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***Hypericum androsaemum* water extract inhibits proliferation in human colorectal cancer cells through effects on MAP kinases and PI3K/Akt pathway**

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1 **Abstract**

2 MAP kinase and PI3K/Akt signalling pathways are commonly altered in  
3 colorectal carcinoma (CRC) leading to tumor growth due to increased cell proliferation  
4 and inhibition of apoptosis. Several species of the genus *Hypericum* are plants used in  
5 Portugal to prepare herbal teas to which digestive tract effects are attributed. In the  
6 present study, the antiproliferative and proapoptotic effects of the water extracts of *H.*  
7 *androsaemum* (HA) and *H. perforatum* (HP) were investigated in two human colon  
8 carcinoma-derived cell lines, HCT15 and CO115, which harbour activating mutations  
9 of KRAS and BRAF, respectively. Contrarily to HP, HA significantly inhibited cell  
10 proliferation and induced apoptosis in both cell lines. HA decreased BRAF and  
11 phospho-ERK expressions in CO115, but not in HCT15. HA also decreased Akt  
12 phosphorylation in CO115 and induced p38 and JNK in both cell lines. **HA induced cell**  
13 **cycle arrest at S and G2/M phases as well as caspase-dependent apoptosis in both cell**  
14 **lines.** Chlorogenic acid (CA), the main phenolic compound present in the HA extract  
15 and less represented in the HP water extract, did, however, not show any of those effects  
16 when used individually. In conclusion, water extract of HA, but not of HP, controlled  
17 CRC proliferation and specifically acted on mutant and not wild-type BRAF. This effect  
18 of HA was, however, not due to CA alone.

19

20

21 **Keywords:** BRAF, Chlorogenic acid, Colorectal Carcinoma, *Hypericum androsaemum*,

22 *Hypericum perforatum*, MAP kinases, PI3K/Akt Pathway

23

## 24 Introduction

25 Environmental factors, many of which diet related, are responsible for 70-80% of  
26 total cases of colorectal carcinoma (CRC), an important health problem worldwide (1,  
27 2). To the two species of *Hypericum* (family Hypericaceae), *Hypericum androsaemum*  
28 (HA) and *Hypericum perforatum* (HP), used in this study (spontaneous in the north of  
29 Portugal) important medicinal properties have been attributed. *H. perforatum* (HP), also  
30 known as St. John's wort, is the most studied of *Hypericum* species and it is known for  
31 its pharmacological antidepressant activities and its antiviral and antibacterial properties  
32 (3). The anticarcinogenic activity of HP has also been reported in several cancer cell  
33 types, although not in CRC cells (4-7). Its antitumor effects have been related with one  
34 of its main constituents, hypericin (8-10), that is, however, residual in the water extract  
35 (11). *H. androsaemum* is less studied but it is the most frequently used in Portugal due  
36 to its diuretic, hepatoprotector, cholagogue, and also anti-kidney failure properties, as  
37 well as in the relief of digestive tract disorders (12, 13). Its anticarcinogenic activity has,  
38 to our knowledge, never been reported. Recent *in vitro* studies showed the antioxidant  
39 and hepatoprotective activities of HA water extract (14, 15). The effects of HA have  
40 been attributed, at least in part, to the presence of several flavonoids, such as quercetin  
41 and its glycosides, and phenolic acids, such as chlorogenic acid (15). Chlorogenic acid  
42 (CA) is distinctively more abundant in HA water extract than in HP water extract. HA  
43 water extract does not possess hypericin in its constitution (11). Plants containing a  
44 variety of phenolic compounds have been shown to play an important role as dietary  
45 antioxidants in cancer prevention (16, 17). However, evidence is increasing that the  
46 anticarcinogenic properties of plant food constituents is not only the result of their  
47 antioxidant activity. In fact, many of these constituents have been demonstrated to act



48 on multiple key elements in signalling pathways related to cellular proliferation and  
49 apoptosis (18, 19).

50 Activating mutations of KRAS, BRAF and/or PI3K have been found in more than  
51 50% of CRC cases and constitutively activate the mitogen-activated protein  
52 kinase/extracellular signal-regulated kinase (MAPK/ERK) and/or the  
53 phosphatidylinositol 3-kinase (PI3K)/Akt signalling pathways (20, 21). The constitutive  
54 activation of these pathways results in higher cell proliferation rates and in inhibition of  
55 apoptosis (20, 22-24). Since MAPK/ERK and PI3K/Akt pathways are involved in CRC  
56 progression and drug resistance, proteins associated with these pathways are good  
57 molecular therapeutic targets for drug discovery (25, 26). Also, the stress-activated  
58 protein kinases, c-Jun N-terminal kinase (JNK) and p38, are two other major MAPK  
59 pathways frequently deregulated in cancer, including CRC (26, 27). They are involved  
60 in the control of cell proliferation and apoptosis, therefore they may also be considered  
61 as potential targets for cancer therapy (28, 29).

62 Since HA and HP are popularly consumed as herbal teas (water extract) for the  
63 relief of digestive tract disorders, and they contain quercetin (mainly as glycosides),  
64 which we have shown in a previous study to possess anticarcinogenic activity against  
65 colon cancer cells (30), the antiproliferative and proapoptotic effects of the water  
66 extracts of these two *Hypericum* plants were tested in two human colon cancer-derived  
67 cell lines, HCT15 and CO115. These cell lines harbour different activating mutations  
68 that affect both MAPK/ERK and/or PI3K/Akt pathways: HCT15 has a KRAS (G13D)  
69 mutation (31) while CO115 harbour a BRAF (V599E) mutation (32), being  
70 representative of many CRC cases. The involvement of stress induced kinases p38 and  
71 JNK, and apoptotic markers were also studied.

72

## 73 **Materials and Methods**

74

## 75 **Reagents and Antibodies**

76 All reagents and chemicals used were of analytical grade. Wortmannin (W), LY-  
77 294,002 (LY), **propidium iodide (PI)**, staurosporine, 3-(4,5-dimethylthiazol-2-yl)-2,5-  
78 diphenyltetrazolium bromide (MTT) and chlorogenic acid (CA) were purchased from  
79 Sigma-Aldrich (St. Louis, MO, USA); PD-98059 (PD) was from Calbiochem (San  
80 Diego, CA, USA); **zVAD-fmk was from Santa Cruz Biotechnology, Inc. (Santa Cruz,**  
81 **CA, USA)**. Stocks solutions of W, LY, PD and zVAD were made in dimethyl sulfoxide  
82 (DMSO) and aliquots were kept at -20 °C. DMSO (0.5%, final concentration) was used  
83 in the other conditions (control and HA extract alone) to exclude any solvent effect.

84 The primary antibodies, anti-phospho-Akt (Ser473), anti-Akt, anti-phospho-  
85 PTEN (Ser380/Thr382/383), anti-PTEN, anti-p44/42 MAPK and anti-phospho-p38  
86 MAPK (Thr180/Tyr182) were purchased from Cell Signaling (Danvers, MA, USA); the  
87 anti-phospho-ERK, anti-Raf-B, anti-K-Ras, anti-PKC total, anti-PARP-1, anti-p38, anti-  
88 phospho-JNK and anti-JNK were from Santa Cruz Biotechnology, Inc.; the anti-  
89 caspase-3 was from Calbiochem (San Diego, CA, USA); and the anti- $\beta$ -actin from  
90 Sigma-Aldrich. The secondary antibodies HRP donkey anti-rabbit and sheep anti-mouse  
91 were from GE Healthcare (Bucks, UK).

92

## 93 **Cell culture and conditions**

94 HCT15 and CO115 human colon carcinoma-derived cell lines were a gift from  
95 Dr. Raquel Seruca (IPATIMUP, University of Porto, Portugal) and were maintained in  
96 culture at 37 °C in a humidified 5 % CO<sub>2</sub> atmosphere in RPMI-1640 medium (Sigma-  
97 Aldrich) supplemented with 10 mM HEPES, 0.1 mM pyruvate, 1 % antibiotic-

98 antimycotic solution (Sigma-Aldrich) and 6 % fetal bovine serum (FBS; EU standard,  
99 Cambrex, Verviers, Belgium). Cells were seeded onto six (2 ml) and twelve (1 ml) well  
100 plates at a density of  $0.75 \times 10^5$  (HCT15) and  $1.0 \times 10^5$  (CO115) cells/ml. Incubations for  
101 48 h with different concentrations of the water extracts were performed for **MTT**,  
102 **TUNEL and cell cycle analysis**, and for 24 h and 48 h for western blot.

103

#### 104 **Preparation of HA extract**

105       Plants of *H. androsaemum* were cultivated at Canidelo, Northern of Portugal, in  
106 a farm owned by Cantinho das Aromaticas Lda., whereas *H. perforatum* were obtained  
107 from Mapprod Lda., Braga, Portugal; plants are kept in active bank under the  
108 responsibility of the respective companies. The aerial parts of the plants were collected  
109 in July 2008 for HA and in July 2009 for HP; then, they were air-dried before being  
110 subjected to the water extraction by infusion. Batches of dried plant material are  
111 maintained at -20 °C under the responsibility of CITAB with the accession numbers  
112 HA102008 and HP072009, for HA and HP, respectively. The plant infusions were  
113 prepared by pouring 150 ml of boiling deionized water onto 2 g of air-dried plant  
114 material and allowing it to steep for 5 min. After filtering, the water extracts were  
115 lyophilized to dryness and yields in terms of initial crude plant material dry weight of  
116 27.0% (w/w) and 16.7% (w/w), for HA and HP, respectively, were obtained. Phenolic  
117 compounds were analyzed by HPLC as previously performed (12) and, for HA, a  
118 similar composition with a previous report of a water extract was obtained (15). The  
119 main phenolic compounds found in the plant water extracts differ in quantity between  
120 them. The following compounds are present. In HA: chlorogenic acid (CA) and isomer  
121 (3-*O* and 5-*O*-caffeoylquinic acid; 53.82  $\mu\text{g}/\text{mg}$ ), quercetin 3-galactoside (16.35  $\mu\text{g}/\text{mg}$ ),  
122 quercetin 3-glucoside (5.41  $\mu\text{g}/\text{mg}$ ), quercetin 3-rutinoside (2.73  $\mu\text{g}/\text{mg}$ ) and quercetin

123 (1.32  $\mu\text{g}/\text{mg}$ ). In HP: quercetin 3-rutinoside (38.07  $\mu\text{g}/\text{mg}$ ), quercetin 3-galactoside  
124 (16.00  $\mu\text{g}/\text{mg}$ ), quercetin 3-glucoside (6.47  $\mu\text{g}/\text{mg}$ ), chlorogenic acid (CA) and isomer  
125 (3-*O* and 5-*O*-caffeoylquinic acid; 5.75  $\mu\text{g}/\text{mg}$ ), quercetin (3.39  $\mu\text{g}/\text{mg}$ ), quercetin 3-  
126 rhamnoside (0.24  $\mu\text{g}/\text{mg}$ ), amenthoflavone (0.33  $\mu\text{g}/\text{mg}$ ) and hypericin (0.03  $\mu\text{g}/\text{mg}$ ).  
127 CA is much more abundant in HA water extract than in the HP water extract where  
128 quercetin and related compounds are the most representative (11).

129

### 130 **Cell proliferation/viability assay**

131 MTT reduction assay was used to estimate the number of viable cells after  
132 treatment with *Hypericum* water extracts, as previously described (30). Cells were  
133 treated with different concentrations of water extracts and CA for 46 h followed by two  
134 hours in the presence of MTT (final concentration 0.5 mg/ml). Hydrogen chloride 0.04  
135 M in isopropanol was then used to dissolve the formazan crystals. The number of viable  
136 cells in each well was estimated by spectrophotometry. **To discriminate between**  
137 **inhibition of cell proliferation (values between 0 and 100%) and induction of extensive**  
138 **cell death (negative values) the control values from the beginning of the treatment**  
139 **period (0 h) were subtracted from all samples collected after 48h of incubation,**  
140 **including the control.** Results are presented as mean  $\pm$  SEM of at least three  
141 independent experiments.

142

### 143 **Assessment of apoptosis by TUNEL assay**

144 Cells treated with different concentrations of HA (**with or without 20  $\mu\text{M}$**   
145 **zVAD**) for 48 h were collected (both floating and attached cells) and fixed with 4%  
146 paraformaldehyde for 15 min at room temperature and then attached into a polylysine  
147 treated slide using a Shandon Cytospin 4 (Thermo Scientific, Waltham, MA, USA).

148 Cells were washed in PBS and permeabilized with 0.1% Triton X-100 in 0.1% sodium  
149 citrate for 2 min on ice. TUNEL (TdT mediated dUTP Nick End Labelling) assay was  
150 performed using a kit from Roche (Mannheim, Germany), following the manufacturer's  
151 instructions. Cells were incubated with Hoechst for nuclei staining. The percentage of  
152 apoptotic cells was calculated from the ratio between TUNEL positive cells and total  
153 number of cells (nuclei staining with Hoechst), from a count higher than 500 cells per  
154 slide under a fluorescent microscope. Results are presented as mean  $\pm$  SEM of at least  
155 three independent experiments.

156

### 157 **Protein extraction and western blot**

158 After incubation periods, cells were first washed with PBS and then lysed for 15  
159 min at 4 °C with ice-cold RIPA buffer (1% NP-40 in 150 mM NaCl, 50 mM Tris (pH  
160 7.5), 2 mM EDTA), supplemented with 20 mM NaF, 1 mM phenylmethylsulfonyl  
161 fluoride (PMSF), 20 mM Na<sub>2</sub>V<sub>3</sub>O<sub>4</sub> and protease inhibitor cocktail (Roche, Mannheim,  
162 Germany). Protein concentration was quantified using a Bio-Rad DC protein assay  
163 (Bio-Rad Laboratories, Inc., Hercules, CA, USA) with BSA as a protein standard.  
164 Twenty micrograms of protein from each sample were separated by SDS gel  
165 electrophoresis and then electroblotted to a Hybond-P polyvinylidene difluoride  
166 membrane (GE Healthcare). Membranes were blocked in TPBS (PBS with 0.05%  
167 Tween-20) containing 5% (w/v) non-fat dry milk or BSA, incubated with the primary  
168 antibody followed by the secondary antibody conjugated with IgG horseradish  
169 peroxidase. Immunoreactive bands were detected using the Immobilon solutions  
170 (Millipore, Billerica, MA, USA) under a chemiluminescence detection system, the  
171 Chemi Doc XRS (Bio-Rad Laboratories, Inc.). Band area intensity was quantified using

172 the Quantity One software from Bio-Rad.  $\beta$ -actin was used as a loading control. Results  
173 are presented as mean  $\pm$  SEM of at least three independent experiments.

174

### 175 **Cell Cycle analysis**

176 Cells were treated with HA for 48 h and then harvested (both floating and  
177 attached cells) by trypsinization. After centrifugation, cell pellets were washed in PBS,  
178 fixed with ethanol 70% at 4°C for 15 min and rinsed with PBS. Cells were then  
179 incubated with staining solution (50 $\mu$ g/ml propidium iodide and 20 $\mu$ g/ml RNase A in  
180 PBS) at 37°C for 15 min. Cell cycle progression was analyzed by flow cytometry using  
181 a Coulter Epics XL Flow Cytometer (Beckman Coulter Inc., Miami, FL, USA) counting  
182 at least 40,000 single cells per sample. Phases of cell cycle were fitted using the  
183 mathematical Watson Pragmatic model (33) with the FlowJo Analysis Software (Tree  
184 Star, Inc., Ashland, OR, USA).

185

### 186 **Statistical analysis**

187 Student's t-test or one-way ANOVA followed by the Student-Newman-Keuls  
188 test was used to perform statistical analysis for TUNEL, **cell cycle** and western blot  
189 data. GraphPad Prism 4.0 software (San Diego, CA, USA) was used and *P*-values  $\leq$   
190 0.05 were considered statistically significant.

191

## 192 **Results**

193

### 194 **Effects of two *Hypericum* species on cell proliferation and apoptosis**

195 To evaluate the anticancer potential of water extracts from *H. perforatum* and *H.*  
196 *androsaemum* their effects for 48h in the number of viable cells, in HCT15 and CO115

197 human colon carcinoma-derived cell lines, were investigated using the MTT assay. As  
198 shown in Figure 1A, HCT15 and CO115 cell lines are more resistant to HP water  
199 extract than to HA water extract. **The number of viable cells decreased remarkably with**  
200 **HP treatment only at concentrations above 200 µg/ml in both cell lines.** The HA extract  
201 was more efficient in inhibiting cell proliferation in a concentration-dependent manner  
202 in both cell lines, with an IC<sub>50</sub> (the concentration that inhibited cell growth by 50%) of  
203 around 85 µg/ml in HCT15 and 65 µg/ml in CO115 cells, compared to HP. **At the**  
204 **highest concentrations tested of HA, extensive cell death was observed in both cell**  
205 **lines, as indicated by both the presence of significant amounts of floating cells and by**  
206 **PI staining (data not shown).** Incubation of CRC cells with HA also significantly  
207 induced apoptosis in a concentration-dependent manner in both cell lines (Figure 1B),  
208 as shown by the TUNEL assay. Comparing both *Hypericum* water extracts, HA has,  
209 therefore, higher anticancer potential as compared to HP.

210 In order to characterize effects of HA on signaling pathways related to  
211 proliferation and apoptosis, the IC<sub>50</sub> concentration for each cell line (85 µg/ml for  
212 HCT15 and 65 µg/ml for CO115) and a concentration below this were used and their  
213 effects on the levels of relevant molecular targets characterized in subsequent  
214 experiments.

215

### 216 **Effect of chlorogenic acid (CA) on cell proliferation**

217 *H. androsaemum* water extract was more efficient in inhibiting cell growth in  
218 both HCT15 and CO115 CRC cell lines than HP. In an attempt to find the compound  
219 responsible for these effects, the main phenolic present in this extract, chlorogenic acid  
220 (CA), which also distinguishes this extract from HP water extract that has a much lower  
221 concentration, was evaluated individually at different concentrations, using MTT assay.

222 CA is present at about 54  $\mu\text{g}/\text{mg}$  in HA water extract, while in HP CA is present in  
223 smaller amounts (about 6  $\mu\text{g}/\text{mg}$ , respectively). No effects were observed on cell  
224 proliferation in neither of the cell lines treated with CA up to 200  $\mu\text{M}$ , which  
225 corresponds to a concentration 20 times higher than the one found in 100  $\mu\text{g}/\text{ml}$  of HA  
226 water extract (Figure 2). It seems therefore that the inhibition of cell proliferation  
227 produced by HA is not due to CA alone.

228

### 229 **Effects of HA on PI3K/Akt and MAPK/ERK pathways**

230         Constitutive activations of MAPK/ERK and PI3K/Akt pathways are present in a  
231 large number of CRC cases, leading to an increase of proliferation and an inhibition of  
232 apoptosis (20). Phosphorylation of ERK and Akt, respectively, are indicators of their  
233 activation. As shown in Figure 3A, HA significantly decreased phospho-Akt protein  
234 level in CO115 cells, in a concentration-dependent manner, after 24 h of incubation.  
235 This effect was not observed in HCT15 since there was no detectable amounts of  
236 phospho-Akt in these cells (data not shown), which is in agreement with previous  
237 observations (30). As expected, reference inhibitors of PI3K, wortmannin (W) and LY-  
238 294,002 (LY), also significantly decreased phospho-Akt levels (34). The effect of HA  
239 on phospho-PTEN, a negative regulator of PI3K/Akt pathway, was also tested. As  
240 shown in Figure 3B, HA did not change phospho-PTEN levels in HCT15. As previously  
241 reported (30), no detectable expression of PTEN was observed in CO115 cells (data not  
242 shown).

243         Concerning effects on the MAPK/ERK pathway, a significant decrease in  
244 phospho-ERK protein level was observed in CO115 cells, but not in HCT15, induced by  
245 the higher concentration of HA tested (Figure 3C). As expected, a significant reduction  
246 of phospho-ERK levels in both cell lines was also induced by PD-98059 (PD), a



247 reference inhibitor of the MAPK/ERK pathway (35). In addition, we also treated both  
248 cell lines with CA. This compound did not decrease phospho-Akt or phospho-ERK  
249 protein levels at 10 and 100  $\mu$ M in both cell lines (data not shown).

250

### 251 **Effects of HA on BRAF and KRAS levels**

252 Subsequently, since KRAS activates both MAPK/ERK and PI3K/Akt pathways  
253 and BRAF activates MAPK/ERK pathway, effects of HA on the protein expression of  
254 KRAS and BRAF oncogenes were studied. As shown in Figure 4A, the higher HA  
255 concentration tested was able to significantly decrease the levels of BRAF in CO115  
256 (cells with mutant BRAF). In HCT15 cells, which express the wild type BRAF, no  
257 effect of HA on BRAF protein expression was observed. No significant changes were  
258 observed in wild type or mutant KRAS levels induced by HA (Figure 4B). CA, when  
259 used individually, did not change KRAS or BRAF levels at 10 and 100  $\mu$ M in both cell  
260 lines (data not shown).

261

### 262 **Effects of HA on p38 and JNK pathways**

263 The effect of HA on p38 and JNK signalling pathways, two stress-activated  
264 protein kinases that are involved in the control of proliferation and induction of  
265 apoptosis (27), were also studied. Our results showed a remarkable induction of  
266 phospho-p38 expression at both concentrations tested and of phospho-JNK expression  
267 mainly at the higher concentration tested after 48 h in both cell lines (Figure 5A and  
268 5B).

269

### 270 **Effects of HA on cell cycle and death mechanisms**

271 As shown in Figure 1B with TUNEL assay, p53-mutated HCT15 cells are more  
272 resistant to apoptosis induction by HA than the p53-wild type CO115 cells.  
273 Corroborating these results, when cell cycle analysis was performed, the sub-G1  
274 fraction of cells (indicative of DNA fragmentation typical of apoptosis) was  
275 considerably higher in CO115 as compared with HCT15, when treated with HA (Figure  
276 6A, 6C).

277 In order to verify the role of caspase activation on the apoptotic effect of the HA  
278 water extract, caspase-3 and Poly (ADP-ribose) polymerase-1 (PARP-1) expressions by  
279 western blot were studied. As shown in Figure 5C, HA increased cleaved caspase-3 and  
280 cleaved PARP-1 in CO115 cells. In HCT15, we did not observe cleaved caspase-3 or  
281 cleaved PARP-1, although a decrease in total caspase-3 and PARP-1 were detected. A  
282 higher expression level of total PARP-1 was observed in HCT15 as compared to  
283 CO115. The cleavage of caspase-3 and PARP-1 were also induced by staurosporine, an  
284 apoptotic inducer used here as positive control, in both cell lines (data not shown).

285 In order to confirm the role of caspase activation on apoptosis induction by HA,  
286 cells were incubated in the presence of the general caspase inhibitor zVAD, and  
287 apoptotic cells analysed by the TUNEL assay. As shown in Figure 5D, zVAD was able  
288 to prevent apoptosis induction by HA in both cell lines.

289 The ability of HA to inhibit cell cycle was also confirmed by the cell cycle  
290 analysis. As shown in Figure 6A and 6B, HA induced both S phase and G2/M phase  
291 arrest in a concentration-dependent manner in both cell lines. The effect was more  
292 pronounced in the apoptosis-resistant HCT15 cell line.

293

294 **Discussion**

295 In the present study, the potential anticancer effects of water extracts of the  
296 medicinal plants *H. androsaemum* and *H. perforatum*, as well as, the main phenolic  
297 constituent present in HA extract, chlorogenic acid (CA), were studied in HCT15 and  
298 CO115, a KRAS and BRAF mutant human colorectal-derived cell lines. HA efficiently  
299 inhibited cell proliferation and induced apoptosis in a concentration-dependent manner  
300 in both cell lines. CO115 cells showed to be more sensitive to HA extract (IC<sub>50</sub> ~65  
301 µg/ml) when compared with HCT15 (IC<sub>50</sub> ~85 µg/ml). As far as we know, this is the  
302 first report of the anticancer effect of *H. androsaemum* water extract in CRC, which is  
303 popularly used in Portugal to treat problems of the gastrointestinal tract. The extract HP  
304 did not show significant effect on cell growth in neither of the cell lines. Previously, we  
305 reported that quercetin has antiproliferative effects on these colorectal cancer cells (30),  
306 and since HP water extract is rich in quercetin and related compounds, this result was  
307 somewhat surprising. Anticarcinogenic activities have been found for *H. perforatum* in  
308 other cell types (4-7) being related with one of its main constituents, hypericin (8-10),  
309 which is present in HP water extract (although in very small amounts) but not in the  
310 water extract of HA (11, 36). These results suggest that the anticancer effects observed  
311 for HA reflect the presence of other compounds in this species. We, therefore, studied  
312 the antiproliferative effect of the CA, which is much more abundant in HA than HP.  
313 However, when used alone this compound did not have any effect on cell proliferation  
314 in neither of the cell lines, which indicates that the HA's effects are not due to its major  
315 phenolic compound, CA. Thus, other compounds or a synergism between the  
316 compounds present in the water extract of HA may be responsible for the HA effects.

317 The differences in the genetic background of the two cell lines used allowed the  
318 study of the relevance of KRAS mutation versus BRAF mutation for HA's effects. In  
319 CO115 (that harbour a BRAF mutation and overexpress Akt) a significant decrease of

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320 phospho-Akt expression was observed in a HA concentration-dependent manner. These  
321 results show the ability of HA to decrease PI3K/Akt signalling probably by inhibiting  
322 PI3K activity, as also shown for some individual flavonoids, such as quercetin (30, 34,  
323 37). HA was also able to decrease MAPK/ERK signalling in CO115, as shown by a  
324 significant decrease in the phospho-ERK expression levels. Importantly, HA also  
325 decreased BRAF expression in these cells. Since HA did not affect the expression of  
326 phospho-ERK or BRAF in HCT15, our results indicate that the HA water extract affects  
327 the MAPK pathway at the level of mutant BRAF (Figure 7), which was confirmed by a  
328 decrease in mutant BRAF cell proliferation (CO115) versus no effect on wild-type (wt)  
329 BRAF cells (HCT15) at 60 µg/ml. Previous studies have shown that pharmacologic  
330 inhibition of RAF is highly effective at inhibiting the growth of BRAF mutant CRC  
331 cells (38). Moreover, recent reports show that RAF inhibitors block MAPK signalling in  
332 tumor cells harbouring mutant BRAF but activate this pathway in cells harbouring wt  
333 BRAF, emphasizing the importance of inhibiting specifically mutant BRAF to avoid  
334 secondary effects (39, 40). CA, the main phenolic compound present in HA water  
335 extract, has, however, no effect on levels of phospho-Akt, phospho-ERK or BRAF in  
336 CO115 cells.

337       Regarding the RAS oncogene, no changes on the levels of KRAS were observed  
338 by HA. Previously, we showed that quercetin, at around 20µM, inhibits cell  
339 proliferation in association with a decrease in KRAS levels (30). Although the HA  
340 extract contains quercetin and glycosides of quercetin, their concentrations are low (less  
341 than 5µM), which may explain the lack of effect of HA on KRAS. The HA-induced  
342 inhibition of cell proliferation and increased apoptosis in HCT15 KRAS mutated cells  
343 seems not to result from effects on MAPK/ERK or PI3K/Akt signalling.

344 The effect of HA on the other two major MAPK pathways, the p38 and JNK,  
345 were also studied, since these stress-activated kinases may be involved in the control of  
346 proliferation and/or apoptosis (27, 41). HA significantly induced the phosphorylation of  
347 p38 and JNK in both cell lines (Figure 7). The effects on these two pathways could  
348 explain the decreased on cell proliferation and cell cycle arrest. An induction of  
349 caspase-3 and PARP-1 cleavage was also observed in CO115 when incubated with HA.  
350 The induction of JNK by HA may contribute to the activation of the mitochondrial  
351 caspase cascade (28) and lead to the high levels of caspase-dependent apoptosis  
352 observed in CO115. On other hand, cleavage of caspase-3 or PARP-1 was not observed  
353 in HCT15 despite the induction of JNK. That probably happened because the  
354 percentage of apoptosis induction by HA is low (about 5%) in HCT15 cells.  
355 Nevertheless, a decrease of total caspase 3 and PARP-1 protein levels, as well as  
356 prevention of apoptosis by the caspase inhibitor zVAD were observed, which indicates  
357 that apoptosis induction is also caspase-dependent in this cell line. The resistance to  
358 apoptosis of HCT15 cells as compared to CO115 cells was also shown previously (30,  
359 42) and may be explained by its p53 mutation status (31, 43, 44). In the p53-mutated  
360 HCT15 cells S-phase and G2/M-phase cell cycle arrest were more pronounced than  
361 induction of apoptosis, whereas the contrary was observed in the p53-wild type CO115  
362 cells.

363 Studies with CA in skin cancer have shown that this phenolic acid has an anti-  
364 inflammatory effect, interfering with NF- $\kappa$ B activation and COX-2 activity, and has an  
365 inhibitory effect on skin cancer promotion (45, 46). However, studies in CRC agree  
366 with our results where no effect on colonic cell proliferation has been observed for CA  
367 (46, 47). In an attempt to identify the active compound(s) responsible for the HA  
368 effects' on BRAF and phospho-ERK levels, a fractionation of the HA water extract was

369 performed with ethanol and methanol. The soluble and insoluble fractions of HA extract  
370 on both methanol and ethanol (20 mg lyophilized water extract per ml of solvent) were  
371 tested in cells (at same concentrations as those in the IC<sub>50</sub> concentration of the crude  
372 extract). None of the fractions were able to significantly affect BRAF or phospho-ERK  
373 expression (data not shown). It seems, therefore, that the effects of HA water extract are  
374 due to a synergism between compounds, which explain why after fractionation of the  
375 extract the effect is lost.

376 In conclusion, our study shows that the water extract of *H. androsaemum*  
377 inhibits cell proliferation and induces apoptosis in CRC-derived cell lines more  
378 efficiently than that of *H. perforatum*. Of particularly interest, the effects of HA involve  
379 a specific inhibition of mutant BRAF, which leads to an inhibition of MAPK/ERK  
380 pathway in BRAF mutant cells but not in wt BRAF cells. Inhibition of PI3K/Akt  
381 pathway, as well as, an induction of both p38 and JNK pathways may also contribute to  
382 the anticancer activity of HA. Chlorogenic acid, the main phenolic compound present in  
383 the HA extract, showed not to be responsible for the anticancer effects observed for the  
384 extract. These data add *H. androsaemum* to the list of plants with potential to be  
385 included in dietary strategies for the control of CRC progression, particularly for tumors  
386 presenting BRAF mutations.

387

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394

395 **Conflict of interest statement**

396           The authors do not have conflicts of interest.

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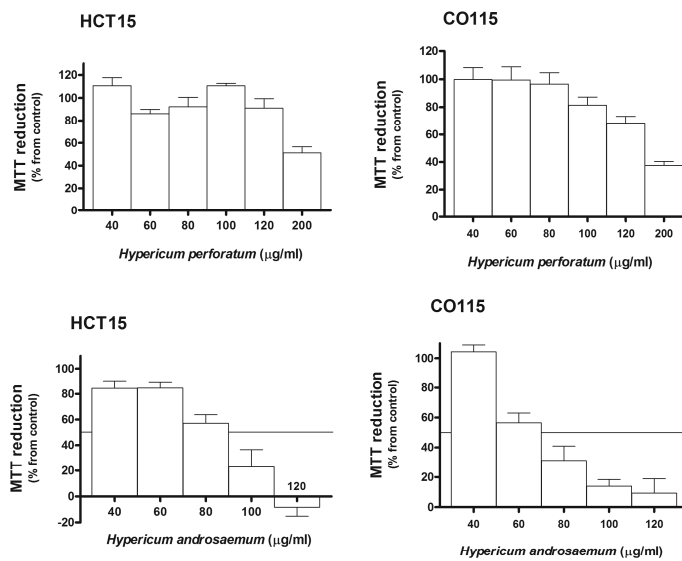
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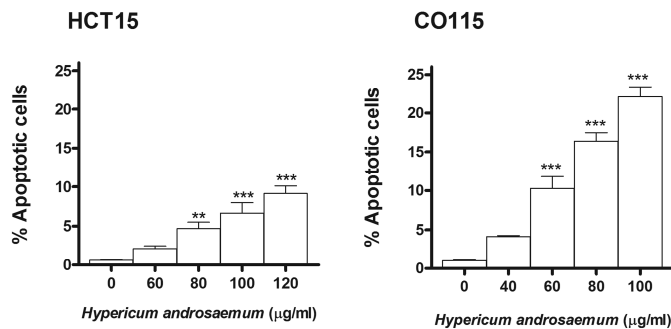
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## Figure Legends

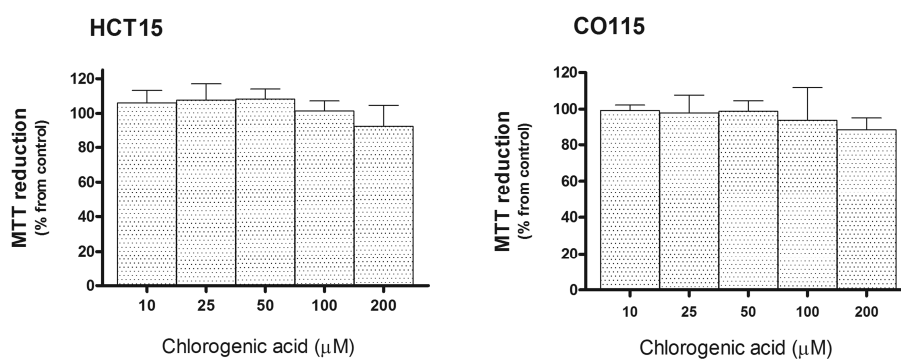
## A MTT reduction test



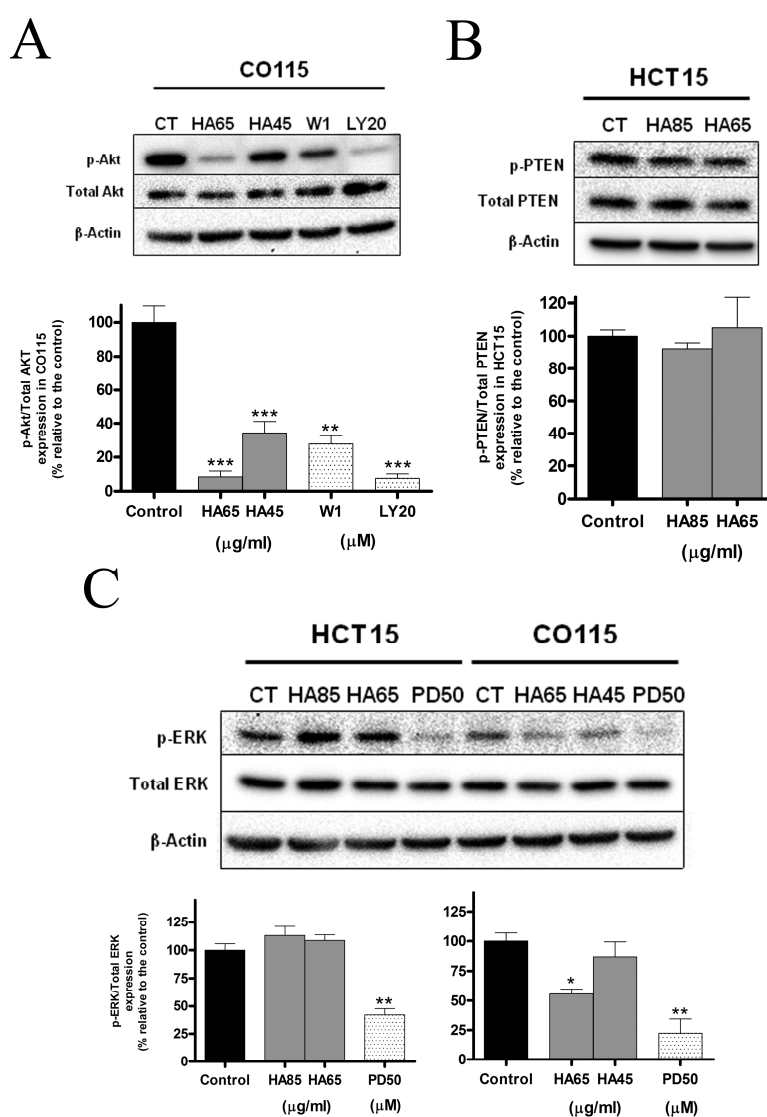
## B TUNEL assay



**Figure 1.** (A) Effects of different concentrations of water extracts of *Hypericum perforatum* and *Hypericum androsaemum* on cell viability/proliferation assessed by MTT reduction. (B) Effect of *Hypericum androsaemum* on apoptosis assessed by TUNEL assay, for 48 h, in HCT15 and CO115 cells. Values are mean  $\pm$  SEM of at least 3 independent experiments. \*\*  $P \leq 0.01$  and \*\*\*  $P \leq 0.001$  when compared to control. In A: line represents the inhibition of 50% of cell proliferation (IC<sub>50</sub>); the negative value mean that the cells reduction capacity after 48 h in that condition was below than the one obtained in the control in the beginning of the treatment period (0 h), being a indirect indication of cell death by necrosis.

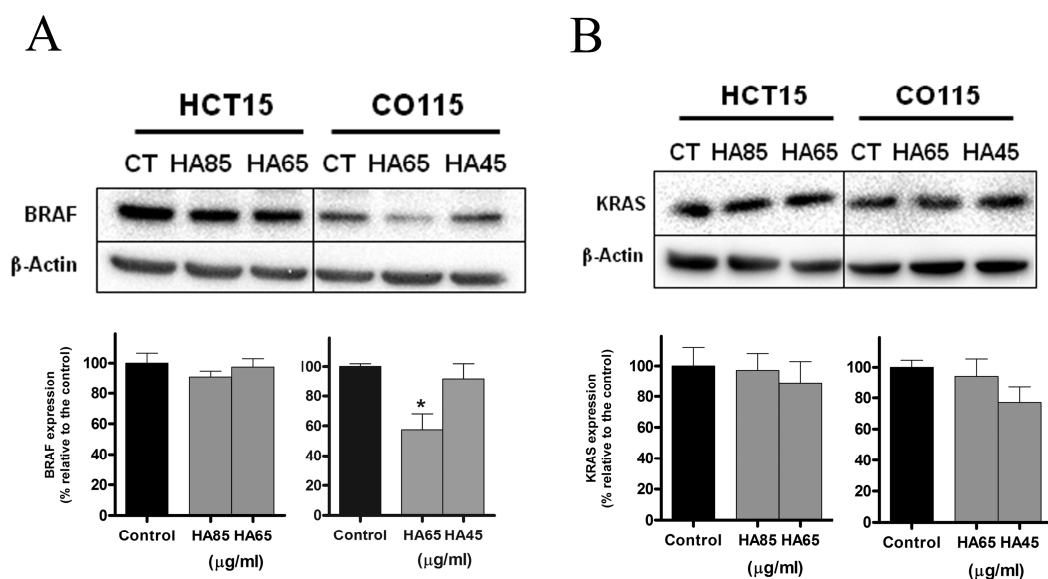


**Figure 2.** Effects of different concentrations of chlorogenic acid on cell viability/proliferation assessed by MTT reduction.

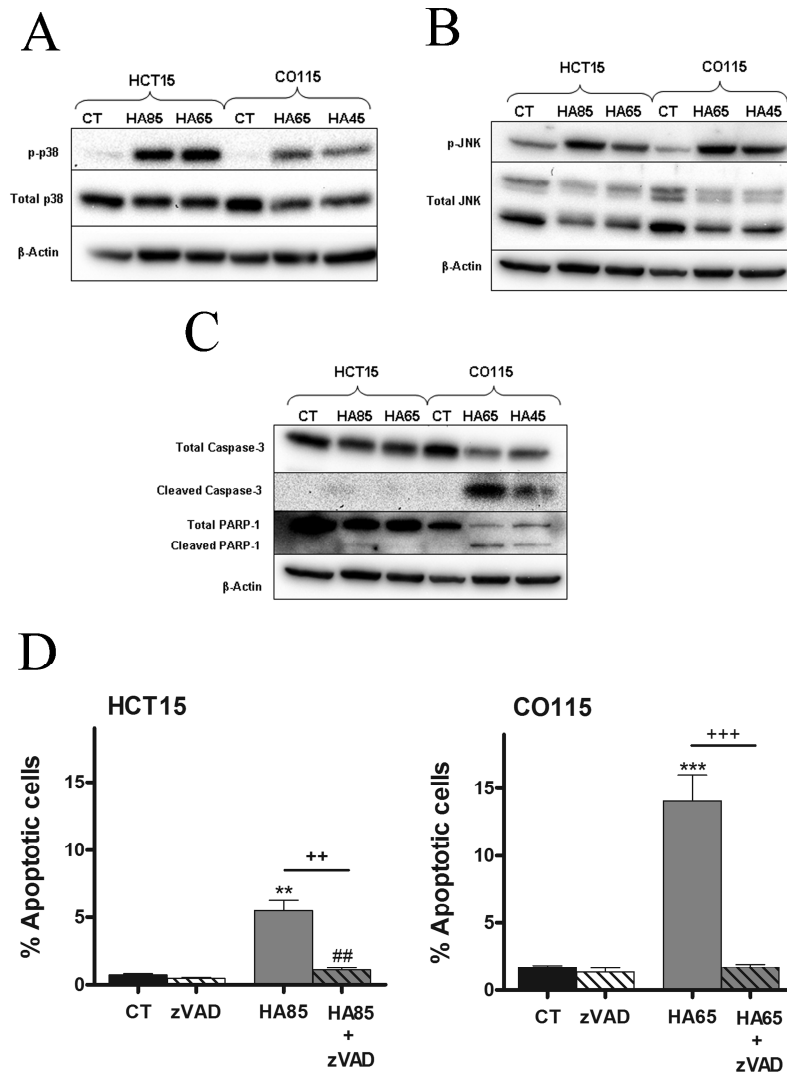


**Figure 3.** Effects of *Hypericum androsaemum* (HA) for 24 h on the levels of phospho-Akt in CO115 cells (A), phospho-PTEN in HCT15 cells (B) and phospho-ERK in

HCT15 and CO115 cells (C) at 85  $\mu\text{g/ml}$  (HA85), 65  $\mu\text{g/ml}$  (HA65) and 45  $\mu\text{g/ml}$  (HA45), using western blot.  $\beta$ -Actin was used as loading control. Wortmannin 1  $\mu\text{M}$  (W1) and LY-294,002 20  $\mu\text{M}$  (LY20) were used as a reference inhibitor of PI3K and PD-98059 50  $\mu\text{M}$  (PD50) was used as a reference inhibitor of phospho-ERK. Values are mean  $\pm$  SEM of at least 3 independent experiments. \*  $P \leq 0.05$ , \*\*  $P \leq 0.01$  and \*\*\*  $P \leq 0.001$  when compared to control.

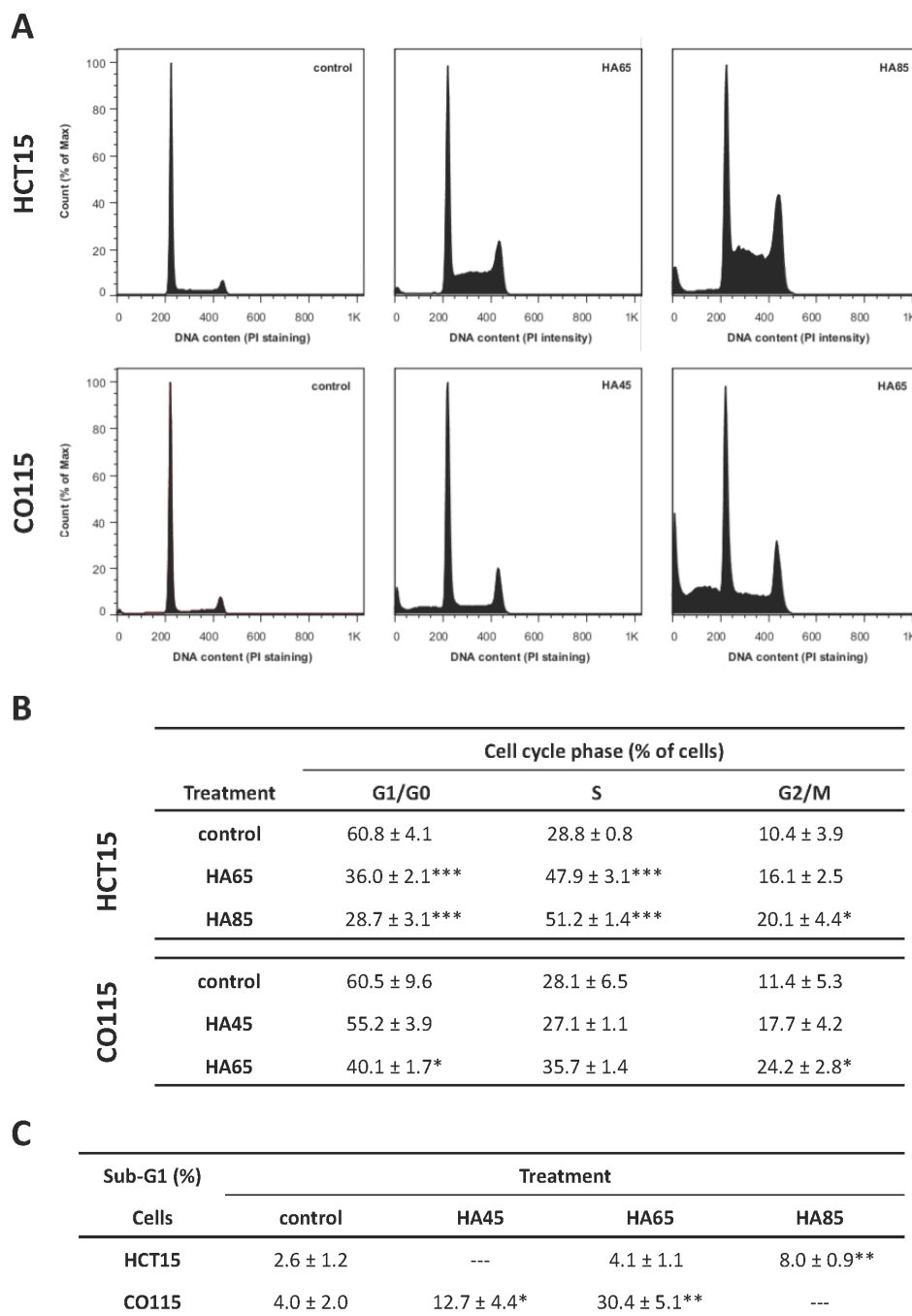


**Figure 4.** Effects of *Hypericum androsaemum* (HA) for 24 h on BRAF (A) and KRAS (B) levels in HCT15 and CO115 cells at 85  $\mu\text{g/ml}$  (HA85), 65  $\mu\text{g/ml}$  (HA65) and 45  $\mu\text{g/ml}$  (HA45), using western blot.  $\beta$ -Actin was used as loading control. Values are mean  $\pm$  SEM of at least 3 independent experiments. \*  $P \leq 0.05$  when compared to control.



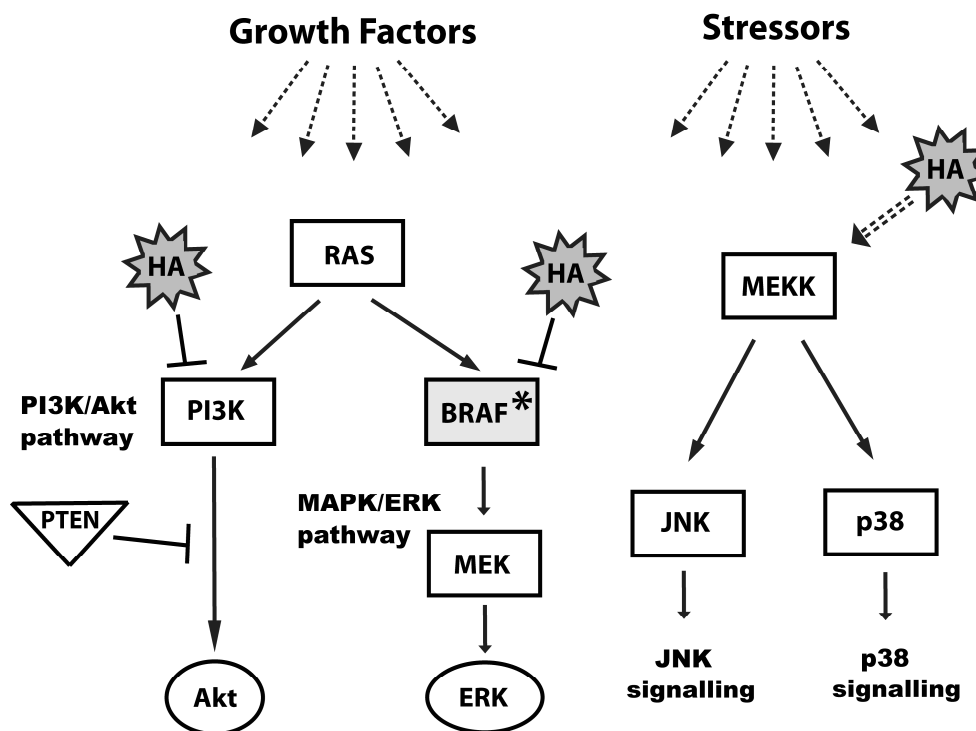
**Figure 5.** Effects of *Hypericum androsaemum* (HA) for 48 h on the levels of phospho-p38 (A), phospho-JNK (B) and apoptosis (C, D) in HCT15 and CO115 cells at 85  $\mu\text{g/ml}$  (HA85), 65  $\mu\text{g/ml}$  (HA65) and 45  $\mu\text{g/ml}$  (HA45). In A, B and C, levels of proteins were studied by western blotting.  $\beta$ -Actin was used as loading control. Images are representative of at least 3 independent experiments. In D, the effect of HA alone or in combination with 20  $\mu\text{M}$  z-VAD-fmk (zVAD) on apoptosis was measured by the TUNEL assay. Values are mean  $\pm$  SEM of at least 3 independent experiments. \*\*  $P \leq 0.01$  and \*\*\*  $P \leq 0.001$  when compared to control (CT); ##  $P \leq 0.01$  when compared to zVAD alone; ++  $P \leq 0.01$  and +++  $P \leq 0.001$  between each other.





**Figure 6.** Effects of *Hypericum androsaemum* (HA) for 48 h on cell cycle progression in HCT15 and CO115 cells at 45  $\mu\text{g/ml}$  (HA45), 65  $\mu\text{g/ml}$  (HA65) and 85  $\mu\text{g/ml}$  (HA85) assessed by flow cytometry. (A) Distribution of single cells through the phases of cell cycle of a representative experiment. The effects of HA on cell cycle progression (B) and in the percentage of sub-G1 fraction (C) of 3 independent experiments are

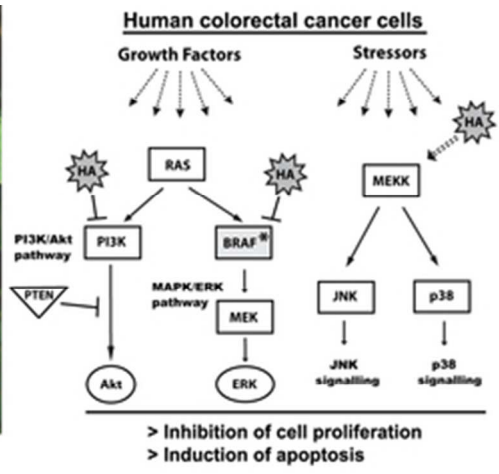
shown. Values are mean  $\pm$  SEM. \*  $P \leq 0.05$ , \*\*  $P \leq 0.01$  and \*\*\*  $P \leq 0.001$  when compared to control.



**Figure 7.** Proposed model for the inhibition of cell proliferation and induction of apoptosis in colon cancer cells by *Hypericum androsaemum* (HA), in particular with effects on PI3K/Akt, MAPK/ERK, JNK and p38 signaling pathways. The anticancer effect of HA could be due to an inhibition of PI3K/Akt pathway, a decrease on BRAF mutation leading to an inhibition of MAPK/ERK pathway and an induction of both p38 and JNK signalling.



*Hypericum androsaemum* (SA)



39x19mm (300 x 300 DPI)

***Hypericum androsaemum* water extract inhibits proliferation in human colorectal cancer cells through effects on MAP kinases and PI3K/Akt pathway**

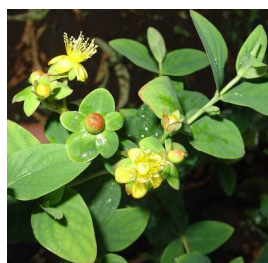
Cristina P.R. Xavier<sup>1</sup>, Cristovao F. Lima<sup>2</sup>, Manuel Fernandes-Ferreira<sup>2,3</sup> and Cristina Pereira-Wilson<sup>1,\*</sup>

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→ *Hypericum androsaemum* water extract has anticancer potential inhibiting MAP kinase pathway through effects on mutant BRAF.



*Hypericum androsaemum* (HA)

