Optimisation of accelerated ageing of grape marc distillate on a micro-scale process using a Box–Benhken design: influence of oak origin, fragment size and toast level on the composition of the final product

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Abstract

Background and Aims: The ageing process, in wooden barrels, is used to improve the sensory quality of wines and distillates. This process, however, is costly, often leading to an alternative strategy of using wood fragments. The main aim of this work was to evaluate the influence of size, toast level and origin of the oak fragments on the composition of grape marc distillates.

Methods and Results: The accelerated ageing process of grape marc distillate was optimised with a Box–Benhken experimental design. Five dependent variables were evaluated: the concentration of vanillin, whiskey lactone and phenolic substances, the antioxidant activity and the colour parameters to determine the influence of the independent variables: concentration of oak fragments, proportion of ethanol in Galician grape marc distillate (Orujo) and the time of maceration. The concentration of oak fragments was the variable with the greatest effect on the dependent variables evaluated, except for whiskey lactone. The optimal conditions obtained were applied in 11 experimental accelerated ageing processes to study the influence of size, toast level and origin of the oak fragments on the parameters previously mentioned.

Conclusions: The best results were obtained with the smaller fragment size (granular) from *Quercus petraea* with medium toast level. The contact time did not significantly influence the parameters evaluated.

Significance of the Study: This study, performed at a micro-scale, determined the optimum conditions for greater extraction of compounds from oak with beneficial characteristics: volatile compounds with positive notes, vanillin and whiskey lactone, and the phenolic substances with antioxidant properties. This option allows producers to reduce considerably the cost of the process.

Keywords: aged distillate, antioxidant activity, Box–Benhken, oak fragments (granules, chips), phenolic substances, volatiles

Introduction

Aged grape marc distillate, or aged Orujo, is a type of spirit elaborated in the north-west of Spain (Galicia). For at least 1 year, fresh distillate remains in oak barrels, without mixture or in combination with other distillates, only with those prepared at the same time of distillation. The regulations also establish that the capacity of the container cannot exceed the 1000 L (Official Diary from Galicia 2012). *Quercus robur* is the species of oak wood most employed; however, other species of oak have been also used, resulting in a good quality product (Rodríguez-Solana et al. 2012).

The ageing process is used to improve the sensory attributes of the fresh distillate (Léauté et al. 1998, Caldeira et al. 2002, 2006, 2010, Rodríguez-Solana et al. 2012). The use of alternatives to the traditional barrels (oak wood fragments) is a rapid and economical method of ageing treatment (Fan et al. 2006). This practice was approved by the Organisation Internationale de la Vigne et du Vin (Organisation Internationale de la Vigne et du Vin 2005) and is allowed in several countries of the European Union, including Spain for the elaboration of wines (European Commission 2005).

Nowadays, there is no legislation applying to the ageing of spirits in contact with oak wood fragments, and as a result, research on the accelerated ageing of distillates is scarce. There is some work on brandy aged in contact with wood fragments, on cider brandy, on aged rum and on Brandy de Jerez (Quesada Granados et al. 2002, Canas et al. 2009, 2013, Caldeira et al. 2010, Cruz et al. 2013, Rodríguez Madrera et al. 2013, Schwarz et al. 2014).

Although different factors can influence the composition of the final product, the most important is the botanical and geographical origin of the oak. The species used most often are American oak (*Quercus alba*) and French oak (*Q. robur* and *Q. petraea*) (Pérez-Coello et al. 1998, Arapitsas et al. 2004). Other factors are also important during accelerated ageing: the oak fragment size [chips, granules (chips milled without dust), beans, stavettes and tank staves], the amount of applied wood (between 0.5 and 5 g/L) and the toasting level (light, medium and high) (Arapitsas et al. 2004, Caldeira et al. 2010).

Of the compounds extracted from oak, vanillin is one of the main volatile compounds with a significant effect on aroma composition because of its low olfactory threshold (about 320 μg/L) contributing aromatic notes of vanilla to oak-aged alcoholic beverages (Caldeira et al. 2008, Jánacová et al. 2008). Vanillin (4-hydroxy-3-methoxybenzaldehyde) is a low

molecular mass compound, a direct product of lignin oxidative degradation (Gimenez Martinez et al. 1996). Vanillin is normally present in green wood, but its concentration can be increased by seasoning and toasting (Pérez-Prieto et al. 2002).

Box–Benhken design has been used to optimise the conditions for the elaboration of products, such as apple wine and cider vinegar (Zhang et al. 2011, Wang and Dai 2012). This design allows, in a few experiments, the optimisation of the independent variables (alcohol content, oak quantity and time of maceration) to maximise the values of the parameters under study [volatile compounds characteristic of oak (whiskey lactone and vanillin), concentration of phenolic substances, colour parameters and antioxidant capacity] during the ageing process (Rodríguez-Solana et al. 2014a,b).

In the present work, we optimised the ageing process of Orujo with French, medium toasted oak chips with the Box–Benhken design. Such an experimental design has been applied to the optimisation of systems for the accelerated solvent extraction and supercritical fluid extraction (Rodríguez-Solana et al. 2014b) of fennel essential oil (Rodríguez-Solana et al. 2014a) and in the optimisation for the elaboration of different beverages (Zhang et al. 2011, Wang and Dai 2012). The results obtained will assist industry because this practice improves the final product quickly, knowing which variables have more influence in the ageing process when compared with that of traditional oak barrels.

The independent variables of the design were the alcohol concentration of the young distillate, the quantity of oak wood and the time of ageing, because these can influence the kinetics of extraction, oxidation and diffusion of compounds extracted from the oak wood. The last two variables were studied by Canas et al. (2009), demonstrating their influence on the composition of the final product. The dependent variable evaluated with the design was mainly the concentration of vanillin and whiskey lactone because of their positive aroma attributes, but other variables were also evaluated to determine the influence of the independent variables on the composition of the final product: the concentration of phenolic substances and colour parameters (intensity and hue).

The optimised independent variables were finally applied in 11 experimental elaborations to evaluate the importance of other parameters to the composition of the aged distillate: the influence of the botanical and geographical origin [American (*Q. alba*) and French oak (*Q. petraea*)], the oak wood fragment size (chips and granules) and the toast level (untoasted, light, medium and high). These experiments were carried out step by step.

Materials and methods

Raw materials: oak wood fragments and grape marc distillate
Chips and granules of French (*Q. petraea*) and American (*Q. alba*) oak wood were provided by Laffort (Gipuzkoa, Spain). The characteristics of the oak wood used in the study were the following: French oak with four levels of toasting on chips (C) and granules (G) [untoasted (FCU and FGU), light (FCL and FGL), medium (FCM and FGM) and heavy (FCH and FGH)]; American oak with a medium level of toast on granules (AGM) and chips (ACM) and finally a mixture of the French and American oak with medium level of toast on granules (MGM) (Table S1).

The grape marc distillate under the name of the Geographical Indication Spirit of Galicia was provided by a local winery whose distillate is produced according to the guidelines of the Regulatory Board (Official Diary from Galicia 2012).

The Folin–Ciocalteu and 2,2-diphenyl-1-picrylhydrazyl (DPPH) reagents, absolute ethanol, 3,4,5-trihydroxybenzoic acid (gallic acid) and (±)-5-butyl-4-methyldihydro-2(3H)-furanone (whiskey lactone) were purchased from Sigma-Aldrich (Steinheim, Germany). 4-Hydroxy-3-methoxy-benzaldehyde (vanillin) was purchased from Fluka (Steinheim, Germany).

Elaboration of the aged distillate
Approximately 6.5 L of distillate was necessary for the preparation of the 15 experiments (with duplicates) of the Box–Benhken design. For the Box–Benhken design, samples of spirit of variable ethanol concentration (% v/v) were prepared with the starting distillate (70%) diluted to 55% and 40% with distilled water. The ethanol concentration was measured with a Gay Lussac volume alcoholmeter. For the design of the experiment, 0.6, 1.8 and 3 g of oak chips added to the distillate (120 mL), yielding a chip concentration of 5, 15 and 25 g/L, respectively. The headspace of the opaque bottles was minimised with glass beads; the bottles were stored in the dark. Macerates were filtered under vacuum after 2, 4 and 6 weeks, and the filtered distillates were maintained at −40°C in the dark to avoid change to their composition.

For the study of the influence of other characteristics of the wood (the geographical region of the oak, the size of the oak fragments and the toast level), the optimal conditions of alcohol concentration, quantity of wood and time of maceration obtained in the Box–Benhken design were applied to American and French chips and granules of different toast level in experiments carried out step by step.

Determination of the concentration of phenolic substances
Two methods were used to monitor the phenolic substances in the spirits, the concentration of phenolic substances (PS) and the phenolic substances index (PSI). Phenolic substances are mainly analysed by PS using the Folin–Ciocalteu reagent (Singleton and Rossi 1965, Singleton et al. 1998), which are reduced to oxidise phenols forming a blue colour, which can be measured at an absorbance of 750 nm. The PSI is another method widely used because it is simple, fast and reliable. It is a faster method than the Folin–Ciocalteu method, because the absorbance at 280 nm of the samples is directly related to the extractable phenolic substances (at this wavelength the benzene nucleus, characteristic of phenolic substances has the maximum absorbance) (Engelhardt 2001, Yilmaz and Toledo 2006). For the determination of phenolic substances by the Folin–Ciocalteu method, a calibration curve with five points was established, and the results were expressed in milligram gallic acid equivalents per litre. All determinations were in duplicate and measured at 760 nm with a UV-VIS Cintra 6 spectrophotometer (GBC Scientific Equipment, Madrid, Spain). The PSI was determined by measuring the absorbance of each sample at 280 nm employing a 1 cm quartz cuvette (Ribéreau-Gayon 1970). The PSI was determined with Equation 1:

$$PSI = A_{280\,nm} * \text{dilution factor} \qquad (1)$$

Colour parameters: colour intensity and hue
Colour intensity and hue were measured by the absorbance of undiluted samples at a wavelength of 420 nm (yellow), 520 nm (red) and 620 nm (violet) in a spectrophotometer

employing optical quartz, 1 mm path length cuvettes. The equations for the colour intensity (Equation 2) and hue (Equation 3) [which is obtained according to Glories (1984)] are as follows:

$$colour\ intensity\ =\ A_{420nm} + A_{520nm} + A_{620nm} \qquad (2)$$

$$hue = A_{420nm}/A_{520nm} \qquad (3)$$

Antioxidant capacity

The antioxidant capacity was determined in duplicate using the DPPH method described by Rawson et al. (2013), using ethanol as the solvent in order to simulate as much as possible the sample matrix. The results were expressed as the inhibition of free radicals by DPPH expressed as a proportion [I (%)] which is calculated with the following equation:

$$I\ (\%) = \left(A_{blank} - A_{sample}/A_{blank}\right) x100 \qquad (4)$$

where A_{blank} is the absorbance of the control (all reagents except the sample) and A_{sample} is the absorbance of the sample.

Experimental design

For optimisation of the critical factors in the elaboration of accelerated ageing of beverages using oak chips, the following variables were chosen: concentration of chips, ageing time and ethanol concentration [% (v/v)] in the young distillate, using a Box–Behnken experimental design. This design is a response surface methodology that studies the effect of the chosen variables and their interactions. The three independent variables studied and their optimised ranges for chips of the botanical species $Q. petraea$ and medium toasted are the following: the concentration of chips (x_1) with a minimum value (-1) of 5 g/L, centre value (0) of 15 g/L and maximum (1) of 25 g/L; the time (x_2) values: minimum (-1) of 2 weeks, central (0), 4 weeks and maximum value (1) of 6 weeks; and the ethanol concentration (x_3), with a minimum value of (-1) 40% v/v, middle (0) 55% v/v and maximum (1) 70% v/v (Table S2). Box–Behnken design with three factors at three levels $(-1, 0$ and $+1)$ was undertaken with three centre points (0, 0 and 0) in a set of 15 experiments, and the three replicates of centre point were used for estimation of the pure error. For statistical calculations, the independent variables were coded as x_1 (coded concentration of chips), x_2 (coded time) and x_3 (coded % alcohol).

The dependent variables were the following: concentration of PS; PSI; the phenolic substances, vanillin and whiskey lactone; antioxidant capacity; and colour parameters, colour intensity and hue. The experimental data were evaluated by response surface methodology using Statistica 5.0 software (Statsoft, Tulsa, OK, USA). The effect of each independent variable on the response was fitted by polynomial quadratic equations, which include linear, interaction and quadratic terms (Equation 5):

$$y\ =\ b_0 + b_1x_1 + b_2x_2 + b_3x_3 + b_{12}x_1x_2 + b_{13}x_1x_3 \qquad (5)$$
$$+ b_{23}x_2x_3 + b_{11}x_1^2 + b_{22}x_2^2 + b_{33}x_3^2$$

where y is the predicted response, b_0 is the model constant, x_1, x_2 and x_3 are independent variables (coded), b_1, b_2 and b_3 are linear coefficient, b_{12}, b_{13} and b_{23} are cross product coefficients and b_{11}, b_{22} and b_{33} are the quadratic coefficients. Dependent variables were optimised using an application of EXCEL 2007 (Solver, Microsoft, Redmond, WA, USA).

Quantification of vanillin and whiskey lactone by GC-flame ionisation detection

The concentration of vanillin and whiskey lactone of the aged distillates was determined with an Agilent 7890A GC equipped with flame ionisation detector. The column was an HP-INNOWax [polyethylene glycol (PEG) 60 m × 0.25 mm i.d. × 0.25 μm film thickness] (Agilent, Cheadle, England). Samples (1 μL) were injected in split mode (10:1). The oven temperature was programed as follows: 60°C during 15 min then 60 to 230°C at 3°C/min. Injector temperature was 250°C and that of the detector 260°C. The flow of H_2 was 1 mL/min.

An external calibration curve of five points was prepared in ethanol with the concentration of vanillin between 1 and 30 mg/L with good linearity, R^2 0.9994 and with the concentration of whiskey lactone between 1 and 10 mg/L and R^2 0.9948.

Statistical procedures

The results obtained were analysed using XLstat-Pro (Addinsoft, Paris, France). One-way ANOVA was applied to establish whether a significant difference $(P < 0.05)$ existed between the values obtained for the mean value of each parameter in the experimental samples analysed. The multiple range test (Fisher's least significant difference method) was applied to confirm the results obtained. Pearson's correlations between all parameters evaluated were also calculated. Principal component analysis (PCA) was applied to attempt the separation of the different experimental accelerated ageing processes because of the influence of the characteristics of wood (oak origin, fragment size and toast level) on the parameters evaluated (vanillin, colour parameters, phenolic substances and antioxidant capacity).

Results and discussion

Optimisation of the accelerated ageing of grape marc distillate: Box–Benhken design

The compounds of importance to the sensory properties of the aged grape marc distillates, vanillin (with vanilla notes) and whiskey lactone (with coconut notes) (Lee et al. 2000), the concentration of phenolic substances, colour parameters and antioxidant capacity were analysed with a Box–Behnken experimental design using medium toasted, French oak chips to evaluate the effect of oak fragments during the accelerated ageing of the final product.

This design offers the opportunity to determine the optimal values of the independent variables by establishing the relationship of these variables and the predicted responses. Three independent variables important to the elaboration of oak chip macerates were studied: concentration of oak (X_1), time (X_2) and % alcohol (X_3) at three levels. Table 1 shows the design matrix of the coded variables together with the observed and predicted values by the model of dependent variables. Results showed that the value of experimental results and predicted values were similar except for the concentration of phenolic substances.

Table 2 displays regression coefficients of each dependent variable that allowed regression equations to be obtained for each dependent variable studied as a function of the concentration of chips, time and % ethanol in linear and quadratic form and lineal interaction between variables. The determination coefficient (R^2) for principal components responses ranged from 0.9346 to 0.9855, indicating a high correlation between observed and predicted values; the concentration of phenolic substances response had a lower R^2 of 0.8850. The values of

Table 1. Box-Behnken matrix and experimental and predicted values for optimisation of concentration of chips, time of maceration and ethanol concentration for dependent variables.

Runs	Independent variables			Dependent variables: observed values (Values predicted by model)						
	Concentration of chips (g/L)	Time (weeks)	Ethanol (%)	PSI	Vanillin (mg/L)	Antioxidant capacity (%)	PS (mg GAE/L)	Colour intensity†	Hue‡	Whiskey lactone (mg/L)
1	5 (−1)	2 (−1)	55 (0)	0.77 (0.73)	2.71 (2.18)	41.46 (41.84)	33.36 (−36.14)	0.03 (0.02)	11.26 (11.14)	2.28 (2.20)
2	25 (1)	2 (−1)	55 (0)	2.43 (2.48)	4.57 (4.41)	31.10 (30.26)	272.58 (309.58)	0.14 (0.15)	6.38 (5.86)	2.35 (2.25)
3	5 (−1)	6 (1)	55 (0)	1.03 (0.98)	1.66 (1.82)	39.63 (40.47)	0.00 (−36.99)	0.05 (0.04)	10.16 (10.68)	1.65 (1.75)
4	25 (1)	6 (1)	55 (0)	2.90 (2.94)	4.48 (5.01)	25.00 (24.62)	0.00 (69.50)	0.17 (0.18)	6.32 (6.44)	2.47 (2.55)
5	5 (−1)	4 (0)	40 (−1)	0.88 (1.02)	1.32 (1.38)	38.41 (37.73)	61.11 (89.61)	0.04 (0.05)	12.60 (12.02)	1.09 (1.14)
6	25 (1)	4 (0)	40 (−1)	2.30 (2.35)	4.86 (4.55)	28.66 (29.19)	333.94 (255.95)	0.14 (0.13)	6.93 (6.76)	1.46 (1.52)
7	5 (−1)	4 (0)	70 (1)	1.03 (0.98)	2.29 (2.60)	39.63 (39.10)	52.33 (130.32)	0.04 (0.04)	10.13 (10.13)	2.69 (2.63)
8	25 (1)	4 (0)	70 (1)	3.49 (3.35)	4.92 (4.86)	19.51 (20.20)	444.69 (416.19)	0.22 (0.21)	5.46 (6.04)	3.15 (3.11)
9	15 (0)	2 (−1)	40 (−1)	1.52 (1.42)	2.75 (3.22)	37.20 (37.50)	152.31 (193.30)	0.07 (0.07)	6.04 (6.74)	1.38 (1.41)
10	15 (0)	6 (1)	40 (−1)	1.94 (1.85)	3.32 (3.10)	34.76 (34.60)	0.00 (8.49)	0.10 (0.10)	7.09 (7.14)	1.40 (1.26)
11	15 (0)	2 (−1)	70 (1)	1.89 (1.98)	3.51 (3.73)	34.15 (34.30)	237.92 (229.43)	0.11 (0.11)	5.92 (5.86)	2.75 (2.88)
12	15 (0)	6 (1)	70 (1)	2.15 (2.25)	4.57 (4.10)	30.49 (30.18)	214.31 (173.31)	0.13 (0.13)	6.28 (5.58)	2.90 (2.87)
13	15 (0)	4 (0)	55 (0)	2.19 (2.05)	4.75 (4.64)	30.49 (29.88)	257.69 (237.00)	0.14 (0.13)	5.70 (5.85)	2.47 (2.30)
14	15 (0)	4 (0)	55 (0)	1.98 (2.05)	4.70 (4.64)	28.05 (29.88)	218.50 (237.00)	0.12 (0.13)	5.99 (5.85)	2.42 (2.30)
15	15 (0)	4 (0)	55 (0)	1.97 (2.05)	4.46 (4.64)	31.10 (29.88)	234.81 (237.00)	0.13 (0.13)	5.85 (5.85)	2.01 (2.30)

†$A_{420\,nm}$ + $A_{520\,nm}$ + $A_{620\,nm}$. ‡$A_{420\,nm}$/$A_{520\,nm}$. GAE, gallic acid equivalents; PS, phenolic substances; PSI, phenolic substances index.

adjusted R^2 were from 0.8170 to 0.9600, confirming the significance of the models, except for the concentration of phenolic substances response where the adjusted R^2 was 0.6781. Thus, the model can predict the results of these parameters with good precision allowing the selection the more suitable conditions of elaboration.

Figure 1a–g (Pareto chart) shows the relative importance of the effect that each independent variable and the interactions among them have on the dependent variable, as well as those terms that are significant at 95%. For all the dependent variables considered, the concentration of chips had a higher effect except for the whiskey lactone concentration in which the linear term of ethanol [% (v/v)] showed greater influence. Figure 2a–g shows the response surface models, which predict the result of dependent variables by three-dimensional (3D) responses. These 3D responses were obtained using Equation 5 for each dependent variable. In each 3D surface plot, one independent variable (with the lowest effect) was kept constant at medium level, while the other two independent variables varied in the selected range.

Concentration of phenolic substances. The highest PSI in the alcoholic beverages is related to the greater extraction/diffusion of the phenolic substances from wood (Cruz et al. 2013). The effect of the independent variables on PSI was evaluated (Figure 1a), and a positive and significant (at 90%) effect of the concentration of chips and of ethanol was observed. A small increase in PSI, however, can be observed in experiments 1 and 3 (Table 1) from 0.77 to 1.03 after 2 and 6 weeks, respectively. The predicted maximum PSI was 3.41 with 25 g/L of oak chips, 5.21 weeks and 70% ethanol.

All independent variables had a significant effect on the extraction of phenolic substances as measured by the Folin–Ciocalteu method, with the variable concentration of chips having a greater effect.

Whiskey lactone and vanillin. Whiskey lactone and vanillin were the compounds with important sensory properties extracted in the maceration process. Whiskey lactone is formed from lipids in oak wood during toasting, although it is also present in natural oak (Singleton 1995). Extraction time and concentration of chips had no significant effect on the extraction of whiskey lactone (Figure 1g). Pizarro et al. (2014) evaluated the effect of maceration time, chip concentration and other parameters during the maceration of red wines, and they observed that maceration time had no effect on the extraction of whiskey lactone, whereas other parameters, such as type of oak and toasting level, had a greater effect. The maximum concentration of whiskey lactone was obtained at the highest concentration of chips and ethanol content (% v/v) (Figure 2g). Figure 2g shows the strong effect of ethanol concentration in the extraction of whiskey lactone from the wood. At high concentration of chips (25 g/L) and intermediate contact time (4 weeks), the concentration of whiskey lactone increased from 1.46 to 3.15 mg/L with increasing ethanol centration. The predicted maximum for whiskey lactone by the model was 3.24 mg/L under optimal conditions (Table 1).

Vanillin is produced by the thermal degradation of lignin during the toasting of wood although it is also present in natural wood (Cano-López et al. 2008). The Pareto chart of vanillin (Figure 1b) shows that the linear terms of concentration of chips and of ethanol and quadratic terms of concentration of chips, time and ethanol had a significant effect (at 95%) on vanillin extraction. Results showed that chip

Table 2. Regression coefficients, statistical parameters and optimal conditions for dependent variables.

Coefficients	PSI	Vanillin	Antioxidant capacity	Phenolic substances	Colour intensity†	Hue‡	Whiskey lactone
b_0	2.04***	4.64***	29.88***	237.00***	0.13***	5.85***	2.30***
b_1	0.93***	1.36***	−6.86***	113.05***	0.06***	−2.38***	0.21
b_{11}	−0.11	−0.74**	0.91	−44.31**	−0.01	2.57***	−0.06
b_2	0.18**	0.06	−1.75*	−60.23**	0.01	0.03	−0.04
b_{22}	−0.16	−0.55**	3.51*	−116.20***	−0.02*	0.12	−0.05
b_3	0.24**	0.38**	−1.90*	50.24**	0.02**	−0.61***	0.77**
b_{33}	−0.01	−0.55**	0.76	30.33*	−0.01	0.37**	−0.14
b_{12}	0.05	0.24*	−1.07	−59.80**	0.00	0.26*	0.19
b_{13}	0.26**	−0.23*	−2.59*	29.88*	0.02*	0.25*	0.02
b_{23}	−0.04	0.12	−0.30	32.17*	−0.00	−0.17	0.03
r^2	0.98	0.93	0.98	0.88	0.99	0.97	0.96
r^{2adj}	0.96	0.82	0.95	0.68	0.96	0.91	0.90
Optimal conditions							
Chips concentration (g/L)	25.00	24.40	5.00	25.00	25.00	5.00	25.00
Time (weeks)	5.21	4.57	6.00	3.23	4.00	2.00	6.00
Ethanol (%)	70.00	57.72	70.00	70.00	70.00	40.00	70.00

Significant P values are indicated: *, $P < 0.10$; **, $P < 0.05$; ***, $P < 0.01$. r^2, determination coefficient. †$A_{420\,nm} + A_{520\,nm} + A_{620\,nm}$. ‡$A_{420\,nm}/A_{520\,nm}$. adj, adjusted. PSI, phenolic substances index.

concentration had a clear positive effect, followed by ethanol concentration.

Antioxidant capacity. The antioxidant capacity (Figure 1c) shows a negative effect of the concentration of chips, with significant effect at 95%. As a result, a low concentration of chips favoured antioxidant capacity of the spirit. This antioxidant capacity is related to the amount of phenolic substances extracted from the oak wood (Jordão et al. 2012).

Colour parameters. Colour is a major attribute affecting the consumer perception of quality and can be used as a direct quality estimate in beverages and other foods. It can predict attributes such as over-processing and be used as a tool to follow the change of a product and to determine relationships with other food attributes, such as acceptance, visual colour and appearance (Granato and Masson 2010). Colour intensity and hue are parameters that can influence consumer decision; thus to be able to identify the maceration conditions that lead to colour intensity and hue accepted by consumers is of great interest. The model could predict the results of these parameters of varying concentration of chips, time and % alcohol. The concentration of chips and of ethanol had a significant effect (at 95%) on both parameters, positive in the case of colour intensity and negative for the hue value. In Figure 2f, it can be seen that the maximum value of colour intensity was achieved at maximum concentration of chips and of ethanol being the maximum predicted value 0.22. Figure 2e showed the evolution of hue with varying concentration of chips and of ethanol leading to an optimal region in the lower value of the concentration of chips. Results showed that the maximum value of hue predicted by the model was 12.20.

Comparison between oak fragment size, oak origin and toast level in the elaboration of accelerated aged distillate
Once the optimisation process was carried out, the optimal values for the independent variables were applied to the accelerated ageing of distillate with different oak fragments.

For this study, on a micro-scale, the effect of oak fragment size, granules and chips, and oak origin, French oak, *Q. petraea* with different toast levels (untoasted, light, medium and high) and American oak, *Q. alba* with medium toast level and finally a mix of both types of oak with medium toast level were assayed (Figure S1).

The same parameters evaluated during the optimisation process have been measured in the 11 experiments of an accelerated ageing process. Results obtained are showed in Table 3.

Phenolic substances–PSI and PS. The consumption of food and beverages with high concentration of phenolic substances is correlated with reduced cardiovascular and neurodegenerative diseases and cancer mortality (Umar et al. 2003). This is possibly because of the biological effects of these compounds as antioxidants (Goldberg et al. 1999, Vinson et al. 2001, Priyadarsini et al. 2002, Sroka and Cisowski 2003).

In American oak, the smallest fragment (granules) provided the higher concentration of phenolic substances, and in general, the behaviour was similar to that of French oak. This may be due to the higher ratio between solvent and the smallest particle size (Jordão et al. 2012), where the surface in contact with the solvent is higher. The concentration of the phenolic substances was significantly higher in distillate aged in *Q. petraea* (almost twofold more) than distillate aged in *Q. alba*. These results agreed with those of Jordão et al. (2012) where they studied extracts of oak fragments of different size (between 2 and 8 mm), with different toasting levels (light, medium and high) and different species of oak (*Q. petraea* and *Q. alba*). The toasting level did not follow a trend in the concentration of phenolic substances, because in French oak granules, the higher value was obtained at the untoasted and medium toasting level, but in French oak chips, the higher values were obtained with untoasted and high-toast chips. Soares et al. (2012) found that synthetic wine macerated with untoasted oak presented a value higher than that with medium and high-toasted oak.
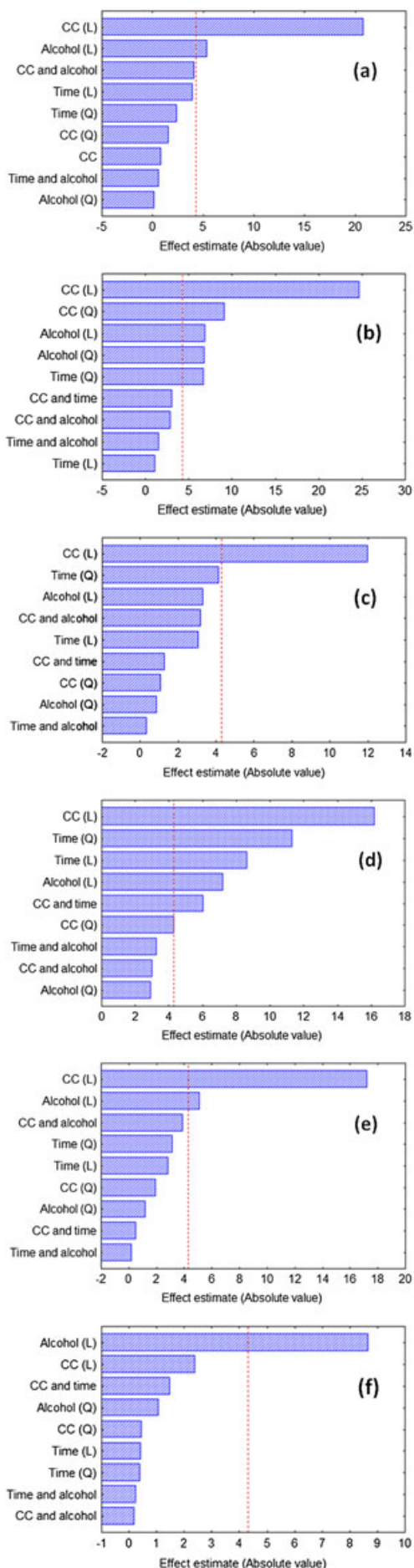
Figure 1. Pareto charts for (a) phenolic substances index; (b) vanillin; (c) antioxidant capacity; (d) phenolic substances; (e) colour intensity; (f) hue; and (g) whiskey lactone extracted from medium toast French oak chips as a linear (L) or quadratic (Q) function of the independent variables assayed [oak pieces concentration (CC), contact time and alcohol concentration]. The significance *P* value (0.05) is indicated by the red vertical dashed line.

Jordão et al. (2012) considered that these results may be due to the lack of homogeneity of the oak because it is a natural product; many companies have patented the toasting process and do not specify it in detail.

In contrast, Table 3 shows that, in general, the oak granules presented values of PSI significantly higher than that of oak chips. Between *Q. petraea* and *Q. alba*, the PSI values were always significantly higher in the distillate aged in the French oak. In all samples, except with granules, the PSI values increased with the toast level. These results are in agreement with PSI values obtained by Canas et al. (2002) with brandy aged in oak staves with light (9.62) and high (11.76) toast level.

Vanillin. Vanillin is one of the low molecular mass phenolic substances responsible for taste, aroma and flavour of alcoholic beverages aged in oak (Caldeira et al. 2008, Jánacová et al. 2008). This compound gives a vanilla aroma with a positive correlation with the overall quality of the aged spirit (Caldeira et al. 2010). It is a marker or ageing indicator because it can be measured during the ageing process and thus can be used to estimate the time required to age a distilled beverage (De Aquino et al. 2006). Moreover, vanillin is also present in natural wood, being adequate to study the behaviour of the different toasted oak fragments assayed in this research.

All experimental assays showed a concentration of vanillin significantly different, with higher values in spirit aged in contact with medium toast French oak granules. The behaviour of fragment size in *Q. alba* is the same as that in *Q. petraea*: oak granules provide significantly more vanillin than oak chips, because the size of oak chips may have a significant effect on the formation of vanillin during toasting (this compound is formed by thermal degradation of lignin during oak toasting). Small pieces are more combustible, and more vanillin is formed (until 5 mm in size where losses of vanillin occur by evaporation) (Bautista-Ortín et al. 2008). Similar results have been shown by Rodríguez Madrera et al. (2013) who studied different sizes of oak and found that between barrels and staves the quantity of vanillin is greater in cider brandy aged in contact with staves. Fernández de Simón et al. (2010) studied the difference between staves and chips in the ageing of red wine and found that in all cases the extraction was greater with chips.

The comparison of vanillin extraction between *Q. petraea* and *Q. alba* indicated that the French oak provides more vanillin than American oak (almost twofold more). Rodríguez Madrera et al. (2010) showed similar results for the concentration of vanillin in spirit aged in contact with medium toast
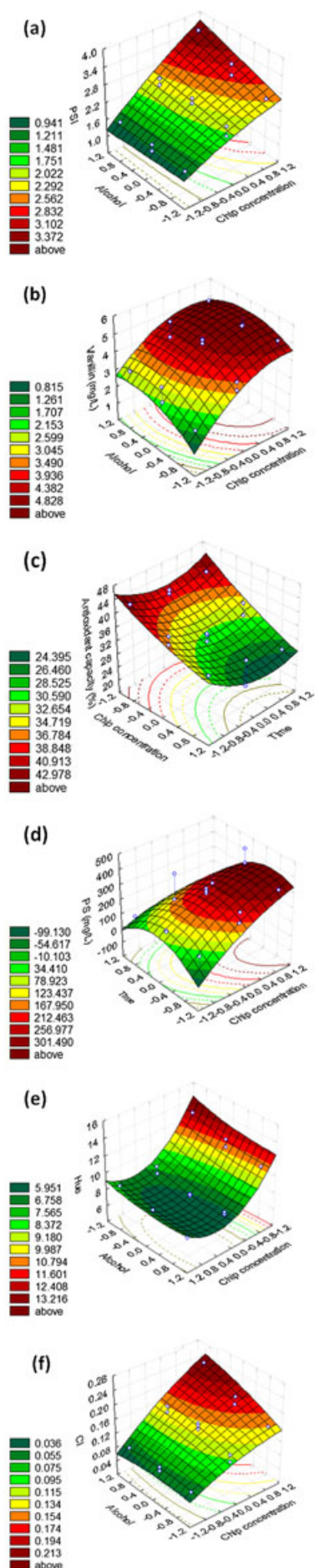
(a)

(b)

(c)

(d)

(e)

(f)

(g)

Figure 2. Response surface of (a) phenolic substances index (PSI); (b) vanillin; (c) antioxidant capacity; (d) phenolic substances (PS); (e) hue; (f) colour intensity (CI); and (g) whiskey lactone extracted from oak as a function of concentration of oak chips, alcohol and contact time.

American oak chips (4.19 mg/L), but less for the spirit aged in medium toast French oak chips (4.74 mg/L). A later study on cider brandy by the same research group (Rodríguez Madrera et al. 2013), however, showed a similar concentration of vanillin for oaks of different origin [contact time 4 months: French (3.4 mg/L), American (3.4 mg/L) and Spanish (3.6 mg/L)], although the value for the American oak is similar to that of the present work. Other authors, Fan et al. (2006) obtained similar values in apple cider aged in contact with French and American chips (0.27 and 0.29 mg/L, respectively).

When we evaluated the influence of the toast level, the concentration of vanillin in spirit in contact with *Q. petraea* granules (Table 3) increases with the toast level until medium toast, with similar behaviour for oak chips. This behaviour was similar for the ageing of red wine with staves and chips of *Q. pyrenaica*, but in this case, the quantity of vanillin continued to increase in the high level of toasted oak (Fernández de Simón et al. 2010).

In all experiments, the vanillin concentration is higher than the corresponding threshold value and for this reason contributes to the aroma of the aged distillates increasing its positive notes, despite the possible interaction effects between the other volatile compounds.

Antioxidant capacity. The interest in the measurement of antioxidants is due to these compounds being able to mitigate the activity of the free radicals responsible for several diseases, such as premature ageing, prostaglandin-mediated inflammatory processes and cancer (Combs 1991, Halliwell et al. 1992). Results for the antioxidant activity were similar between granules and chips, with the exception of medium toast French oak, which showed a value significantly higher for chips. Comparing the antioxidant activity value in the distillate aged in contact with French or American oak, results showed higher and similar values, both in granular or in chips presentation, in the case of American oak, while French oak showed lower and different values for both fragment macerations. In all cases, the antioxidant capacity declined with increasing toast level (Table 3), which contrasts with those of Canas et al. (2008) who found no significant difference in antioxidant activity of aged brandy with wood barrels of different toast levels (light, medium and high).

Colour parameters: hue and colour intensity. The hue value of the spirit for all experiments was similar, regardless of the characteristics of the oak fragment. Hue mean value in *Q. petraea* was 4.70 and in *Q. alba* 4.89. These values were

Table 3. Values of the parameters studied for the French and American oak granules and chips with optimal independent applied variables.

		Parameters of the optimised aged grape marc distillate					
Code†	Species of oak	CI	Hue§	Antioxidant capacity (%)	PSI	PS (mg GAE/L)	Vanillin (mg/L)
MGM‡	*Quercus petraea + Quercus alba*	0.18 c	4.79 a,b	62.24 a,b	3.45 c,d,e	714 d,e	8.62 b
FGU	*Q. petraea*	0.10 d,e	4.56 a,b	75.51 a	4.45 b,c,d	1209 a,b	2.51 i
FGL		0.17 c,d	4.64 a,b	63.27 a,b	4.98 a,b	804 c,d	5.09 f
FGM		0.38 a	4.39 b	9.18 e	5.26 a	1289 a	9.62 a
FGH		0.28 b	4.84 a,b	37.76 c,d	4.03 b,c	954 b,c,d	7.13 c
FCU		0.09 e	4.84 a,b	75.51 a	3.79 b,c,d,e	1089 a,b,c	1.84 j
FCL		0.15 c,d,e	4.62 a,b	73.47 a,b	3.85 b,c	804 c,d	4.35 g
FCM		0.20 c	5.00 a	55.10 b,c	3.86 c,d,e	764 d,e	6.66 d
FCH		0.36 a	4.70 c	30.61 d	5.36 a,b	1029 c,d	6.64 d
AGM	*Q. alba*	0.16 c,d,e	4.85 a,b	63.27 a,b	2.75 d,e	509 e,f	5.54 e
ACM		0.13 c,d,e	4.93 a,b	68.37 a,b	2.52 e	419 e,f	3.64 h

Values within a column with the same letter are not significantly different ($P = 0.05$). †A, American oak; C, chips; F, French oak; G, granules; L, light toast; M, medium toast; H, heavy toast; U, untoasted. ‡MGM, medium toasted mixture of American and French oak granules. §Measured as $A_{420\,nm}/A_{520\,nm}$. CI, colour intensity measured as $A_{420\,nm} + A_{520\,nm} + A_{620\,nm}$. GAE, gallic acid equivalents; PS, phenolic substances; PSI, phenolic substances index.

similar to the mean values recorded in previous research of this group (data not shown) for distillates aged in barrels for 1–6 years: 5.26 for *Q. petraea* and 5.39 for *Q. alba*. These hue values reveal that there is no difference between oak fragments and barrels in aged spirits. The values of colour intensity, however, are much lower in spirits aged in contact with oak fragments (Table 3) than those obtained in barrels: 0.7 is the minimum value, and it was obtained in spirits aged in *Q. alba* barrels, while the maximum value was the 2.3 obtained in spirits aged in *Q. robur* (from Galicia) (Rodríguez-Solana et al. 2014c).

Among the spirits aged in contact with oak fragments, the American oak presented slightly greater hue. In general, French oak granules and chips show similar values of colour intensity except in the case of medium (higher value in oak chips) and high toast (higher value in oak granules). The colour intensity of spirit aged in contact with French and American oak is similar for both granules and chips. Compared with French oak fragments, American oak fragments always showed higher-colour intensity (almost twofold more). Colour intensity of spirit increases with toast level (until medium toast) for French oak granules and chips.

Statistical analysis: Pearson correlation coefficients and principal component analysis

Pearson correlation coefficients. Colour intensity was negatively correlated with the antioxidant capacity (-0.975) and positively correlated with the PSI (0.617) and vanillin concentration (0.791) (Table 4). These results showed that a high-toast level implies the oxidative degradation of the phenolic substances from the oak decreasing the corresponding antioxidant capacity attributed to these compounds. Hue showed a negative correlation value with PSI (-0.693) and PS (-0.675), whereas it was positively correlated (0.379) with the antioxidant capacity. From the earlier results, it must be concluded that the presence of compounds with antioxidant capacity has more influence on the hue of the aged spirit that on the corresponding colour intensity. While vanillin has a direct and high correlation with colour intensity (with a value of 0.79), this does not imply greater antioxidant capacity (as it can be concluded from its observed negative value of -0.79).

Principal component analysis. Figure 3 shows the scores plot from the first two principal components (PCs), obtained with the vanillin concentration, antioxidant capacity and chromatic characteristics as variables, which explain 87.41% of the variability among the samples. The PC1 (62.24%) was negatively correlated with the majority of variables studied, mainly with the vanillin concentration, phenolic substances, colour intensity and PSI, and positively correlated with the hue value and the antioxidant capacity. The PC2 (25.17%) was mainly positively correlated with the vanillin concentration and hue, and negatively with the concentration of phenolic substances.

Four groups of samples plotted on the plane defined by the two first PCs can be identified (Figure 1). Samples from group 1 (MGM, FCM, AGM and ACM) were better characterised by a high-hue value and low concentration of PS and a low PSI. In contrast, samples included in the group 2 (FGL, FCL, FCU and FGU) were mainly correlated with a high-antioxidant capacity. Group 3 (FCH and FGH) was characterised by the presence of vanillin. Group 4 was formed only by FGM and was not characterised by any of the parameters evaluated.

The PCA analysis clearly showed a good separation of the accelerated aged spirits according to the toast level with independence of the fragment size of the oak (chips or granules) and the origin (French or American).

Conclusions

The independent variables studied in the Box–Behnken experimental design, ethanol concentration and concentration of oak chips were influential in the maceration process. In all dependent variables, except whiskey lactone for which the ethanol concentration was the most important variable, the concentration of oak chips showed the greatest effect. In general, the contact time between the oak and the distillate did not have significant effect on the corresponding values of the parameters evaluated.

The characteristics of the oak fragments that provided the best properties to the distillates were medium toast French oak granules.

In general, high toast-oak fragments provide greater colour intensity in the accelerated aged spirits but reduce the antioxidant capacity of the corresponding beverage. PCA showed a

Table 4. Pearson correlation matrix among colour parameters, antioxidant activity and phenolic substances.

Variables	Hue	Antioxidant capacity	Phenolic substances index	Phenolic substances	Vanillin
Colour intensity	−0.36	**−0.97**	**0.62**	0.39	**0.79**
Hue	1	0.39	**−0.69**	**−0.67**	−0.20
Antioxidant capacity		1	**−0.58**	−0.43	**−0.79**
Phenolic substances index			1	**0.79**	0.30
Phenolic substances				1	0.08

High correlations (upper than ±0.6) are shown in bold.
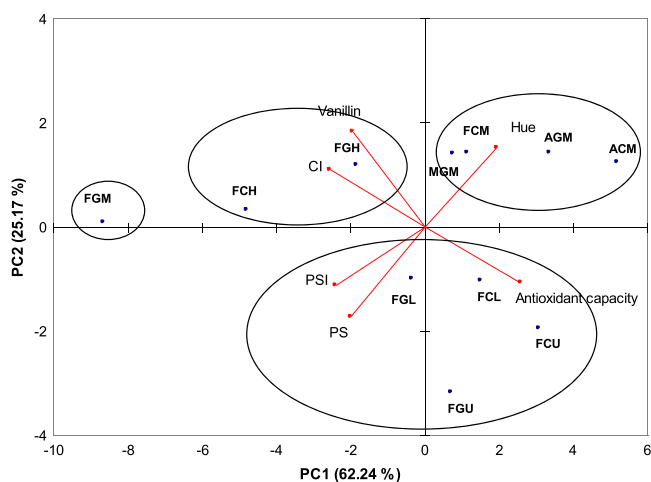
Figure 3. Principal component analysis for the experimental accelerated ageing process based on the mean values of all parameters evaluated. Code: F, French oak; A, American oak; M, mixture of French and American oak; G, granules; C, chips; U, untoasted; L, light toast; M, medium toast; H, heavy toast; PS, phenolic substances; PSI, phenolic substances index; CI, colour intensity.

good separation of the accelerated aged spirits according to the toast level with independence of the size and origin of the oak fragments.

It should be pointed that these results were obtained on a micro-scale, and they should be tested on an industrial scale. In essence, the value of this work is a starting point that identifies the critical parameters affecting the quality of distillates, thereby minimising the number of experiments required on an industrial scale.

Acknowledgements

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Supporting information

Additional Supporting Information may be found in the online version of this article at the publisher's web-site: http://onlinelibrary.wiley.com/doi/10.1111/ajgw.12249/abstract

Figure S1. Scheme of the experimental accelerated ageing process for grape marc distillates.

Table S1. Characteristics of the oak wood used for the accelerated aging.

Table S2. Levels of independent variables and dimensionless coded variables definition (xi).