}^\# & \\ 0 & & & & & \ddots \\ & & 0 & & & G_v^\# \end{array} \right] (Q^T \otimes I)$$

$$= \sum_{i=1}^t Y_i G_i^{-1} Q_i^T + \sum_{i=t+1}^v Y_i G_i^\# Q_i^T.$$

Now G_i^{-1} is given as in (2.1) in which $(A - \beta_i I)^{-r}$ can be calculated from the spectral theorem [3]. Indeed,

$$(A - \beta_i I)^{-r} = \sum_{k=1}^s \sum_{j=0}^{m_k-1} [(x - \beta_i)^{-r}]_{\lambda_k}^{(j)} Z_k^j = \sum_{k=1}^s \sum_{j=0}^{m_k-1} (-1)^j \frac{(r+j-1)!}{(r-1)!} (\lambda_k - \beta_i)^{-r-j} Z_k^j. \quad (11)$$

Furthermore $(A - \beta_i I)^\# = g(A)$ where $g(x) = \begin{cases} 0 & x = \beta_i \\ 1/(x - \beta_i) & x \neq \beta_i \end{cases}$ and so

$$(A - \beta_i I)^\# = \sum_{k=1}^s \sum_{j=0}^{m_k-1} g^{(j)}(\lambda_k) Z_k^j = \sum_{\lambda_k \neq \beta_i} \sum_{j=0}^{m_k-1} \frac{(-1)^j}{(\lambda_k - \beta_i)^{j+1}} Z_k^j. \quad (12)$$

Substituting these in the above yields $G^\#$.

Let us now turn to the case of an arbitrary field.

3 The Arbitrary Field Case

We shall now give conditions for $G^\#$ to exist in term of the invariant factors $\{a_1(x), \dots, a_r(x)\}$ of A , and $\{b_1(x), \dots, b_s(x)\}$ of B , and compute $G^\#$ in terms of polynomial matrices associated with A and/or B .

We begin by reducing A and B to their respective *rational canonical forms* and as such reduce the problem to one where we have two companion matrices [3, p. 163], i.e.,

$$P^{-1}AP = A_c = \text{diag}[L(a_1(x)), \dots, L(a_r(x))] \text{ and } Q^{-1}BQ = B_c = \text{diag}[L(b_1(x)), \dots, L(b_s(x))].$$

The nivellateur becomes

$$(Q^T \otimes P^{-1})G(Q^{-T} \otimes P) = I_n \otimes A_c - B_c^T \otimes I_m$$

We permute the diagonal blocks using the “universal flip” matrix – see [3] – to get

$$G \approx \oplus_{i=1}^r \oplus_{j=1}^s G_{ij},$$

where $G_{ij} = I_{n_i} \otimes L[a_i(x)] - L^T[b_j(x)] \otimes I_{m_j}$.

We now replace G by G_{ij} and consider the “two-companion” case where $G = I_n \otimes L[a(x)] - L^T[b(x)] \otimes I_m$, with $b(x) = b_0 + b_1x + \dots + b_nx^n$.

Following [3] we reduce $xI - L^T[b(x)]$ to its Smith Normal Form via

$$R(x)[xI - L^T(b)]K(x) = \begin{bmatrix} b(x) & 0 \\ 0 & I_{n-1} \end{bmatrix}, \quad (13)$$

where $R(x) = \begin{bmatrix} \beta^T(x) & 1 \\ -I & 0 \end{bmatrix}$, $K(x)$ is as in lemma (2.1) and $[\beta^T(x), 1] = [b_0(x), \dots, b_{n-2}(x), 1]$.

In this the $b_i(x)$ are the *adjoint polynomials* defined by $[\beta^T(x), 1] = [b_1, \dots, b_n]K(x)$. We recall in passing that $\text{adj}(xI - B) = \sum_{i=0}^{n-1} b_i(B)x^i$. Solving this gives

$$[xI - L^T(b)] = R(x)^{-1} \begin{bmatrix} b(x) & 0 \\ 0 & I_{n-1} \end{bmatrix} K(x)^{-1}, \quad (14)$$

and subsequently replacing x by $A = L[a(x)]$ throughout, these polynomial identities we arrive at

$$G = R(A)^{-1} \begin{bmatrix} b(A) & 0 \\ 0 & I_{n-1} \end{bmatrix} K(A)^{-1} = PDQ. \quad (15)$$

Since P and Q are invertible we may use [10, Corollary 2], which says that $(PDQ)^\#$ exists if and only if $U = DQPDD^- + I - DD^-$ is invertible. Since

$$(1 - ab)^{-1} = 1 + a(1 - ba)^{-1}b,$$

this is equivalent to $U' = DQP + I - DD^-$ being invertible, i.e. to $W = D + (I - DD^-)R(A)K(A)$ being invertible.

Theorem 3.1. W is invertible if and only if $G^\#$ exists.

To compute $R(x)K(x)$ we define $T(x) = \begin{bmatrix} \mathbf{b}^T & 1 \\ -K_{n-1}^{-1} & 0 \end{bmatrix}$, where $\mathbf{b}^T = [b_1, \dots, b_n]$. Then $T(x)K_n(x) = R(x) = \begin{bmatrix} \boldsymbol{\beta}^T(x) & 1 \\ -I_{n-1} & \mathbf{0} \end{bmatrix}$ and

$$R(x)K(x) = T(x)K(x)^2 = \begin{bmatrix} \mathbf{b}^T & 1 \\ -K_{n-1}^{-1} & 0 \end{bmatrix} \begin{bmatrix} K_{n-1}^2(x) & 0 \\ ? & 1 \end{bmatrix} = \begin{bmatrix} \boldsymbol{\gamma}^T(x) & 1 \\ -K_{n-1}(x) & \mathbf{0} \end{bmatrix}, \quad (16)$$

in which $\boldsymbol{\gamma}^T(x) = [b'(x), \boldsymbol{\rho}^T(x)]$ and $\boldsymbol{\rho}^T = [b'_0(x), \dots, b'_{n-3}(x)]$. These contain the formal derivatives of the adjoint polynomials.

We next form

$$\begin{aligned} (I - DD^-)R(A)K(A) &= \begin{bmatrix} I - b(A)b(A)^- & 0 \\ 0 & 0 \end{bmatrix} \begin{bmatrix} [b'(A), \boldsymbol{\rho}^T(A)] & 1 \\ ? & ? \end{bmatrix} \\ &= \begin{bmatrix} [I - b(A)b(A)^-]b'(A) & C \\ 0 & 0 \end{bmatrix}, \end{aligned}$$

where $C = [I - b(A)b(A)^-][\boldsymbol{\rho}^T(A), I]$. Adding in $D = \begin{bmatrix} b(A) & 0 \\ 0 & I_{n-1} \end{bmatrix}$ we arrive at

$$W = \begin{bmatrix} b(A) + [I - b(A)b(A)^-]b'(A) & C \\ 0 & I \end{bmatrix}. \quad (17)$$

This will be invertible **exactly** when $b(A) + [I - b(A)b(A)^-]b'(A)$ is invertible. Note that $b(A)$ and $b'(A)$ commute, but that $b(A)^-$ need not be a polynomial in A .

We now need

Lemma 3.1. Suppose R is a von Neumann finite regular ring and $ah = ha$.

If $a + (1 - aa^-)h$ is a unit then $a^\#$ must exist.

Proof. Let $u = a + (1 - aa^-)h$. Then $ua = a^2 + (1 - aa^-)ha = a^2 + (1 - aa^-)ah = a^2$ and thus $a = u^{-1}a^2$. Since R is finite we may conclude that $a^\#$ exists. \square

Suppose now that W is invertible. Then $b(A)$ is GP and we can replace $b(A)^-$ by $b(A)^\# = g(A)$ in W , implying that

Theorem 3.2. W is a unit if and only if $b(A)$ is GP and $f(A) = b(A) + [I - b(A)b(A)^\#]b'(A)$ is a unit.

We shall now reduce these conditions to suitable polynomial results.

First we recall the trivial gcd result

Lemma 3.2. $(u, d) = 1$ if and only if $(dm + u, d) = 1$.

and the group inverse result

Lemma 3.3. Suppose M has minimal polynomial $\psi_M(x)$, and let $f(x)$ be a polynomial with $d(x) = \gcd(f(x), \psi_M(x))$. The following are equivalent:

(i) $f(M)^\#$ exists (ii) $d(M)^\#$ exists (iii) $(d, \psi/d) = 1$ (iv) $(f, \psi/d) = 1$.

The proof is left as an exercise.

The latter says that if $f = p^r \tilde{f}$ and $\psi = p^s \tilde{\psi}$ for some prime factor p , with $(\tilde{f}, p) = 1 = (p, \tilde{\psi})$, then $r \geq s$. In other words, common factors of f and ψ occur with minimal degree in ψ_M .

Since we may interchange $L(a)$ and $L(b)$ we must actually have that $r = s$. In other words the common prime factors of any invariant factor $a(x)$ of A and any invariant factor $b(x)$ of B must have the same multiplicity.

Now recall that $\psi_A = a(x)$ and set $(a, b) = d$. Then $b = d\tilde{b}$ and $a = d\tilde{a}$ for some \tilde{b}, \tilde{a} , with $(\tilde{a}, \tilde{b}) = 1$. Moreover $b(A)$ has a group inverse if and only if $(d, \tilde{a}) = 1$ or if $(b, \tilde{a}) = 1$.

The existence of $b(A)^\#$ also says that $b(A)^2 g(A) = b(A)$ which holds iff $a|b(1 - bg)$ iff $d\tilde{a}|d\tilde{b}(1 - gb)$ iff $\tilde{a}|\tilde{b}(1 - gb)$. But $(\tilde{a}, \tilde{b}) = 1$ and thus $\tilde{a}|(1 - gb)$ and conversely. We may as such write $1 - gb = \tilde{a}h$, for some $h(x)$. This ensures that $(\tilde{a}, b) = 1 = (\tilde{a}, g)$ and gives $f = b + \tilde{a}hb'$.

Next recall, by Hensel's theorem [8, p. 21, Theorem 15.5], that $f(A)$ is invertible if and only if $(f, a) = 1$, i.e. if and only if $(f, d) = 1 = (f, \tilde{a})$. First we observe that $(f, d) = 1$ if

and only if $(b + (1 - bg)b', d) = 1$ if and only if $(d\tilde{b}(1 - gb') + b', d) = 1$. By Lemma (3.2) this happens precisely when $(b', d) = 1$.

Next we note that because $b = d\tilde{b}$ we have $b' = d'\tilde{b} + d(\tilde{b})'$ and thus again by the lemma, $(b', d) = 1$ if and only if $(d'\tilde{b} + d(\tilde{b})', d) = 1$ if and only if $(d'\tilde{b}, d) = 1$ if and only if $(d, d') = 1 = (\tilde{b}, d) = 1$.

Since $(\tilde{a}, \tilde{b}) = 1$ it follows that $(a, \tilde{b}) = (d\tilde{a}, \tilde{b}) = 1$ so that $\tilde{b}(A)$ is invertible.

We now cancel $\tilde{b}(A)$ in $d(A)^2\tilde{b}(A)^2g(A) = b(A)^2g(A) = b(A) = d(A)\tilde{b}(A)$. This implies that

$$d(A)^2\tilde{b}(A)g(A) = d(A),$$

so that $d(A)^\#$ exists and

$$d(A)^\# = g(A)\tilde{b}(A) \text{ and } b(A)b(A)^\# = d(A)d(A)^\#.$$

The surprising fact is that the condition $(f, \tilde{a}) = 1$ automatically follows if $b(A)$ is GP. Indeed, we have

$$b(a)^\# \text{ exists} \Rightarrow (b, \tilde{a}) = 1 \Rightarrow (b + \tilde{a}hb', \tilde{a}) = 1 \Rightarrow (b + (1 - bg)b', \tilde{a}) = 1 \Rightarrow (f, \tilde{a}) = 1.$$

We recap in

Theorem 3.3. If $G = I_n \otimes L[a(x)] - L^T[b(x)] \otimes I_m$, then $G^\#$ exists if and only if $(d, \tilde{a}) = 1 = (d, d')$, where $d = (a, b)$ and $a = d\tilde{a}$.

Now $(d, d') = 1$ means that d *only* has simple prime factors. As a consequence, the common invariant factors have simple prime factors. For the closed field case, this says that all elementary divisors corresponding to common eigenvalues must be linear – as we met in the previous section.

To compute the actual inverse of $f(A)$ we observe that because $(d, d') = 1$, we can find s and t by Euclid's algorithm, such that $d(x)s(x) + d'(x)t(x) = 1$. This means that

$$d'(A)t(A) = 1 - d(A)s(A). \tag{18}$$

Substituting for b' we may rewrite $f(A) = b(A) + [I - b(A)g(A)]b'(A)$ as $f(A) = b(A) + [I - d(A)d(A)^\#]d'(A)\tilde{b}(A)$, which we may invert to give

$$f(A)^{-1} = b(A)^\# + [I - d(A)d(A)^\#]\tilde{b}(A)^{-1}t(A). \tag{19}$$

Indeed, this follows because

$$\begin{aligned} [I - d(A)d(A)^\#]d'(A)\tilde{b}(A).\tilde{b}(A)t(A) &= [I - d(A)d(A)^\#]d'(A)t(A) \\ &= [I - d(A)d(A)^\#][I - d(A)s(A)] \\ &= I - d(A)d(A)^\#. \end{aligned}$$

Remark We could have used the fact that $(b', d) = 1$ which gives $b'u = 1 - dv$ for some $v(x)$ and write $f(A)^{-1} = b(A)^\# + [I - b(A)b(A)^\#]u(A)$. The computation of u , however, is more difficult than that of $t(x)$.

Since $d(x)$ only has simple prime factors, the computation of $t(A)$ can be done via the gcd algorithm and the Chinese remainder theorem. Indeed, suppose $d = p_1 p_2 \cdots p_k$, where the p_i are distinct prime polynomials. Further set $M_i = \frac{d}{p_i}$ and $g_i = M_i^{-1} \pmod{p_i}$. Next we observe that if $sd + td' = 1$, then $t = (d')^{-1} \pmod{d}$, which is equivalent to $t = (d')^{-1} \pmod{p_i}$ for all $i = 1, \dots, k$. Because $d' = p'_1 M_1 + p'_2 M_2 + \dots$ we see that $(d')^{-1} \pmod{p_i} = (p'_i M_i)^{-1} \pmod{p_i} = g_i (p'_i)^{-1} \pmod{p_i}$. Using the Chinese remainder theorem we may conclude that

$$t = \sum_{i=1}^k g_i^2 M_i (p'_i)^{-1} \pmod{p_i}. \quad (20)$$

4 Computation of $G^\#$

We may compute the actual group inverse of G via the formula [10],

$$\begin{aligned} G^\# &= PU^{-2}DQ = R(A)^{-1}[I + (I - DK(A)^{-1}R(A)^{-1})(U')^{-1}DD^{-}]^2DK(A)^{-1} \\ &= R(A)^{-1}[I + (RK - D)W^{-1}DD^{-}]^2DK(A)^{-1}, \end{aligned}$$

in which $(U')^{-1} = P^{-1}Q^{-1}W^{-1} = R(A)K(A)W^{-1}$ and $W^{-1} = \begin{bmatrix} f(A)^{-1} & -f(A)^{-1}C \\ 0 & I \end{bmatrix}$.

First we see that

$$W^{-1}DD^{-} = \begin{bmatrix} f(A)^{-1}b(A)b(A)^\# & -f(A)^{-1}C \\ 0 & I \end{bmatrix}.$$

Hence

$$\begin{aligned}
R(A)K(A)W^{-1}DD^{-} &= \left[\begin{array}{c|cc} b'(A) & \boldsymbol{\rho}^T(A) & I \\ \hline -I & 0 & 0 \\ - \begin{bmatrix} A \\ A^2 \\ \vdots \\ A^{n-2} \end{bmatrix} & -K_{n-2}(A) & 0 \end{array} \right] \begin{bmatrix} f(A)^{-1}b(A)b(A)^{\#} & -f(A)^{-1}C \\ 0 & I \end{bmatrix} \\
&= \left[\begin{array}{c|cc} b'(A)f(A)^{-1}b(A)b(A)^{\#} & -b'(A)f(A)^{-1}C + [\boldsymbol{\rho}^T(A), I] \\ \hline - \begin{bmatrix} I \\ A \\ \vdots \\ A^{n-2} \end{bmatrix} & f(A)^{-1}b(A)b(A)^{\#} & - \begin{bmatrix} I \\ A \\ \vdots \\ A^{n-2} \end{bmatrix} & f(A)^{-1}C + \begin{bmatrix} 0 & 0 \\ -K_{n-2}(A) & 0 \end{bmatrix} \end{array} \right].
\end{aligned}$$

Recalling the definition of C we see that the (1,2) entry becomes

$$\boldsymbol{\sigma}^T = [I - b'(A)f(A)^{-1}(I - b(A)b(A)^{\#})][\boldsymbol{\rho}(A)^T, I].$$

On the other hand,

$$DW^{-1}DD^{-} = \begin{bmatrix} b(A)f(A)^{-1}b(A)b(A)^{\#} & f(A)^{-1}b(A)C \\ 0 & I \end{bmatrix} = \begin{bmatrix} f(A)^{-1}b(A) & 0 \\ 0 & I \end{bmatrix},$$

because $b(A)C = 0$.

Whence $U^{-1} = I + (RK - D)W^{-1}DD^{-}$ takes the form

$$U^{-1} = \left[\begin{array}{c|cc} I + f(A)^{-1}b(A)[b'(A)b(A)^{\#} - I] & \boldsymbol{\sigma}^T(A) \\ \hline - \begin{bmatrix} I \\ A \\ \vdots \\ A^{n-2} \end{bmatrix} & f(A)^{-1}b(A)b(A)^{\#} & I - \begin{bmatrix} I \\ A \\ \vdots \\ A^{n-2} \end{bmatrix} & f(A)^{-1}C + \begin{bmatrix} 0 & 0 \\ -K_{n-2}(A) & 0 \end{bmatrix} \end{array} \right]$$

This we substitute in

$$G^{\#} = R(A)^{-1}[I + (R(A)K(A) - D)W^{-1}DD^{-}][I + (R(A)K(A) - D)W^{-1}DD^{-}]DK(A)^{-1},$$

which is not conducive to simplification.

5 Open Questions and remarks

We end with some pertinent questions and remarks.

1. Squaring the matrix U^{-1} does not look appealing!
2. The expression for $G^\#$ should be “symmetric” in $L(a)$ and $L(b)$, i.e $a(x) - b(x)$ symmetric, and as such there should be some simplification.
3. Can we find a good representation for $(p')^{-1} \pmod p$ for a prime polynomial $p(x)$?
4. Can we find the polynomial $g(A) = A^\#$?
5. Can Lemma (3.1) be extended to regular rings?
6. Can we use the invertibility of $ag + 1 - aa^-$ to get a better result?

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