

4           **Co-expression of monocarboxylate transporter 1 (MCT1)  
5           and its chaperone (CD147) is associated with low survival  
6           in patients with gastrointestinal stromal tumors (GISTs)**

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13          **Abstract** Monocarboxylate transporters (MCTs) have been  
14          described to play an important role in cancer, but to date  
15          there are no reports on the significance of MCT expression  
16

17          in gastrointestinal stromal tumors (GISTs). The aim of the  
18          present work was to assess the value of MCT expression, as  
19          well as co-expression with the MCT chaperone CD147 in

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GISTs and evaluate their clinical-pathological significance. We analyzed the immunohistochemical expression of MCT1, MCT2, MCT4 and CD147 in a series of 64 GISTs molecularly characterized for *KIT*, *PDGFRA* and *BRAF* mutations. MCT1, MCT2 and MCT4 were highly expressed in GISTs. CD147 expression was associated with mutated *KIT* ( $p=0.039$ ), as well as a progressive increase in Fletcher's Risk of Malignancy ( $p=0.020$ ). Importantly, co-expression of MCT1 with CD147 was associated with low patient's overall survival ( $p=0.037$ ). These findings suggest that co-expression of MCT1 with its chaperone CD147 is involved in GISTs aggressiveness, pointing to a contribution of cancer cell metabolic adaptations in GIST development and/or progression.

## Q34 Keywords

## 35 Background

The estimated incidence of gastrointestinal stromal tumors (GISTs) ranges from 10 to 20 cases per million annually and the estimated prevalence is around 129 per million (Stamatakos et al. 2009). The majority of GISTs are found in the stomach (50–60%), followed by the small intestine (30–40%), colon and rectum (5–10%) and, rarely, in the esophagus (5%). GISTs are usually solitary tumors that mainly affect individuals over 50 years of age (Chourmouzi et al. 2009) and are generally composed of spindle cells, although sometimes they can display epithelioid features or a mixed pattern (Zhang et al. 2010; Miettinen et al. 2005). These tumors are characterized by a strong, diffuse staining for CD34 (60–70%) and CD117 (>95% of cases) (Blackstein et al. 2006), the latter encoded by the proto-oncogene *KIT* (Mushtaq et al. 2009).

GIST development is associated with activating mutations in the *KIT* gene (85–90%) and, less commonly (around 5%), in *PDGFRA* (Gomes et al. 2008; Martinho et al. 2009), which also encodes a type III tyrosine-kinase receptor. Approximately 10–15% of cases lack mutations in both *KIT* and *PDGFRA* (thus termed wild-type GIST) (Agaimy et al. 2009), and a subset of these wild-type GISTs display activating mutations of the oncogene *BRAF* (Martinho et al. 2009; Agaimy et al. 2009). The mutation profile has an important impact on the response of GIST patients to imatinib, a small-molecule inhibitor of tyrosine kinase. Importantly, patients with *KIT* exon 11 mutations have a better response rate to imatinib and longer overall survival and disease-free interval than patients with exon 9 mutations or wild-type *KIT* (Badalamenti et al. 2007). Nevertheless, it has been reported that patients on imatinib treatment can gain secondary *KIT* mutations, which cause insensitivity to the inhibitor. Resistance to these kinase inhibitors is

generally associated with distinctive clinical and molecular features (Gramza et al. 2009).

It was recently proposed that metabolic response measured by fluorodeoxyglucose positron emission tomography (FDG-PET) may be a useful early predictor of GIST response to treatment since there is a lower glucose uptake activity in imatinib-responsive GISTs, while imatinib-resistant GISTs show high glucose uptake capacity (Demetri et al. 2002; Cullinane et al. 2005; Holdsworth et al. 2007). In fact, the high metabolic activity related to intense glycolysis observed in sarcomas decreases and is related to clinical benefit, weeks or months before objective response based on tumor size (Demetri et al. 2002).

It is currently well established that most cancer cells, including GISTs, continually sustain high rates of glycolysis, thus generating high quantities of acids, especially lactic acid. Consequently, the pH of tumor-associated stroma is low, while the intracellular pH is either normal or higher than that of normal tissues. This calls for a transport mechanism capable of transferring acids from cancer cells to the external microenvironment (Chiche et al. 2010). The principal players known to participate in this acid transportation are members of the monocarboxylate transporter (MCT) family, which have a crucial role in conveying lactate across the plasma membrane, thus constituting attractive therapeutic targets (Kennedy and Dewhirst 2010). There is evidence that upregulation of MCTs occurs in many tumors, such as colorectal carcinomas (Pinheiro et al. 2008a), breast cancer (Pinheiro et al. in press) uterine cervix (Pinheiro et al. 2008b) and lung cancer (Koukourakis et al. 2007), among others. There is also an association between lactate derived from tumor cells and cancer progression (Walenta & Mueller-Klieser 2004).

Hitherto, there are no data in the literature regarding the significance of MCT expression in GISTs. Given the aggressiveness of this type of tumor and the potential of MCTs as therapeutic targets, we sought to investigate the expression of MCTs and its chaperone CD147 (EMMPRIN) in a series of molecularly characterized GISTs.

## Methods

This study included samples from 51 patients consecutively examined and treated for gastrointestinal stromal tumors (GISTs) at Barretos Cancer Hospital, São Paulo, Brazil, between 2000 and 2008, and 13 patients from Garcia de Orta Hospital, Almada, Portugal, examined and treated between 1994 and 2003. Clinico-pathological data were retrospectively obtained from the files of the hospital medical records. Cases with a history of any previous cancer treatment were excluded. Tumors were classified in accordance with the WHO criteria and the parameters analyzed

119 included: age, gender, ethnicity, primary tumor site, tumor  
120 size, mitotic index, tumor necrosis and risk group as defined  
121 by Fletcher and collaborators (Fletcher et al. 2002). Other  
122 information included details on ascites metastases, disease  
123 recurrence, tumor persistence, distant metastases and cause  
124 of death when death occurred. Disease recurrence was de-  
125 fined as tumor detection at a clinical follow-up after  
126 3 months that were free from disease. Tumor persistence  
127 was defined as the presence of a palpable tumor seen in  
128 clinical follow-ups within the first 3 months. Follow-up data  
129 were available for the majority of the patients and were  
130 collected through direct interviews with patients or their  
131 relatives, and by reviewing the in-hospital patient files.  
132 Additionally, information on GISTs molecular status (*KIT*,  
133 *PDGFRA* and *BRAF* gene mutations) was also available for  
134 patients from Barretos Cancer Hospital (de Oliveira et al.  
135 2011), and performed in the remaining cases (Garcia de Orta  
136 Hospital) as previously described by our group (Gomes et  
137 al. 2008; Martinho et al. 2009; de Oliveira et al. 2011).

### 138 MCT and CD147 immunohistochemistry

#### 139 MCT detection

140 Immunohistochemistry was performed in accordance with  
141 the avidin-biotin-peroxidase complex principle (R.T.U.  
142 VECTASTAIN Elite ABC Kit (Universal), Vector Labora-  
143 tories, Burlingame, CA, USA), with the primary antibodies  
144 for MCT1 (AB3538P, Chemicon International, Temecula,  
145 CA, USA), MCT2 (sc-14926, Santa Cruz Biotechnology,  
146 Santa Cruz, CA, USA) and MCT4 (AB3316P, Chemicon  
147 International, Temecula, CA, USA), all diluted 1:200, as  
148 previously described by our group (Pinheiro et al. 2008a).

#### 149 CD147 detection

150 Immunohistochemistry was performed using a streptavidin-  
151 biotin-peroxidase complex detection system (Ultravision  
152 Detection System Anti-polyvalent, HRP, Lab Vision Corpo-  
153 ration, Fremont, CA, USA), using a primary antibody raised  
154 against CD147 (18-7344, ZYMED Laboratories Inc., South  
155 San Francisco, CA, USA), diluted 1:750, as previously  
156 described by our group (Pinheiro et al. 2009a).

#### 157 Immunohistochemical evaluation

158 MCTs and CD147 immunoreactions were evaluated  
159 semiquantitatively using the criteria previously described  
160 (Pinheiro et al. 2008a). The immunoreaction extent was  
161 scored semiquantitatively as follows: 0: 0% presence of  
162 immunoreactive cells; 1: <5% presence of immunoreactive  
163 cells; 2: 5–50% presence of immunoreactive cells; and

3: >50% presence of immunoreactive cells. In addition, the  
intensity of staining was scored semiqu quantitatively as 0: neg-  
ative; 1: weak; 2: intermediate; and 3: strong. The final  
immunoreaction score was defined as the sum of both  
parameters (extent and intensity), and grouped as negative  
(score 0 and 1) or positive (3–6). Immunohistochemistry  
evaluation was performed blindly by two independent  
observers (AL, CS). Discordant results were discussed under  
a double-head microscope and a final score was agreed.  
The presence of plasma membrane staining was not taken  
into consideration, since the morphology of GIST cells may  
mislead the interpretation.

### 176 Statistical analysis

177 The available clinical-pathological and immunohistochemi-  
178 cal data were analyzed using the SPSS software for  
179 Windows, version 18.0 (SPSS Inc., Chicago, IL, USA).  
180 All comparisons were examined for statistical significance  
181 using Pearson's chi-square ( $\chi^2$ ) test or Fisher's exact test, as  
182 appropriate, with threshold for significance  $p$  values <0.05.  
183 Overall survival curves were plotted using the method  
184 of Kaplan-Meier and data were compared by means of the  
185 log-rank test. Cases lacking one or more of the clinic-  
186 pathological variables were not included in the specific  
187 analysis.

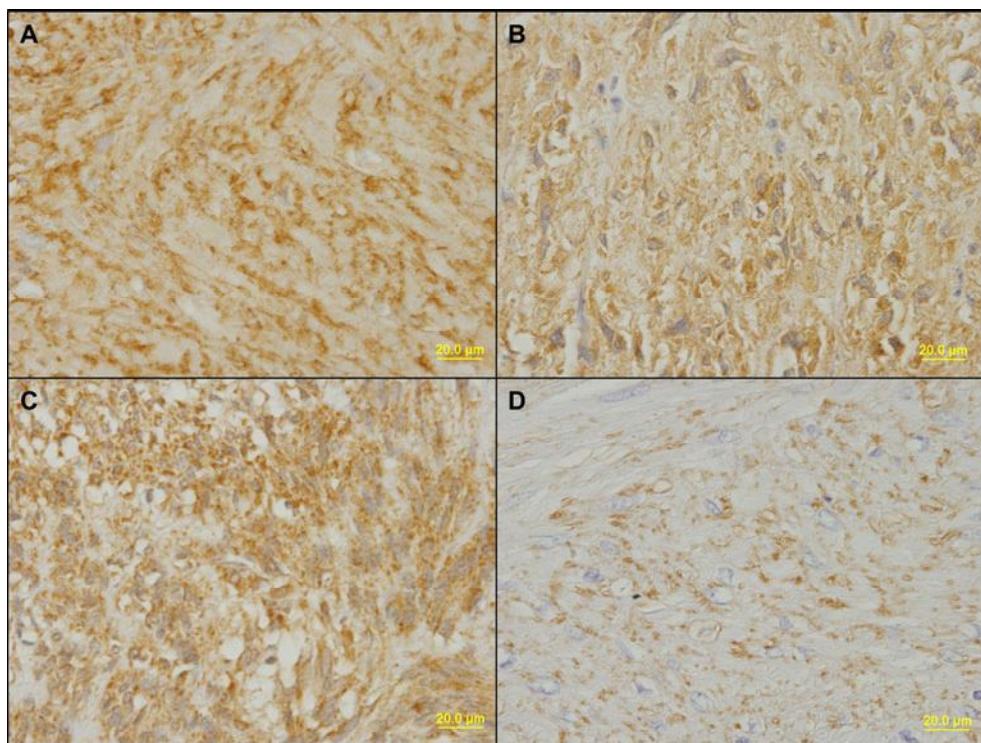
### 188 Results

189 A total of 64 samples of GISTs, organized into Tissue  
190 Microarrays (TMA) were assessed for MCT1, MCT2,  
191 MCT4 and CD147 immunohistochemical expression.

192 Although MCT and CD147 expression have been de-  
193 scribed mainly in cell plasma membranes, we found that  
194 the expression of these proteins in GISTs is only visualized  
195 in the cytoplasm (Fig. 1). Importantly, the majority of pos-  
196 itive cases showed both extensive and strong expression of  
197 these markers, especially for MCT2 (Fig. 1b). Overall,  
198 89.1% (57/64) of the GISTs were positive for MCT1  
199 (Fig. 1a), 90.3% (56/62) were positive for MCT4 (Fig. 1c),  
200 while CD147-positive reactions (Fig. 1d) were observed in  
201 59.4% (38/64) of the cases. All cases were positive for  
202 MCT2 (64/64), therefore no additional statistics were per-  
203 formed for MCT2 expression. No associations between the  
204 expression of MCT1 and MCT4 and the chaperone CD147  
205 were found (data not shown).

206 Regarding the molecular profile of the cases (Table 1),  
207 we found that CD147 was significantly more frequently  
208 expressed in *KIT*-mutated cases, as compared to *PDGFRA*-  
209 mutated or wild type cases ( $p=0.039$ ). However, no differ-  
210 ence in mutation location was detected. No differences were

**Fig. 1** Immunohistochemical expression of monocarboxylate transporters (MCTs) and CD147 in gastrointestinal stromal tumors (GISTs). MCT1 (a), MCT2 (b) and MCT4 (c) were strongly and extensively observed in the cytoplasm of GIST cells while CD147 (d) presented a more focal expression



211 observed between the genetic status of the cases and expression  
212 of MCTs.

213 Concerning the clinical-pathological data (Table 2), we  
214 observed that tumors with absence of necrosis showed  
215 higher likelihood of being positive for MCT1, than did those  
216 presenting necrosis ( $p=0.042$ ). Additionally, all patients  
217 without locoregional relapse were positive for MCT4, while  
218 only 62.5% (5/8) cases with locoregional relapse presented  
219 MCT4 positive expression ( $p=0.014$ ). Importantly, CD147  
220 expression was associated with a progressive increase in

221 Fletcher's Risk of Malignancy ( $p=0.020$ ). Co-expression  
222 of MCT1 or MCT4 with CD147 did not reveal any additional  
223 associations with the clinical-pathological data.

224 Overall survival analysis showed that patients had a  
225 median survival rate of 49 months, ranging from 1 to  
226 180 months. Although no associations were observed for  
227 each protein individually, we found that patients with  
228 tumors co-expressing MCT1 and CD147 have a lower overall  
229 survival than the other group of patients (81 versus  
230 158 months, respectively,  $p=0.037$ , Fig. 2).

t1.1 **Table 1** Association between GIST molecular status and MCT and CD147 expression

	n	MCT1 positive (%)	p	MCT4 positive <sup>a</sup> (%)	p	CD147 positive (%)	p
t1.3	Mutational status		0.528		0.298		0.039
t1.4	KIT mutated	44	38 (86.4)	38 (86.4)	30 (68.2)		
t1.5	PDGFRA mutated	7	7 (100.0)	7 (100.0)	3 (42.9)		
t1.6	KIT/PDGFRA/BRAF wild-type	10	9 (90.0)	8 (100.0)	3 (30.0)		
t1.7	Mutation location		0.689		0.192		0.137
t1.8	KIT exon 9	6	5 (83.3)	6 (100.0)	4 (66.7)		
t1.9	KIT exon 11	37	32 (86.5)	32 (86.5)	26 (70.3)		
t1.10	KIT exon 17	2	2 (100.0)	1 (50.0)	0 (0.0)		
t1.11	PDGFRA exon 18	7	7 (100.0)	7 (100.0)	3 (42.9)		
t1.12	Mutation Type		0.611		0.193		0.356
t1.13	Insertion and deletion	24	21 (87.5)	19 (79.1)	15 (62.5)		
t1.14	Substitution and duplication	21	20 (95.2)	20 (95.2)	16 (76.2)		

<sup>a</sup> 2 missing cases

t2.1

**Table 2** Association between GIST clinical-pathological parameters and MCT and CD147 expression

	n	MCT1 positive (%)	p	MCT4 positive <sup>a</sup> (%)	p	CD147 positive (%)	p
Ascites			0.209		1.000		0.134
Absence	42	39 (92.9)		35 (87.5)		25 (59.5)	
Presence	9	7 (77.8)		8 (88.9)		8 (88.9)	
Fletcher Risk of Malignancy			0.643		0.204		0.020
Very Low/Low	14	12 (85.7)		11 (84.6)		4 (28.6)	
Moderate	19	16 (84.3)		19 (100.0)		11 (57.9)	
High	27	25 (92.6)		23 (85.2)		20 (74.1)	
Locoregional relapse <sup>b</sup>			0.583		0.014		0.689
Absence	23	20 (87.0)		22 (100.0)		14 (60.9)	
Presence	8	6 (75.0)		5 (62.5)		4 (50.0)	
Cancer Persistence			0.143		0.665		0.217
No	31	26 (83.9)		27 (90.0)		18 (58.1)	
Yes	20	20 (100.0)		16 (84.2)		15 (75.0)	
Metastasis			1.000		0.077		0.603
Absence	28	25 (98.3)		26 (96.3)		19 (67.9)	
Presence	23	21 (91.3)		17 (77.3)		14 (60.9)	
Tumor size (cm)			1.000		0.325		0.164
≤10	41	36 (87.8)		37 (94.9)		21 (51.2)	
>10	20	18 (90.0)		17 (85.0)		14 (70.0)	
Mitotic index <sup>c</sup>			0.319		1.000		0.538
≤5	43	38 (88.4)		38 (90.5)		26 (60.5)	
>5	14	14 (100.0)		13 (92.9)		10 (71.4)	
Necrosis			0.042		1.000		0.740
Absence	40	38 (95.0)		35 (89.7)		23 (57.5)	
Presence	21	16 (76.2)		18 (90.0)		13 (61.9)	

<sup>a</sup> 2 missing cases<sup>b</sup> cases with no persistent disease were also analyzed<sup>c</sup> per 50 fields of high magnification

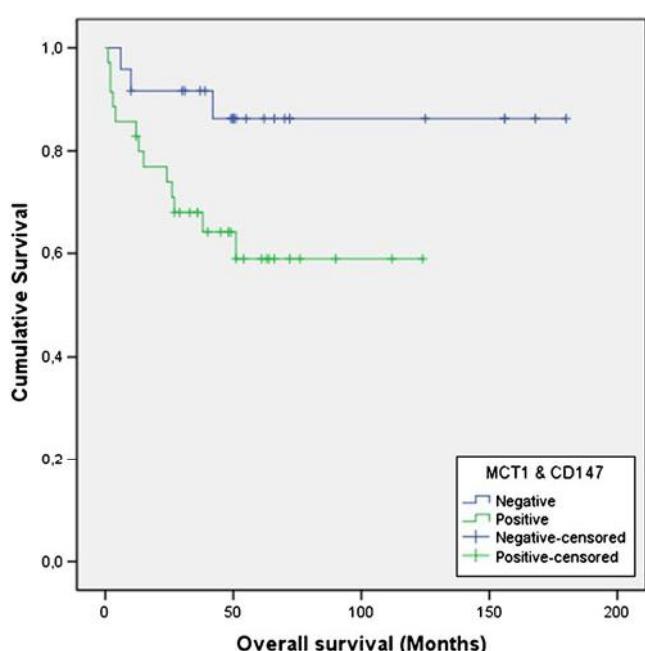
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## Discussion

232 Cancer research has been traditionally focused on the genetic and epigenetic alterations occurring in tumor development. More recently, greater attention has been given to other components, such as the microenvironment and tumor energetics, as demonstrated by the emergence of a “new” hallmark of cancer—reprogramming energy metabolism (Hanahan & Weinberg 2011), emphasizing the importance of a broader analysis of cancer features.

240 Cancer metabolism is also gaining relevance in GISTs management. Underlying this phenomenon is the evidence provided by the relationship of glucose uptake, as measured by FDG-PET, with detection of primary GISTs and disease recurrence, pathological risk category (Otomi et al. 2010), as well as patient response to imatinib treatment (Demetri et al. 2002; Cullinane et al. 2005; Holdsworth et al. 2007). In fact, FDG-PET is currently used to evaluate the efficacy of imatinib in GIST patients (Demetri et al. 2002) as well as in preclinical

and clinical studies with new inhibitors for GISTs treatment (Pantaleo et al. 2010; Revheim et al. 2010). In chronic myelogenous leukemia (CML), imatinib-sensitive cells showed a decrease in both glucose uptake and lactate production as well as an increase in oxidative metabolism after imatinib treatment, while imatinib-resistant cells maintained the high levels of glucose uptake and lactate production characteristic of CML cells (Kominsky et al. 2009). Accordingly, in an *in vivo* model based on activating *KIT* mutations in GISTs, a decrease in glucose uptake, measured by FDG-PET, along with a decrease in the glucose transporter GLUT1 expression was observed after imatinib treatment in imatinib-responsive tumors. Therefore, imatinib may also act as a metabolic modulator, by depriving transformed cells from their key substrate, thus contributing to its cytotoxicity (Cullinane et al. 2005). Thus, GISTs with higher glycolytic rates may benefit more from imatinib treatment as well as other kinase inhibitors. As a result, metabolic characterization of GISTs prior and soon after treatment, may have predictive value and be used as an



**Fig. 2** Overall survival curve regarding MCT1 and CD147 co-expression in GIST patients. Patients with positive tumors for MCT1 and CD147 co-expression show shorter disease-free survival (green line) than patients without MCT1 and CD147 co-expression (blue line) ( $p=0.037$ )

early indicator of response. In this context, MCTs arise as relevant proteins involved in cancer metabolism that should be included in the metabolic characterization of tumors, as lactate transporters and pH regulators.

Evidence for the association between genetic background and tumor metabolism has been appearing in the last few years. It was recently described that in colorectal cancer cell lines, *GLUT1* was consistently up-regulated in cells with *KRAS* or *BRAF* mutations. Conversely, glucose-deprived colorectal cancer cells acquired *KRAS* mutations not present in the parent cells (Yun et al. 2009). *BRAF* V600E mutation was also shown to increase the expression of the metabolic regulator hypoxia-inducible factor-1alpha (HIF- $\alpha$ ), at both mRNA and protein levels, in different cancer types including melanoma, colon and thyroid cancer (Kumar et al. 2007; Zerilli et al. 2010; Kikuchi et al. 2009). In the present study, no associations were found between oncogene mutations and the expression of MCTs. However, we found CD147 to be more frequently expressed in *KIT* mutated cases as compared to *PDGFRA* mutated and wild type cases. To the best of our knowledge, this is the first study showing this association and more studies are warranted to confirm and uncover the molecular events underlying the possible regulation of CD147 by mutated *KIT*.

In the present study, we intended to addressed this issue in a series of molecularly (*KIT*, *PDGFRA* and *BRAF*) well characterized GISTs. We report expression of both MCT1

and MCT4 in around 90% of GISTs, which is in accordance with the high glycolytic metabolism characteristic of this type of tumors.

MCT activity largely depends on the location within the cell. In the cancer context, MCT isoforms 1 and 4 should be expressed at the plasma membrane for proper efflux of the accumulating lactate resultant from the high glycolytic rates. However, in the present study, MCT or CD147 expression in the plasma membrane was not clear. In fact, GISTs did not display clear plasma membrane staining as usually observed in carcinomas, probably due to the different cell organization of sarcomas, when comparing to epithelial malignancies, which make positive plasma membrane reactions unclear under microscopic observation.

Although plasma membrane expression was not observed, some significant correlations were obtained with the clinical-pathological data. MCT1 expression was associated with absence of tumor necrosis. However, one should be careful when considering this association with tumor necrosis, since this was evaluated independently from the analysis of immunohistochemical expression of the proteins herein studied. Therefore, this association does not mean that MCT1 is more frequently present in areas without necrosis, which goes against a previous study in breast cancer, showing MCT1 expression in peri-necrotic areas (Pinheiro et al. 2011). Also, MCT4 was unexpectedly associated with less locoregional relapse. As MCT4 contributes to extracellular acidification and lactate accumulation, which in turn are associated with invasion and metastasis (Rofstad et al. 2006; Walenta et al. 2000; Brizel et al. 2001), an association of MCT4 with an increase in locoregional relapse was expected, and not the contrary. Additional studies are warranted to confirm the value of this association. Finally, in accordance to its widely described poor prognostic value, CD147 was associated with high Fletcher Risk of Malignancy.

Importantly, we showed that co-expression of MCT1 and its chaperone CD147 (EMMPRIN) is associated with poor patient survival. Although MCTs have been previously associated with poor prognosis (Pinheiro et al. *in press*; Fang et al. 2006; Pinheiro et al. 2009b), this is the first study showing an association of MCT1 with lower survival, reinforcing its role in cancer development and/or aggressiveness, as a player in the metabolic adaptations involved in carcinogenesis and progression towards malignancy. This result has clinical relevance as it suggests that GISTs may benefit from novel therapeutic approaches targeting MCT1 and CD147 (Kennedy & Dewhirst 2010).

## Conclusions

This study represents the first characterization of MCT protein expression in GISTs. We show that MCTs are highly

expressed in GISTs and that CD147 expression is associated with high Fletcher Risk of Malignancy. Importantly, co-expression of MCT1 with CD147 is associated with lower patient survival. Further studies evaluating the association of MCT expression with other metabolic regulators, such as GLUT1, and assessing the expression of these metabolic markers before and after imatinib treatment, would be of great importance to further clarify the interdependence between imatinib treatment and metabolic response. Altogether, the results herein reported enhance the comprehension of particular aspects of the biological behavior of gastrointestinal stromal tumors (GISTs), namely MCTs, which are important contributors to the metabolic phenotype of cancer cells.

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**Conflict of interest statement** None declared.

**Authors' contributions** FB, RMR, ATO and AL were responsible for the study concept and design. CP, ATO, FB and RMR were responsible for study supervision, manuscript drafting and critical revision. MJB, CS, ALC, DM, TBS and VLV were responsible for clinical pathological collection. CP, ATO, OM, CS, SSS and TBS performed the immunohistochemical reactions, data analysis and interpretation and participated in the drafting of the manuscript. CS and AL evaluated the immunohistochemical reactions.

All the authors read and approved the final manuscript.

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